



AGENDA

NEIGHBORHOOD IMPROVEMENT AND CONSERVATION COMMISSION

REGULAR MEETING

September 11, 2023

Council Chamber
11300 Stanford Avenue

REGULAR SESSION - 6:30 P.M. – Council Chamber

Members of the public who wish to comment on matters before the Commission, in lieu of doing so in person, may submit comments by emailing timothyt@ggcity.org no later than 3:00 p.m. the day of the meeting. The comments will be provided to the Commission as part of the meeting record and will be uploaded to the City's website. COVID-19: Masks are not required, however, please do not attend this meeting if you have had direct contact with someone who has tested positive for COVID-19, or if you are experiencing symptoms such as coughing, sneezing, fever, difficulty breathing or other flu-like symptoms.

Members of the public desiring to speak on any item of public interest, including any item on the agenda except Public Hearings, must do so during Oral Communications at the beginning of the meeting. Each speaker shall fill out a card stating name and address, to be presented to the Recording Secretary, and shall be limited to five (5) minutes. Members of the public wishing to address public hearing items shall do so at the time of the public hearing.

Any person requiring auxiliary aids and services due to a disability should contact the Neighborhood Improvement Office at (714) 741-5135 to arrange for special accommodations. (Government Code §5494.3.2)

All revised or additional documents and writings related to any items on the agenda, which are distributed to all or a majority of the Neighborhood Improvement and Conservation Commissioners within 72 hours of a meeting, shall be available for public inspection (1) at the Neighborhood Improvement Office during normal business hours; and (2) at the Council Chamber at the time of the meeting.

Agenda item descriptions are intended to give a brief, general description of the item to advise the public of the item's general nature. The Neighborhood Improvement and Conservation Commission may take legislative action it deems appropriate with respect to the item and is not limited to the recommended action indicated in staff reports or the agenda.



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REGULAR MEETING

September 11, 2023

Council Chamber
11300 Stanford Avenue

REGULAR SESSION - 6:30 P.M. – Council Chamber

ROLL CALL: COMMISSIONERS BELL, BLACKMUN, DE LA O, KEARNEY, KESTER,
RUBIN, TRAN

PLEDGE OF ALLEGIANCE TO THE FLAG OF THE UNITED STATES OF AMERICA

- A. ORAL COMMUNICATIONS – PUBLIC
- B. APPROVAL OF MINUTES: APRIL 17, 2023
- C. MATTERS FROM STAFF
 - 1. PUBLIC HEARING – PRESENTATION OF THE FY 2022-23 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER) BY SENIOR PROGRAM SPECIALIST, TIMOTHY THRONE
- D. MATTERS FROM COMMISSIONERS
- E. ADJOURNMENT

The next Meeting of the Neighborhood Improvement and Conservation Commission will be a **Regular Meeting on Monday, December 4, 2023**, at 6:30 p.m., in the Council Chamber of the Community Meeting Center, 11300 Stanford Avenue, Garden Grove, CA.

MINUTES - SPECIAL MEETING

NEIGHBORHOOD IMPROVEMENT AND CONSERVATION COMMISSION (NICC)

Community Meeting Center, Council Chamber
11300 Stanford Avenue

Monday, April 17, 2023

CALL TO ORDER: 6:30 P.M.

ROLL CALL:

COMMISSIONER BELL
COMMISSIONER BLACKMUN
COMMISSIONER DE LA O
COMMISSIONER KEARNEY
COMMISSIONER KESTER
COMMISSIONER RUBIN
COMMISSIONER TRAN

Absent: Tran

ALSO PRESENT: Monica Covarrubias, Sr. Project Manager; Omar Sandoval, City Attorney; Timothy Throne, Sr. Program Specialist; Lisa Kim, City Manager; Dana Yang, Program Specialist; Judy Moore, Recording Secretary.

PLEDGE OF ALLEGIANCE: Led by Staff.

ORAL COMMUNICATIONS – PUBLIC: None.

SELECTION OF CHAIR: Commissioner Blackmun nominated Commissioner de la O as Chair, seconded by Commissioner Rubin. The motion carried with a 6-0 vote as follows:

Ayes: (6) Bell, Blackmun, de la O, Kearney, Kester, Rubin
Noes: (0) None
Absent: (1) Tran

Commissioner de la O assumed the duties of Chair.

SELECTION OF VICE CHAIR:

Commissioner Rubin nominated Commissioner Bell as Vice Chair, seconded by Commissioner Blackmun. The motion carried with a 6-0 vote as follows:

Ayes: (6) Bell, Blackmun, de la O, Kearney, Kester, Rubin
Noes: (0) None
Absent: (1) Tran

MINUTES: Commissioner Blackmun moved to receive and file the Minutes from the September 26, 2022 Meeting, seconded by Commissioner Kearney. The motion carried by a 6-0 vote as follows:

Ayes: (6) Bell, Blackmun, de la O, Kearney, Kester, Rubin
Noes: (0) None
Absent: (1) Tran

REVIEW OF THE CODE OF ETHICS/BROWN ACT: After a brief summary by staff, the Commission acknowledged the Code of Ethics and the Brown Act governing the Neighborhood Improvement and Conservation Commission.

MATTERS FROM STAFF:

NICC OVERVIEW: Staff presented a Housing and Urban Development (HUD) overview which focused on the allocation of available Federal funds for various programs including: Community Development Block Grant (CDBG), HOME Investment Partnership Act (HOME), Emergency Solutions Grant (ESG), CARES Act Funding, Citizen Participation Plan, 5-Year Consolidated Plan (ConPlan), Annual Action Plan (AAP), and the Consolidated Annual Performance and Evaluation Report (CAPER).

In response to Commissioner's questions, staff stated that the Be-Well Mobile Response Team has not acquired an additional van, however, the 2023-24 funding includes the new 'street medicine' program; that Be-Well addresses homelessness mental health and street medicine addresses physical health; that the number of sheltered and un-sheltered homeless in Garden Grove was 393 and the next Point in Time count would be conducted during winter of 2024; that the City currently has no State funding that would help retain jobs in Garden Grove, but the City could apply if the opportunity arose; that the City seeks out grants for programs; that jobs in the Jobs First program include low-income Garden Grove jobs in the service industry, such as food and retail; that low-income is 80% of the 'area median income' (AMI), however, the amount varies by county and the income limits are published each April; that staff tries to fully allocate Federal funds in the new year, however, a small portion is unallocated should new programs become available during the year; that funds are sometimes collected into a greater amount to build an affordable housing project; that public outreach for programs is published in three newspapers of different languages – English, Spanish, and Vietnamese; and that the Action Plan (AAP) accomplishments will be laid out in the 2023 CAPER (Consolidated Annual Performance and Evaluation Report).

PUBLIC HEARING – FY 2023-24 ANNUAL ACTION PLAN FOR THE USE OF HOUSING AND URBAN DEVELOPMENT (HUD) FUNDS: The U.S. Department of Housing and Urban Development (HUD) requires a public hearing be conducted prior to the approval of the FY 2023-24 Annual Action Plan to receive and utilize HUD grants. The City must submit an Annual Action Plan to HUD forty-five (45) days prior to the start of the fiscal year, or by May 15, 2023. Each year's Action Plan must address the Priority Objectives adopted by the City Council on June 23, 2020 for the 5-Year Consolidated Plan for the use of HUD Funds. The Draft FY 2023-24 Action Plan is

available on the City's webpage for public review until April 25, 2023 (ggcity.org/neighborhood-improvement/reports).

Approximately \$3.7 million in HUD funds will be available during FY 2023-24. This budget includes approximately \$1.2 million of carryover, or previously unallocated HUD funds from prior years' entitlement allocations, and a new entitlement allocation of \$2.5 million in HUD funds.

Program plans and funding recommendations for FY 2023-24 are based upon the Priority Objectives and input received during the public comment period on community and housing development needs conducted during the preparation of the 2020-2025 Consolidated Plan. During FY 2023-24, HUD funds will address a wide range of Garden Grove housing and community development needs as follows: Public Services, Public Facilities and Infrastructure, Owner Occupied Housing Rehabilitation, Economic Development, Affordable Housing, Emergency Solutions Grant, and Administration.

Staff noted that all HUD citizen participation requirements had been met for HUD's 30-day minimum public comment period.

The proposed FY 2023-24 Action Plan will allow the City to access \$2.5 million in new entitlement grants from HUD and an estimated \$1.2 million in unexpended previous year's funds. The allocation of HUD funds effectively leverages competitive grants and the City's General Funds. Funds will be included as part of the upcoming biennial budget for FY 2023-24.

In response to Commissioner's questions, staff stated that in regard to 'after-school' programs and child care, the City is implementing a pilot program called BOOST, via WeeCare; that WeeCare funds child-care agencies, including 'after-school' programs; that recipients must qualify as low-income, with the program directed toward those who need assistance; marketing for the program is via flyers and press releases, along with notification to the school district; and, the private agency selection is through the RFP process.

In regard to homelessness, the Be Well program works with the Police Department's Special Resource Team, a team of four full-time officers, who go out and respond to calls that had been forwarded to the Moving Forward Psychological Institute program, thus creating a collaboration.

Lastly, that the City uses grant retention funds to assist struggling businesses allotting \$3,000 per job retained.

Chair de la O opened the Public Hearing to receive comments. Seeing no speakers, the Public Hearing was closed.

It was moved by Commissioner Kearney and seconded by Chair de la O, to accept staff's recommendation to transmit the draft Fiscal Year 2023-24 Action Plan, along with any public comments, to City Council for adoption. The motion carried by a 6-0 vote as follows:

Ayes: (6) Bell, Blackmun, de la O, Kearney, Kester, Rubin
Noes: (0) None
Absent: (1) Tran

MATTERS FROM COMMISSIONERS: Commissioner Blackmun complimented staff on the Tamerlane project; Vice Chair Bell asked staff to consider additional methods for grant program outreach for the greater community; and staff introduced Lisa Kim, City Manager.

ADJOURNMENT: The meeting was adjourned at 7:34 p.m. The next Meeting of the Neighborhood Improvement and Conservation Commission will be held Monday, June 5, 2023, at 6:30 p.m., in the Council Chamber of the Community Meeting Center, 11300 Stanford Avenue, Garden Grove, CA.

Judy Moore
Recording Secretary

City of Garden Grove

INTER-DEPARTMENT MEMORANDUM

To: Neighborhood Improvement and Conservation Commission From: Timothy Throne

Dept: Community and Economic Development Dept: Community and Economic Development

Subject: ACCEPTANCE OF FISCAL YEAR 2022-23 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT Date: September 11, 2023

OBJECTIVE

To request the Neighborhood Improvement and Conservation Commission (NICC) conduct a Public Hearing regarding the City of Garden Grove's Fiscal Year 2022-23 Consolidated Annual Performance and Evaluation Report (CAPER) and recommend its transmittal to City Council.

BACKGROUND

Title I of the National Affordable Housing Act of 1990 requires jurisdictions that receive Community Development Block Grant (CDBG), HOME Investment Partnership Program (HOME) and Emergency Solutions Grant (ESG) funding to assess the activities implemented during its previous program year through an annual CAPER.

DISCUSSION

The CAPER details how the City of Garden Grove (City) carried out the projects and activities identified in the previously approved FY 2022-23 Annual Action Plan (AAP). The CAPER provides narrative descriptions and financial information on specific activities, and evaluates the City's progress toward the priority objectives addressing housing and community needs, as outlined in the 5-Year Consolidated Plan. The reporting period for the CAPER is from July 1, 2022 through June 30, 2023.

During FY 2022-23, the City utilized Federal funding to expand or preserve affordable housing opportunities, improve low-income neighborhoods through public infrastructure improvements, and assist special needs groups such as senior citizens, homeless and those who are at-risk of becoming homeless. The City assisted approximately **22,748** low-income individuals through various programs and services. Highlights of FY 2022-23 accomplishments include:

- Created and retained **47** jobs through job retention grants;
- Provided **369** home delivered and/or congregate meals to Garden Grove seniors;

- Provided rental assistance via the Valley View Senior Villas Program and the Homeless Emergency Assistance and Rental Transition Program to **31** homeless or at-risk of becoming homeless households;
- Provided homeless services to **178** individuals;
- Assisted **3,050** low-income resident through infrastructure development;
- Assisted **18** low-income residents with Home Improvement Grants;
- Assisted **6,660** low-income resident with various public and community services; and
- Provided community outreach and education to **7,319** individuals.

The FY 2019-20 AAP was amended to include Coronavirus Aid, Relief, and Economic Security (CARES) Act funding that was awarded to the City by HUD in April 2020. The Community Development Block Grant CARES Act (CDBG-CV) and Emergency Solutions Grant CARES Act (ESG-CV) funding expenditures and performance outcomes for completed projects are included in the FY 2022-23 CAPER. In total, the City expended an additional **\$1,416,507** in CDBG-CV and ESG-CV funding in FY 2022-23 to develop and expand programs in response to the Coronavirus. Please see below for the City's CDBG-CV and ESG-CV accomplishment for FY 2022-23:

CDBG-CV Accomplishments

- Provided mental health and wellness services to **4,509** individuals through the Be-Well Mobile Response Unit; and
- Provided an additional **30** individuals with workforce development services through the Workforce Activation and Readiness Program.

ESG-CV Accomplishments

- Provided **178** homeless individuals with street outreach, homeless prevention, and emergency shelter services;
- Provided **70** households (**174 individuals**) with rapid rehousing services.

Per the HUD approved Citizen Participation Plan, the City is required to make the CAPER available to the public for review and comment for a minimum of 30 days. A public notice was published in English, Spanish and Vietnamese newspapers announcing the public comment period, which began on August 25, 2023 and will conclude at the end of City Council's September 26, 2023 meeting. All public comments received are included in the final submission of the CAPER to HUD.

FY 2022-23 CAPER
September 11, 2023
Page 3

RECOMMENDATION

It is recommended that the NICC hold a public hearing to receive comments concerning the FY 2022-23 CAPER and recommend its transmission to City Council.

A handwritten signature in black ink, appearing to read "Tim Throne". The signature is written in a cursive, flowing style.

Timothy Throne
Sr. Program Specialist

Attachment: Draft FY 2022-23 CAPER

CITY OF GARDEN GROVE

PROGRAM YEAR 2022

**CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT (CAPER)**

**Projects and Activities Assisted by Housing and Urban Development Department
Funds**

July 1, 2022 through June 30, 2023

PUBLIC REVIEW DRAFT

**Prepared by City of Garden Grove
Community and Economic Development Department**

**CITY OF GARDEN GROVE
2022-23**

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Attachments

- Attachment 1: FY 2022-2023 CAPER Public Participation
- Attachment 2: FY 2022-2023 Project Locations Map
- Attachment 3: PR - 26 CDBG Financial Report Summary
- Attachment 4: HOME Monitoring Protocols
- Attachment 5: FY 2022-2023 ESG SAGE Report

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The Fiscal Year (FY) 2022-2023 Consolidated Annual Performance Evaluation Report (CAPER) captures the expenditures, accomplishments, and progress made on the strategies and goals outlined in the approved 2020-2025 Consolidated Plan for HUD Programs (Con Plan).

The FY 2019-2020 Annual Action Plan (AAP) was amended to include Coronavirus Aid, Relief, and Economic Security (CARES) Act funding that the City of Garden Grove was awarded in April 2020. The CARES Act funding and expenditures are shown in the FY 2019-2020, FY 2020-2021, FY 2021-2022, and FY 2022-23 CAPERs. Accomplishments for the CARES Act funding will not be reported until the expenditure deadline is reached and/or the activities have been closed out in IDIS. During FY 2022-2023, the City closed out the following CARES Act projects: **Be-Well Mobile Response Program**. Accomplishments for the closed FY 2022-2023 CARES Act projects will be outlined below in the narrative portion of CR-05.

The CAPER outlines achievements in affordable housing, homeless services, and community development programs. The City of Garden Grove's HUD Programs include:

- Community Development Block Grant (CDBG)
- HOME Investment Partnership (HOME)
- Emergency Solutions Grants (ESG)

The FY 2022-2023 CAPER covers the time period from July 1, 2022, to June 30, 2023, and is the third annual report of the Con Plan period. It also includes activities funded in previous fiscal years with accomplishments reported during FY 2022-2023.

The Con Plan includes the following high priority Goals that are the basis for the activities previously approved in the FY 2022-2023 AAP:

1. Provide decent and affordable housing;
2. Address the needs of homeless individuals;
3. Provide community and supportive services;
4. Address public facilities and infrastructure needs;

- 5. Promote economic development and employment; and
- 6. Provide for planning and administration activities.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Address Public Facilities and Infrastructure Needs	Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$800,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit (Josephine/Acacia Storm Drain Project Completed) (Josephine/Acacia Street Rehab. Project accomplishments will be recorded in FY 23-24 CAPER)	Persons Assisted	35,000	22,060	63.03%	3,050	3,050	100.00%

Address the Needs of Homeless Individuals	Homeless	ESG: \$31,963	Tenant-based rental assistance / Rapid Rehousing (Illumination)	Households Assisted	15	19	126.67%	4	5	125.00%
Address the Needs of Homeless Individuals	Homeless	ESG: \$66,070	Homeless Person Overnight Shelter (Interval House and IF)	Persons Assisted	435	271	62.30%	50	127	254.00%
Address the Needs of Homeless Individuals	Homeless	ESG: \$20,000	Homelessness Prevention (Mercy House)	Persons Assisted	130	39	30.00%	18	11	61.11%
Address the Needs of Homeless Individuals	Homeless	ESG: \$34,712	Other (MFPI)	Other	1,000	222	22.20%	50	33	66.00%
Promote Economic Development and Employment	Non-Housing Community Development	CDBG: \$350,000	Jobs created/retained (Jobs 1st)	Jobs	20	77	385.00%	15	47	313.33%
Promote Economic Development and Employment	Non-Housing Community Development	CDBG: \$350,000	Businesses assisted (Jobs 1st)	Businesses Assisted	0	45	0	0	15	0.00%

Provide Community and Supportive Services	Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$289,771	Public service activities other than Low/Moderate Income Housing Benefit (Special Resource Team, Senior Center, and Meals on Wheels)	Persons Assisted	3,500	6,075	173.57%	715	2,210	309.09%
Provide Decent and Affordable Housing	Affordable Housing Homeless Non-Homeless Special Needs	HOME: \$270,537	Rental units constructed N/A	Household Housing Unit	5	0	0.00%			
Provide Decent and Affordable Housing	Affordable Housing Homeless Non-Homeless Special Needs	HOME: \$270,536	Rental units rehabilitated (Stuart Drive – Accomplishments recorded in FY 23-24 CAPER)	Household Housing Unit	10	0	0.00%			
Provide Decent and Affordable Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$100,000	Homeowner Housing Rehabilitated (Home Repair Program)	Household Housing Unit	200	52	26.00%	16	18	112.50%

Provide Decent and Affordable Housing	Affordable Housing Homeless Non-Homeless Special Needs	HOME: \$250,000	Tenant-based rental assistance / Rapid Rehousing (Homeless Emergency Assistance and Rental Transition and Valley View Senior Villas Programs)	Households Assisted	134	147	109.70%	27	31	114.81%
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

During FY 2022-2023, the City expended CDBG funds to meet the priority needs identified in the Con Plan through the following actions:

1. Address Public Facilities/Infrastructure Needs

- The Josephine/Acacia Storm Drain Project (Phase 1) was completed in FY 2022-2023 and assisted approximately 3,050 individuals.
- The Josephine/Acacia Street Rehabilitation (Phase 2) Project was not completed in FY 2022-2023 and the accomplishments will be recorded in the FY 2023-2024 CAPER.

2. Address the Needs of Homeless Individuals

- The City was able to assist approximately **178** individuals through our homeless non-profit service providers.
- The City also expanded our homeless services being funded through our ESG-CV and ESG-CV2 CARES Act allocations and assisted another **352** homeless individuals through street outreach, homeless prevention, emergency shelter, and rapid rehousing activities. This translates to **149** additional unsheltered households being assisted through the expanded homeless services.

3. Promote Economic Development and Employment

- Through the Jobs 1st Program, the City was able to create and retain a total of **47** low-income employees, and assisted **15** businesses.

4. Provide Community and Supportive Services

- The Garden Grove Police Department's Special Resource Team directly assisted **1,170** individuals by providing street outreach and

essential services.

- The H. Louis Lake Senior Center enrolled **671** new seniors into their programs.
- Meals on Wheels of Orange County provided home-delivered and congregate meals to **369** new seniors.
- The City expanded our public services being funded through the CDBG-CV3 CARES Act allocation and assisted a total of **6,312** individuals through the Be-Well Mobile Response Program, including **4,243** unsheltered individuals. Approximately **4,509** individuals were assisted in FY 2022-23 through the Mobile Response Unit, including services to **3,021** unsheltered individuals.

5. **Provide Decent and Affordable Housing**

- The Home Repair Programs assisted **18** low-income Garden Grove residents with rehabilitation services for their homes.
- The City assisted **31** households through the HEART and Valley View Senior Villas Tenant-Based Rental Assistance Programs.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	496	15	124
Black or African American	12	1	29
Asian	562	15	33
American Indian or American Native	23	0	0
Native Hawaiian or Other Pacific Islander	12	0	2
Total	1,105	31	188
Hispanic	167	12	106
Not Hispanic	938	19	82

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The City of Garden Grove identifies priority needs and offers services and programs to eligible households regardless of race or ethnicity. This table is generated by the HUD CAPER template, and the information reported reflects demographic information provided by participants in the HUD reporting system.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	3,501,133	1,917,245
HOME	public - federal	1,878,970	631,421
ESG	public - federal	170,698	159,884

Table 3 - Resources Made Available

Narrative

The CDBG, HOME, and ESG resources made available in FY 2022-2023 included carryover funds from prior years.

During FY 2022-2023, the City expended a total of **\$4,125,057.16** in HUD grant funds on activities previously approved in prior year AAPs.

- **\$1,917,244.79** in CDBG funds on administration, public services, capital projects, and homeowner rehabilitation.
- **\$631,420.57** in HOME funds on administration, affordable housing, and tenant based rental assistance.
- **\$159,884.01** in ESG funds on administration and homeless service activities.
- **\$1,416,507.79** in CARES Act funding (CDBG-CV, ESG-CV, ESG-CV2 and CDBG-CV3) on administration and services for individuals affected by the Coronavirus (COVID-19).

ESG-CV grant funds were awarded to the City of Garden Grove in April 2020 and have an expenditure deadline of September 30, 2023. The expenditures for the CARES Act funding will be included in the FY 2019-2020, FY 2020-2021, FY 2021-2022, and FY 2022-2023 CAPERs, but accomplishments will not be recorded until the projects have been closed out in IDIS. The City will utilize the Sage reporting tool to submit the required ESG-CV reports that are due to HUD on a quarterly basis. During FY 2022-2023, the City closed out the following CARES Act projects: **Be-Well Mobile Response Program**. Accomplishments for the closed FY 2022-2023 CARES Act projects will be outlined in the narrative portion of CR-05.

HUD entitlement grant funds not expended during FY 2022-2023 will be carried over and programmed in future AAPs.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Citywide	35%	35%	Citywide

Table 4 – Identify the geographic distribution and location of investments

Narrative

Consistent with HUD goals for the CDBG, HOME, and ESG programs, the City utilized these funds for the benefit of low and moderate-income residents and neighborhoods.

The attached FY 2022-2023 AAP Project Locations Map (Attachment 2) shows the location of completed projects with specific addresses.

As identified on the Project Locations Map, several programs were made available to individuals from low or moderate-income households throughout the community, regardless of their place of residence, such as meal delivery to homebound seniors, services to the homeless, and housing rehabilitation grants.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

The City implemented activities and utilized CDBG funds consistent with the FY 2022-2023 AAP. The City secured and utilized HUD funds and leveraged funding consistent with the resource allocation plan. Throughout FY 2022-2023, the City did not take any actions that hindered the implementation of the Con Plan or AAP.

Subrecipients for FY 2022 CDBG funds were required to detail all secured and unsecured funding sources in their proposals. Each agency was asked to identify all project funding sources at the time of contract execution and again at project closeout. The ESG program requires all sub-recipients to provide a 100% match on grant funds.

The HOME program requires a 25% match for each HOME dollar invested, and excess match may be credited for use in future years. The total match credit arising from affordable housing bond proceeds may not constitute more than 25% of a Participating Jurisdiction's (PJ) total annual contribution toward its match obligation. Match credits in excess of 25% of a PJ's total annual match obligation may be carried over to subsequent fiscal years and be applied to future year's obligations.

The City did not utilize publicly owned land or property to address the needs identified in the Con Plan and AAPs. In March 1996, the City completed the Bayfort Apartments, which is a HOME-eligible affordable housing project that was bond-financed and that exceeded the annual total match obligation of 25% (See Table 5, below).

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	\$2,218,032
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$2,218,032
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$2,218,032

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
16/01/NON	03/02/1996	0	0	0	0	0	0	0

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	\$98,791	\$98,791	\$98,791	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	\$250,000	0	0	0	0	\$250,000
Number	1	0	0	0	0	1
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	\$250,000	\$250,000	0			
Number	1	1	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	16	0	0	0	0	16
Dollar Amount	\$13,857,560	0	0	0	0	\$13,857,560

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	27	31
Number of Non-Homeless households to be provided affordable housing units	16	18
Number of Special-Needs households to be provided affordable housing units	0	0
Total	43	49

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	27	31
Number of households supported through The Production of New Units	0	0
Number of households supported through Rehab of Existing Units	16	18
Number of households supported through Acquisition of Existing Units	0	0
Total	43	49

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The rehabilitation of existing units is accomplished through the administration of the Home Repair Program. Due to the nature of this program, it is common for a number of the grants to be delayed and carry over into the following FY. Home Repair Program projects that were not completed in FY 2022-2023 (8 total projects) will have their accomplishments recorded in the FY 2023-2024 CAPER.

The acquisition/rehabilitation of existing units will be accomplished utilizing HOME funds. The City is currently developing a 9-unit permanent supportive housing development, which is forecasted to be completed in FY 2023-2024.

Discuss how these outcomes will impact future annual action plans.

The City of Garden Grove is committed to providing high-quality, affordable housing for its residents. The City is in constant contact with developers to produce affordable housing projects and, as funds become available, these projects will be included in future AAPs.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	0	26
Low-income	18	5
Moderate-income	0	0
Total	18	31

Table 13 – Number of Households Served

Narrative Information

Using CDBG and HOME funds, the City was able to assist a total of forty-nine (**49**) low-income households with housing-related services.

- The Home Repair Program is designed to assist Garden Grove residents who qualify at or below the "low-income" limit, which is 80% of the Orange County area median income. Through this program, the City assisted eighteen (**18**) low-income residents with funds to rehabilitate their homes.
- HOME funding for the HEART Program allowed the City to serve a total of twenty-eight (**28**) homeless households with rental assistance and supportive services.
- HOME funding for the Valley View Senior Villas Program allowed the City to serve a total of three (**3**) extremely low-income households with rental assistance and supportive services.

Additionally, the City expended a total of **\$1,016,773.18** in ESG funds to assist homeless individuals with housing solutions.

- **\$19,994.82** in ESG funds was used to keep **4 households (11 individuals)** from losing their primary residence via homeless prevention services.
- **\$21,233.71** in ESG funds was used to locate and secure permanent housing for **5 homeless households** via rapid rehousing services.
- **\$100,732.48** in ESG funds was used to provide **160 individuals** with street outreach and emergency shelter services.
- **\$109,416.46** in ESG-CV2 funds assisted another **69 individuals** through homeless prevention activities.

- **\$765,395.71** in ESG-CV2 funds assisted **283 individuals** through street outreach, emergency shelter, and rapid rehousing activities.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

During FY 2022-2023, the City of Garden Grove was awarded **\$170,698** in ESG funds to address homelessness issues throughout the City. The funds were made available to various service providers offering different types of eligible homeless programs. The services included emergency shelters, essential services, homeless prevention, and homeless outreach.

The City of Garden Grove provided **\$34,712** to Moving Forward Psychological Institute to support a Street Outreach Program. The services provided through the Street Outreach Program were directed towards deploying basic needs support to unsheltered individuals in Garden Grove. This activity created opportunities for sub-recipients to informally engage and assess unsheltered individuals within their peer and community setting. Moving Forward Psychological Institute was able to provide essential outreach services to **33** homeless residents in FY 2022-2023.

City staff focused the majority of resources on helping service providers maintain emergency shelter operations for homeless individuals and families, provide essential services such as case management and career counseling, and support homeless prevention programs through rapid rehousing and transitional housing. The City provided **\$66,070** in ESG funding to Interval House and Illumination Foundation for emergency shelter and essential services, which included community outreach and education programs to individuals at risk of domestic violence. With the Garden Grove ESG funding for emergency shelter, Interval House and Illumination Foundation were able to free up their nonfederal resources to provide homeless outreach services, homeless prevention education, and domestic violence safety outreach to the population at risk of homelessness, which included **127** individuals in Garden Grove.

The City of Garden Grove Police Department's Special Resource Team (SRT), funded through the City's general fund, also implemented street outreach programs for the homeless. The SRT focused on providing resources to help reduce the number of homeless individuals as well as reducing the police responses involving the homeless and mentally ill. The Police Department also partnered up with Orange County Mental Health agencies to provide resources and assistance to homeless individuals that the SRT encounters.

Addressing the emergency shelter and transitional housing needs of homeless persons

Of the **\$170,698** of ESG funds, the City allocated **\$66,070** for emergency shelter and **\$31,963** for rapid rehousing programs. These funds provided different levels of homeless/client programs, including chronically homeless services, domestic violence, winter armory, child care, and rental assistance.

ESG funds also supported Interval House in maintaining its Domestic Violence Shelter Program. Over **127** individuals from Garden Grove were given emergency shelter and were provided support services which included a safe living environment. Case management was provided to ensure that the clients were rapidly rehoused in decent and affordable permanent housing. Over FY 2022-2023, Illumination Foundation provided rapid rehousing services to **5** households.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City of Garden Grove committed **\$20,000** in ESG funds to Mercy House for homeless prevention services. Mercy House assisted **4 families (11 individuals)** through their Homeless Prevention Program, providing rental assistance and case management services to keep Garden Grove families from becoming homeless. Other ESG sub-recipient organizations, such as Interval House, have made great efforts to assist victims from becoming homeless after the completion of temporary housing programs. Staff at Interval House conduct follow-up case management for their domestic violence victims and make referrals to Garden Grove Housing Authority for Section 8 vouchers for permanent housing.

In FY 2022-2023, the Garden Grove Housing Authority worked with Thomas House, Mercy House, and Interval House in providing Section 8 vouchers to qualified residents coming from the shelter programs. Under the voucher program, individuals or families with a voucher are able to find and lease a unit and only have to pay a portion of the rent. The program further assists low-income individuals and families to avoid becoming homeless.

Every jurisdiction receiving McKinney-Vento Homeless Assistance Act funds must develop and implement a Discharge Coordination Policy. The County of Orange assists people discharged from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions). This assistance prevents homelessness resulting from discharge. The City will refer people discharged from public institutions to the County of Orange for additional resources.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

In FY 2022-2023, the City allocated **\$31,963** for the Rapid Rehousing Program, which was administered through Illumination Foundation. The program also provided security deposits and rental assistance payments directly to landlords on behalf of participants, housing stability case management, legal services for housing needs, and credit repair assistance. The services are designed to seamlessly transition clients into suitable and stable permanent housing. The funds used for the rapid rehousing program in FY 2022-2023 provided personal and financial assistance to **5** Garden Grove households.

As part of the efforts to provide housing for the homeless and those at risk of homelessness, the City of Garden Grove awarded HOME funds to service providers who provide rental assistance through the Homeless Emergency Assistance and Rental Transition (HEART) Program. Interval House administered the program during FY 2022-2023 and assisted **28** households over a 12-month period by providing a portion of a household's rent (including security and utility deposits) while offering services to achieve self-sufficiency.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City of Garden Grove does not have any units of Public Housing.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

The City of Garden Grove does not have any units of Public Housing.

Actions taken to provide assistance to troubled PHAs

The City of Garden Grove does not have any units of Public Housing.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

Market and governmental factors pose barriers to the provision of adequate and affordable housing. These factors tend to disproportionately impact lower- and moderate-income households due to their limited resources for absorbing the costs. Garden Grove works to remove barriers to affordable housing by implementing a Housing Element that is consistent with California law and taking actions to reduce costs or provide off-setting financial incentives to assist in the production of safe, high-quality, affordable housing. The City is committed to removing governmental constraints that hinder the production of housing and offers a “one-stop” streamlined permitting process to facilitate efficient entitlement and building permit processing.

The City of Garden Grove has instituted additional actions aimed at reducing the impact of the public sector’s role in housing costs. City efforts to remove barriers to affordable housing include:

- Conduct periodical analysis and revision of the zoning code aimed at developing flexible zoning provisions in support of providing an adequate supply of desirable housing, such as mixed-use zoning standards and updates to the Housing Element.
- Add provision of affordable housing projects through acquisition and rehabilitation activities and new construction of affordable housing units.
- Establishment of a streamlined service counter to reduce process time.
- Incentivize density bonuses for affordable projects.
- Continued assessment of existing policies, procedures, and fees to minimize unnecessary delays and expenses to housing projects.
- Streamline construction and/or conversion of Accessory Dwelling Units (ADU's).

In addition, the City updated its Analysis of Impediments to Fair Housing Choice (AI) Report in March 2020 in coordination with other local jurisdictions. This report identifies any potential impediments to fair housing and establishes a Fair Housing Action Plan to outline steps to overcome any identified impediments.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The major obstacle to meeting under-served needs is the lack of adequate funding, especially for affordable housing activities. With the dissolution of redevelopment in California and reduced State and Federal funding levels, the City's ability to address the extensive needs in the community is seriously compromised. The City will strive to leverage available funds, to the greatest extent possible, to overcome obstacles in meeting under-served needs. The City has adopted its 2014-2021 Housing Element, which

includes a commitment to annually pursue State, Federal, and other funding opportunities to increase the supply of safe, decent, affordable housing in Garden Grove for lower-income households (including extremely low-income households), such as seniors, disabled, the homeless, and those at risk of homelessness.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City has an aggressive policy to identify and address lead-based paint hazards in all HUD-funded housing rehabilitation projects. The City considers all housing rehabilitation an opportunity to address potential lead hazards. We, therefore, require lead paint testing for 100% of the City's HUD-funded residential rehabilitation programs where paint will be disturbed in properties built before 1978. Loan/grant recipients are required to obtain a lead-based paint inspection prior to commencement of work as well as a post-rehabilitation clearance test if the work disturbed areas where lead contamination had been found. Because the additional costs of lead hazard testing and remediation can be prohibitively expensive for low-income homeowners, the City uses CDBG funds to cover the costs of the lead paint inspection and, if necessary, any lead paint interim controls and lead clearance testing in conjunction with any CDBG-funded housing rehabilitation grants or loans. During FY 2022-2023, the City funded **twenty (20)** lead-based paint initial inspections and **one (1)** clearance inspections in administration of the Home Repair Program.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Garden Grove continues to look for ways to expand economic activities to include all people and provide programs to those people who are less fortunate. In the past, the City has focused on the creation of jobs for low- and moderate-income persons through economic development in the Harbor Boulevard area. Through the Con Plan and associated AAP, the City seeks to create and retain permanent jobs that are available to and/or filled by low- and moderate-income people. In addition, other essential elements of the City's anti-poverty strategy include:

- Section 8 Housing Choice Voucher Program;
- Housing Choice Voucher Family Self Sufficiency Program;
- Economic development programs;
- Anti-crime programs;
- Housing rehabilitation programs;
- Creation of affordable housing;
- Job training and employment development; and
- Transitional housing and homeless service programs.

Through these programs, the City is working to reduce the number of families living below the poverty line. The goals and strategies contained in the Con Plan for funding housing, community development, and community services activities often directly address poverty issues through provision of funding or services, or indirectly through the creation of jobs as several of the CDBG, HOME, and ESG funded service

providers offer job training as a component of their programs.

In addition, the City will annually allocate up to 15% of its CDBG funds to public service agencies that offer supportive services in an effort to reduce poverty.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Successful program implementation requires coordination, both internally and with outside agencies. The City makes changes, as needed, to its staff assignments to address the administrative, planning, and reporting needs of CDBG, HOME, and ESG funds. Project management improvements have included strengthened project eligibility review and staff training of regulatory compliance and procedures. The City of Garden Grove Neighborhood Improvement Division of the Community and Economic Development Department serves as the lead agency in administration and compliance of CDBG, HOME, and ESG Programs and grant management. The Neighborhood Improvement Division coordinates activities related to CDBG, HOME, and ESG funds, including coordination of internal departments, outside agencies, and grant recipients.

The City's ongoing efforts in its institutional structure include strengthening project designs through negotiating stronger and more specific performance goals for project contracts. This includes ongoing education and technical assistance for program stakeholders, including fellow City Departments implementing HUD-funded programs, outside contractors, the Neighborhood Improvement and Conservation Commission, the City Council, and the public in general regarding the overall objectives and eligible and ineligible uses of each of our HUD funds.

The City also amended the Citizen Participation Plan to make it more readable and to officially designate the City Council as the public hearing body and worked closely with the Neighborhood Improvement and Conservation Commission to deepen their understanding of the CDBG, HOME, and ESG Programs.

Capacity-building is another component in development of the City's institutional structure. In addition to in-house training and development of improved management systems, the City will continue to participate in all HUD training offered locally. To gather more information, build staff knowledge, and seek regional solutions to regional problems, the City participates in regional efforts such as the Orange County Continuum of Care for the Homeless.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Housing, supportive services, and community development activities were delivered by a number of public agencies, non-profit entities, and private organizations. The City of Garden Grove continued to function in a coordinating role between local non-profit service providers and other County, State, and Federal organizations, as well as regional agencies and plans such as the Orange County Continuum of Care (CoC).

To enhance coordination, the City participated in regional planning groups and forums to foster collaboration with other agencies and organizations. Through collaboration, the City identified common goals and strategies to avoid overlaps in services and programs and identify potential for leveraging resources. The City also continued to work with a wide range of public and community social service agencies to meet and address the various needs of the community. The City utilized the services of 211 Orange County, whose mission is to help people in the community find the help they need by eliminating the barriers to finding and accessing social services.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

The City of Garden Grove is required to undertake an analysis of impediments to fair housing that may be prevalent in the community and to develop an action plan to address impediments. The City, in collaboration with other Orange County communities, participated in producing a five-year analysis of impediments to fair housing. The final product was the 2020-2024 Orange County Regional Analysis of Impediments to Fair Housing Choice (Regional AI). The following are the private sectors impediments:

- Housing Discrimination
- Discriminatory Advertising
- Denial of Reasonable Accommodation
- Hate Crimes
- Unfair Lending

During FY 2022-2023, the City of Garden Grove undertook several programs/actions (on its own or in cooperation with a fair housing provider) to overcome the impediments to fair housing choices identified in the Regional AI. Garden Grove contracted with Fair Housing Foundation (FHF) to provide comprehensive educational and enforcement programs for City residents. The FHF understands the private sector and is well equipped to analyze impediments, describe appropriate actions, and to follow-through on those actions.

During FY 2022-2023, the FHF assisted a total of **7,319 individuals** with the following services:

1. Fair Housing Outreach and Education

- Planned Agency Meetings at various locations throughout the City;
- Organized booths at various events throughout the City;
- Distributed literature at various locations throughout the City (including flyers and press releases);
- Facilitated management trainings at City Hall;
- Presented programs at various locations throughout the City; and
- Coordinated landlord/tenant workshops at City Hall.

2. General Housing Counseling & Resolution

- Responded to inquiries regarding general housing issues. In addition, FHF screens, inputs data, counsels, pursues habitability cases, provides unlawful detainer assistance, conducts mediations, and provides appropriate referrals.

3. Discrimination Services

- Responded to inquiries regarding discrimination, complaints, screening, and counseling services.

4. Landlord/Tenant Services

- Responded to and assisted in the mediation of disputes between landlords and tenants.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Although the City's HUD-funded activities and strategies have been very successful, we strive for continuous improvements in our housing, homeless prevention, neighborhood improvement, and public service priority needs, as well as our grant administration, compliance, and monitoring. During FY 2022-2023, the City continued to improve its project, fiscal, and other administrative management systems to ensure compliance with CDBG, HOME, and ESG program and comprehensive planning requirements through the following measures and accomplishments:

The City's Community and Economic Development and Finance Departments worked together over the last six months to prepare for the FY 2022-2023 CAPER and the FY 2023-2024 AAP. Through several brainstorming meetings with managers and staff from both teams, the City has achieved comprehensive training for key staff in both departments on HUD program financial administration and using IDIS. Community and Economic Development staff have been working with Finance staff to educate them on HUD requirements. This cooperation will improve the timeliness of HUD fund drawdowns, establish better procedures and schedules for aligning the City's general budget planning and the HUD AAP process, the City's general ledger and IDIS records, and for handling remaining funds at the end of the program year.

City Staff annually monitors all HOME funded projects in accordance with the City's Monitoring Plan for HOME Rental Projects and the HOME Final Rule. See Attachment 4 for the City's HOME Monitoring Policy.

In an effort to ensure up-to-date knowledge of HUD programs and policies, staff members invested over 50 hours in training, workshops, webinars, or technical assistance sessions sponsored by HUD or by outside agencies, but with direct relevance to the HUD program implementation. Topics of the trainings included Coronavirus Aid, Relief, and Economic Security (CARES) Act funding, HOME American Rescue Plan, Financial Management, Analysis of Impediments, HMIS, HOME activities, IDIS, sub-recipient management, CDBG and Environmental Training.

CARES ACT CDBG-CV and ESG-CV grant funds were awarded to the City of Garden Grove in April 2020 and have an expenditure deadline of September 30, 2023. The City will monitor CARES ACT CDBG-CV and ESG-CV projects in accordance with HUD regulations on a quarterly basis through expenditure reports. The City will utilize the Sage Report tool to submit the required CARES ACT ESG-CV reports that are due to HUD on a quarterly basis.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City's effort to provide citizens with reasonable notice and an opportunity to comment on performance reported in the Draft FY 2022-2023 CAPER follows the process outlined in the Citizen Participation Plan.

As outlined in the Public Notice, due to COVID-19, the City has modified its process for reviewing and accepting comments related to the CAPER to ensure social distancing and to limit the spread of COVID-19. The Draft CAPER was made available for public review online at <https://ggcity.org/neighborhood-improvement>, and all public comments were directed to staff via email.

The 30-day public review and comment period for the FY 2022-2023 CAPER was from August 25, 2023, through September 26, 2023. Notices for the public hearing were published in local English, Spanish and Vietnamese newspapers on August 25, 2023. The City held public hearings to receive public comments regarding the FY 2022-2023 CAPER at the September 11, 2023 Neighborhood Improvement and Conservation Commission meeting, and at the September 26, 2023, Garden Grove City Council meeting. The public notices that were published are included in Attachment 1.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The City of Garden Grove CDBG program did not have any significant changes to the Consolidated Plan goals.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 24 CFR 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The Garden Grove Housing Authority (GGHA) conducts Housing Quality Standard (HQS) inspections of Tenant Based Rental Assistance units, HOME restricted units, Density Bonus units, and Housing Successor units to determine compliance with Federal, State, and local housing standards.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 24 CFR 91.520(e) and 24 CFR 92.351(a)

All HOME funded affordable housing projects must adopt affirmative marketing procedures and submit the affirmative marketing plan to the City. During annual monitoring, overall performance related to fair housing and non-discrimination is monitored to ensure fair housing compliance.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

During FY 2022-2023, the City expended **\$98,791** in Program Income on the HEART Program. The HEART Program provides rental assistance and supportive services for literally homeless individuals and individuals at-risk of homelessness.

Describe other actions taken to foster and maintain affordable housing. 24 CFR 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 24 CFR 91.320(j)

Section 8 funds: The Garden Grove Housing Authority administers the Section 8 Program for the City, and provides rent subsidies to 2,337 Garden Grove households.

Density Bonus: The City of Garden Grove works with housing developers to assist in the development of affordable housing projects through the execution of Density Bonus Affordable Housing Agreements. The City currently monitors three (3) density bonus affordable housing projects totaling 15 affordable units.

Redevelopment Agency: The City of Garden Grove currently monitors 11 affordable housing projects, totaling 640 affordable units previously assisted with former Low and Moderate Housing funds.

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	1	0	0	0	0
Total Labor Hours	0				
Total Section 3 Worker Hours	4,379				
Total Targeted Section 3 Worker Hours	617				

Table 14 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers					
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.					
Direct, on-the job training (including apprenticeships).					
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.					
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).					
Outreach efforts to identify and secure bids from Section 3 business concerns.					
Technical assistance to help Section 3 business concerns understand and bid on contracts.					
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.					
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.					
Held one or more job fairs.					
Provided or connected residents with supportive services that can provide direct services or referrals.					
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.					
Assisted residents with finding child care.					
Assisted residents to apply for, or attend community college or a four year educational institution.					
Assisted residents to apply for, or attend vocational/technical training.					
Assisted residents to obtain financial literacy training and/or coaching.					
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.					
Provided or connected residents with training on computer use or online technologies.					
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.					
Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.					
Other.					

Table 15 – Qualitative Efforts - Number of Activities by Program

Narrative

The prime contractor and subcontractors for the Garden Grove Park Project met the requirements for the number of Section 3 Workers (45.6%) and Targeted Section 3 Workers (6.4%) working on the project.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	GARDEN GROVE
Organizational DUNS Number	009596495
UEI	
EIN/TIN Number	956005848
Identify the Field Office	LOS ANGELES
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Santa Ana/Anaheim/Orange County CoC

ESG Contact Name

Prefix	Mr
First Name	Tim
Middle Name	
Last Name	Throne
Suffix	
Title	Sr. Program Specialist

ESG Contact Address

Street Address 1	11222 Acacia Parkway
Street Address 2	
City	Garden Grove
State	CA
ZIP Code	92840-
Phone Number	7147415144
Extension	
Fax Number	
Email Address	timothyt@ggcity.org

ESG Secondary Contact

Prefix	Ms
First Name	Monica
Last Name	Covarrubias
Suffix	
Title	Sr. Project Manager
Phone Number	7147415788
Extension	
Email Address	monicac@ggcity.org

2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2022
Program Year End Date 06/30/2023

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: INTERVAL HOUSE

City: Long Beach

State: CA

Zip Code: 90803, 4221

DUNS Number: 113510176

UEI:

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 30000

Subrecipient or Contractor Name: MERCY HOUSE TRANSITIONAL LIVING CENTERS

City: Santa Ana

State: CA

Zip Code: 92702, 1905

DUNS Number: 879797165

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: Illumination Foundation

City: Orange

State: CA

Zip Code: 92867, 5548

DUNS Number: 829919047

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 72775

Subrecipient or Contractor Name: 211 Orange County

City: Santa Ana

State: CA

Zip Code: 92705, 8520

DUNS Number: 884339003

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 5121

Subrecipient or Contractor Name: Moving Forward Psychological Institute, INC.

City: Fountain Valley

State: CA

Zip Code: 92708, 4250

DUNS Number: 128013707

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 34712.36

CR-65 - Persons Assisted

CR-65 is replaced by the FY 2022-2023 ESG Sage Report, which is located in Attachment #5.

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	29,200
Total Number of bed-nights provided	25,711
Capacity Utilization	88.05%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

All sub-recipients of ESG funds were required to use homeless/client certification forms during the intake process to ensure all clients serviced were qualified and are residents of the City of Garden Grove. In addition, sub-recipients were also required to submit completed quarterly reports for monitoring purposes. During the aforementioned process, City staff addressed concerns and/or discrepancies within the reports and made sure corrections were made at the early stages of the FY. **The capacity of utilization for shelter was approximately 88% (25,711 bed nights provided).**

In addition, City staff consulted with the CoC and attended meetings with various County subcommittees to discuss issues, concerns, and best practices for meeting the needs of the homeless population. Staff also formed an OC Collaborative consisting of neighboring jurisdictions receiving ESG funds (Anaheim, Santa Ana, Irvine, and the County of Orange) and established a shared Request for Proposal (RFP) that was utilized to fund service providers for program year 2022. The OC Collaborative created uniform ESG guidelines that are utilized amongst all service providers within the County. These guidelines include a homeless at-risk assessment and a homeless certification form. Creating these guidelines helped promote a cohesive effort between the neighboring Cities in addressing homelessness and also assisted service providers to stay compliant with HUD’s regulations.

CR-75 – Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	\$30,442	\$13,475	\$7,070
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	\$9,815	\$12,925
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	\$30,442	\$23,290	\$19,995

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	\$28,140	\$24,000	\$4,433
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	\$8,895
Expenditures for Housing Relocation & Stabilization Services - Services	\$6,635	\$6,000	\$7,906
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	\$34,775	\$30,000	\$21,234

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Essential Services	\$46,600	\$54,474	\$51,523
Operations	0	\$7,679	\$9,824
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	\$46,600	\$62,153	\$61,347

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Street Outreach	\$50,000	\$25,000	\$34,673
HMIS	\$5,241	\$25,000	\$5,121
Administration	\$13,104	\$12,985	\$12,802

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2020	2021	2022
	\$180,162	\$178,428	\$155,172

Table 29 - Total ESG Funds Expended

11f. Match Source

	2020	2021	2022
Other Non-ESG HUD Funds	0	\$25,000	0
Other Federal Funds	0	0	0
State Government	\$81,375	\$60,000	0
Local Government	\$55,241	\$50,000	\$5,121
Private Funds	0	\$32,153	\$41,485
Other	\$30,442	0	\$101,630
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	\$167,058	\$167,153	\$148,236

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2020	2021	2022
	\$347,220	\$345,581	\$303,408

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment #1

FY 2022-2023 CAPER Public Participation

**PUBLIC NOTICE
CITY OF GARDEN GROVE
2022-23 CONSOLIDATED ANNUAL PERFORMANCE
AND EVALUATION REPORT (CAPER)**

The City of Garden Grove's (City) Community and Economic Development Department, in accordance with U.S. Department of Housing and Urban Development (HUD) regulations, has prepared its Draft FY 2022-23 Consolidated Annual Performance and Evaluation Report (CAPER). The report describes and assesses the housing, economic, and community development activities undertaken by the City over the period from July 1, 2022, through June 30, 2023.

Opportunity for Public Review and Comment

Public hearings are to be held in the Garden Grove Community Meeting Center located at 11300 Stanford Avenue, Garden Grove, California, 92840, and are scheduled as follows:

Garden Grove Neighborhood Improvement and Conservation Commission – **Monday, September 11, 2023, at 6:30 p.m.**

Garden Grove City Council – **Tuesday, September 26, 2023, at 6:30 p.m.**

From August 25, 2023 through September 26, 2023, the City's FY 2022-2023 CAPER will be available for public review at the following location:

Please visit the City's website at <https://ggcity.org/neighborhood-improvement/reports> to review the draft FY 2022-23 CAPER, and please submit comments electronically to Timothy Throne at timothyt@ggcity.org.

The Community and Economic Development Department will accept written and verbal comments until 3:00 p.m. on **September 26, 2023**. Please direct any questions or comments to Timothy Throne, at (714) 741-5144 or by email at timothyt@ggcity.org.

/s/ TERESA POMEROY, CMC
City Clerk

Date: August 14, 2023

Publish: August 25, 2023

**AVISO PÚBLICO
CIUDAD DE GARDEN GROVE
DESEMPEÑO ANUAL CONSOLIDADO E INFORME DE EVALUACIÓN
(CAPER) 2022-23**

El Departamento de Desarrollo Económico y Comunitario de la Ciudad de Garden Grove (Ciudad), de acuerdo con las regulaciones del Departamento de Vivienda y Desarrollo Urbano de los EE. UU (HUD, por sus siglas en inglés) ha preparado su Anteproyecto del Desempeño Anual Consolidado e Informe de Evaluación (CAPER, por sus siglas en inglés) del AF 2022-23. El informe describe y evalúa las actividades de vivienda, económicas, y de desarrollo comunitario realizadas por la Ciudad durante el periodo del 1 de julio de 2022 al 30 de junio de 2023.

Oportunidad de Revisión y Comentarios Públicos

Las audiencias públicas se llevarán a cabo en el Centro de Reuniones Comunitarias de Garden Grove ubicado en 11300 Stanford Avenue, Garden Grove, California, 92840, y están programadas de la siguiente manera:

Comisión de Conservación y Mejora del Vecindario de Garden Grove – **Lunes 11 de septiembre de 2023, a las 6:30 p.m.**

Ayuntamiento de Garden Grove – **Martes 26 de septiembre de 2023, a las 6:30 p.m.**

Desde el 25 de agosto de 2023 hasta el 26 de septiembre de 2023, el CAPER del AF 2022-2023 CAPER estará disponible para revisión pública en la siguiente ubicación:

Por favor, visite el sitio web de la Ciudad es <https://ggcity.org/neighborhood-improvement/reports> para revisar el borrador CAPER del AF 2022-23, y envíe sus comentarios electrónicamente a Timothy Throne a timothyt@ggcity.org.

El Departamento de Desarrollo Económico y Comunitario aceptará comentarios escritos y orales hasta las 3:00 p.m. del 26 de septiembre de 2023. Por favor, dirija cualquier pregunta o comentario a Timothy Throne, al (714) 741-5144 o por correo electrónico a timothyt@ggcity.org.

/s/ TERESA POMEROY, CMC
Secretaria de la Ciudad

Fecha: 14 de agosto de 2023

Publicar: 25 de agosto de 2023

THÔNG BÁO
THÀNH PHỐ GARDEN GROVE
BÁO CÁO ĐÁNH GIÁ VÀ THÀNH TÍCH HỢP NHẤT
HÀNG NĂM CAPER 2022-23 (CAPER)

Thành phố Garden Grove, Ban Phát triển Kinh tế và Cộng đồng, theo quy định của Bộ Phát triển Đô thị và Nhà ở Hoa Kỳ (HUD), đã chuẩn bị Bản thảo Báo Cáo Đánh Giá và Thành Tích Hợp Nhất Hàng Năm CAPER 2022-23 (CAPER). Báo cáo mô tả và đánh giá các hoạt động phát triển nhà ở, kinh tế và cộng đồng do Thành phố thực hiện trong khoảng thời gian trong giai đoạn từ ngày 1 tháng Bảy, 2022 đến hết ngày 30 tháng Sáu, 2023.

Cơ Hội Để Công Chúng Xem Duyệt Và Nhận Xét

Phiên điều trần công khai sẽ được tổ chức tại Garden Grove Community Meeting Center, tọa lạc tại 11300 Stanford Avenue, Garden Grove, California, 92840 vào các ngày sau đây:

Ủy Ban Cải Thiện và Bảo Tồn Hàng Xóm (Neighborhood Improvement and Conservation Commission, NICC) vào **Thứ Hai, ngày 11 tháng Chín, 2023 lúc 6:30 chiều.**

Và buổi họp Hội Đồng Thành Phố Garden Grove vào ngày **Thứ Ba, 26 tháng Chín, 2023 lúc 6:30 chiều.**

Bản thảo CAPER niên khoá 2022-2023 sẽ có sẵn để công chúng nhận xét từ ngày 25 tháng Tám, 2023 đến ngày 26 tháng Chín, 2023 tại các địa điểm sau:

Bản thảo CAPER niên khoá 2022-2023 có online để công chúng nhận xét tại <https://ggcity.org/neighborhood-improvement/reports>. Vui lòng gửi những góp ý đến ông Timothy Throne tại email timothyt@ggcity.org

Các nhận xét bằng văn bản nên gửi đến sớm và không trễ hơn Thứ Ba, ngày 26 tháng Chín, 2023, lúc 3:00 giờ chiều đến Ban Phát triển Kinh tế và Cộng đồng. Vui lòng gửi câu hỏi hoặc góp ý về ông Timothy Throne, ở số (714) 741-5144 hoặc qua email timothyt@ggcity.org.

/s/ TERRI POMEROY, CMC
Thư Ký Thành Phố

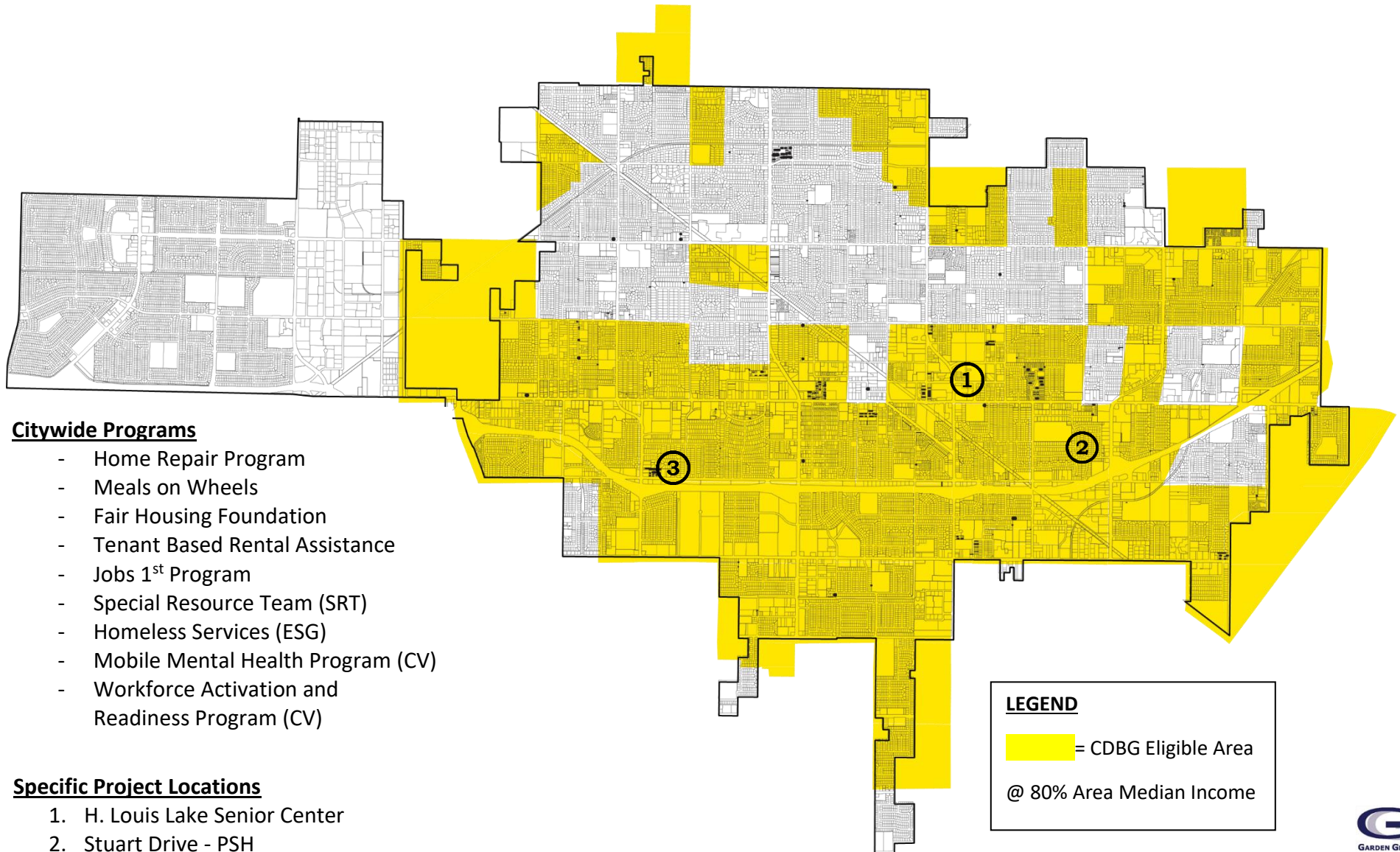
Ngày: 14 tháng Tám, 2023

Ngày công bố: 25 tháng Tám, 2023

Attachment #2

FY 2022-2023 Project Locations Map

ATTACHMENT 2: FY 2022-23 Project Locations




Citywide Programs

- Home Repair Program
- Meals on Wheels
- Fair Housing Foundation
- Tenant Based Rental Assistance
- Jobs 1st Program
- Special Resource Team (SRT)
- Homeless Services (ESG)
- Mobile Mental Health Program (CV)
- Workforce Activation and Readiness Program (CV)

Specific Project Locations

1. H. Louis Lake Senior Center
2. Stuart Drive - PSH
3. Josephine/Acacia Storm Drain and Street Rehabilitation Projects

LEGEND

-  = CDBG Eligible Area
- @ 80% Area Median Income

Attachment #3

PR-26 – CDBG Financial Summary



Office of Community Planning and Development
 U.S. Department of Housing and Urban Development
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PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	1,931,812.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	0.00
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE-OF-CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	1,931,812.00

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,656,454.10
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,656,454.10
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	430,738.94
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	2,087,193.04
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(155,381.04)

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,656,454.10
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,656,454.10
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	281,046.85
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	281,046.85
32 ENTITLEMENT GRANT	1,931,812.00
33 PRIOR YEAR PROGRAM INCOME	0.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	1,931,812.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	14.55%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	430,738.94
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	430,738.94
42 ENTITLEMENT GRANT	1,931,812.00
43 CURRENT YEAR PROGRAM INCOME	0.00
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	1,931,812.00
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	22.30%



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LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

No data returned for this view. This might be because the applied filter excludes all data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

No data returned for this view. This might be because the applied filter excludes all data.

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	5	723	6671708	Josephine Acacia Storm Drain	03I	LMA	\$1,054,912.50
					03I	Matrix Code	\$1,054,912.50
2021	4	732	6671708	CDBG - Meals on Wheels	05A	LMC	\$5,000.00
2021	4	733	6671708	CDBG - Senior Center	05A	LMC	\$31,939.30
2022	3	758	6742034	CDBG - Meals on Wheels	05A	LMC	\$10,000.00
2022	3	758	6774370	CDBG - Meals on Wheels	05A	LMC	\$5,000.00
2022	3	759	6712201	CDBG - Senior Center	05A	LMC	\$52,220.82
2022	3	759	6742034	CDBG - Senior Center	05A	LMC	\$40,867.40
2022	3	759	6774370	CDBG - Senior Center	05A	LMC	\$39,591.48
					05A	Matrix Code	\$184,619.00
2022	3	760	6712201	CDBG - Special Resource Team	05Z	LMA	\$23,281.75
2022	3	760	6742034	CDBG - Special Resource Team	05Z	LMA	\$17,148.68
2022	3	760	6774370	CDBG - Special Resource Team	05Z	LMA	\$55,997.42
					05Z	Matrix Code	\$96,427.85
2021	6	736	6671708	CDBG - Home Repair Program	14A	LMH	\$48,375.25
2022	5	762	6712201	CDBG - Home Repair Program	14A	LMH	\$37,093.01
2022	5	762	6742034	CDBG - Home Repair Program	14A	LMH	\$16,731.00
2022	5	762	6774370	CDBG - Home Repair Program	14A	LMH	\$46,574.67
					14A	Matrix Code	\$148,773.93
2022	6	761	6712201	CDBG - Jobs 1st Program	18A	LMJ	\$79,579.73
2022	6	761	6742034	CDBG - Jobs 1st Program	18A	LMJ	\$92,141.09
					18A	Matrix Code	\$171,720.82
Total							\$1,656,454.10

LINE 27 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 27

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity to prevent, prepare for, and respond to Coronavirus	Activity Name	Grant Number	Fund Type	Matrix Code	National Objective	Drawn Amount
2021	4	732	6671708	No	CDBG - Meals on Wheels	B21MC060505	EN	05A	LMC	\$5,000.00
2021	4	733	6671708	No	CDBG - Senior Center	B21MC060505	EN	05A	LMC	\$31,939.30
2022	3	758	6742034	No	CDBG - Meals on Wheels	B22MC060505	EN	05A	LMC	\$10,000.00
2022	3	758	6774370	No	CDBG - Meals on Wheels	B22MC060505	EN	05A	LMC	\$5,000.00
2022	3	759	6712201	No	CDBG - Senior Center	B22MC060505	EN	05A	LMC	\$52,220.82
2022	3	759	6742034	No	CDBG - Senior Center	B22MC060505	EN	05A	LMC	\$40,867.40
2022	3	759	6774370	No	CDBG - Senior Center	B22MC060505	EN	05A	LMC	\$39,591.48
								05A	Matrix Code	\$184,619.00
2022	3	760	6712201	No	CDBG - Special Resource Team	B22MC060505	EN	05Z	LMA	\$23,281.75
2022	3	760	6742034	No	CDBG - Special Resource Team	B22MC060505	EN	05Z	LMA	\$17,148.68
2022	3	760	6774370	No	CDBG - Special Resource Team	B22MC060505	EN	05Z	LMA	\$55,997.42
								05Z	Matrix Code	\$96,427.85
Total				No	Activity to prevent, prepare for, and respond to Coronavirus					\$281,046.85
										\$281,046.85

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2021	2	729	6671708	CDBG - Administration	21A		\$48,635.30
2022	2	755	6712201	CDBG - Administration	21A		\$159,419.51
2022	2	755	6774370	CDBG - Administration	21A		\$168,126.49
					21A	Matrix Code	\$376,181.30
2021	2	731	6671708	CDBG - Indirect Costs	21B		\$3,139.20
2022	2	756	6712201	CDBG - Indirect Costs	21B		\$8,758.32
2022	2	756	6774370	CDBG - Indirect Costs	21B		\$7,527.00
					21B	Matrix Code	\$19,424.52
2021	2	730	6671708	CDBG - Fair Housing Foundation	21D		\$6,666.33
2022	2	757	6712201	CDBG - Fair Housing Foundation	21D		\$8,355.14
2022	2	757	6742034	CDBG - Fair Housing Foundation	21D		\$8,909.72
2022	2	757	6774370	CDBG - Fair Housing Foundation	21D		\$11,201.93
					21D	Matrix Code	\$35,133.12
Total							\$430,738.94

Attachment #4

HOME Monitoring Protocols

ATTACHMENT 4: HOME Monitoring Protocols

This attachment to the City of Garden Grove's (City) Monitoring Plan is prepared to establish protocols for monitoring HOME Investment Partnerships Act (HOME) assisted rental housing projects. The City is responsible to the U.S. Department of Housing and Urban Development (HUD) for monitoring HOME-assisted rental projects throughout the period of affordability to ensure that HOME-assisted rental projects are monitored adequately for continued compliance with federal and state regulations. Monitoring guidelines are intended to assist City monitoring staff in making informed judgments about asset management, HOME Investment Partnerships Act (HOME) Program compliance and management efficiency of HOME-assisted rental projects.

The primary document used to monitor projects is the Regulatory Agreement. An exhibit of the Affordable Housing Agreement (AHA) or the Disposition and Development Agreement (DDA), a Regulatory Agreement is executed by the owner and the City or the City's Redevelopment Agency (Agency) and recorded as a lien on the project in the official records of Orange County.

The Monitoring Process

Monitoring of HOME-assisted housing developments will be scheduled following the annual publication of HOME Investment Partnerships Act (HOME) rents and income limits by the U.S. Department of Housing and Urban Development (HUD), which typically occurs between March and May. Monitoring will occur at two levels:

- Annually, a desk audit will be performed wherein the owner/property manager will submit information certifying household sizes, household incomes and rents for all HOME-restricted units; and
- Periodically, an on-site visit will be conducted, which will include a property inspection and an in-depth review of all the HOME and federal cross-cutting requirements, e.g., affirmative marketing and tenant selection procedures,

On-site monitoring will occur whenever developments are inspected to ensure compliance with the City's property standards. While the City has the prerogative to monitor on-site more frequently, especially if a project is at risk because of outstanding findings or insufficient capacity, inspections and site visits will typically occur in accordance with the HOME Final Rule at 24 CFR 92.504(d):

Total No. of Units	Minimum Schedule
1 – 4 units	every 3 years
5 – 25 units	every 2 years
26+ units	annually

The following steps are to be taken when conducting a **desk audit**:

1. A monitoring letter (*Exhibit 1: Annual Monitoring Letter*) will be sent to the Owner/Property Manager transmitting the project's Annual Compliance Report and certification and recertification forms along with the new HOME rents, income limits, Garden Grove Housing Authority utility allowance schedule and a form for calculating HOME rents.
2. The Owner/Property Manager is to submit the new rent schedule and the completed Annual Compliance Report (*Exhibit 2: Project Compliance Report*) accompanied by income certification or recertification forms (*Exhibit 3: Tenant Income Certification/Recertification Form*) for each household occupying a HOME-designated unit.
3. A *Monitoring Summary* letter will be provided to the Owner/Property Manager that serves as the formal notification of the results of the monitoring. All negative conclusions will be considered a finding or concern with a specific required corrective action. A copy is retained in the Project monitoring file.
 - A "finding" is a deficiency in project performance evidencing an unmet statutory or regulatory requirement.
 - A "concern" relates to project performance requiring improvement before becoming a finding.
4. The Owner/Property Manager is to provide a written response within 30 days of the date of the Monitoring Summary letter.

5. Upon completion of all corrective actions, a letter is sent to the Owner/Property Manager stating that the monitoring findings and concerns have been closed. A copy is retained in the Project monitoring file.

The following steps are to be taken when monitoring ***on-site***:

1. A pre-monitoring letter (*Exhibit 1.1: Monitoring Letter-Site Visitation*) will be sent to the Owner and property manager at least two weeks in advance of the monitoring visit. The letter will detail the salient terms of the Project's Regulatory Agreement that will be the source of monitoring and provide the Owner/Property Manager with the new HOME rents, income limits, Garden Grove Housing Authority utility allowance schedule and a form for calculating HOME rents.
2. Upon arrival, an entrance interview will be conducted to make sure that the owner and/or manager thoroughly understand the purpose, scope and schedule for the monitoring.
3. A detailed record will be prepared of information reviewed and conversations held with the Owner/Property Manager during the monitoring visit, using a checklist (*Exhibit 4: File Checklist*) and questionnaire (*Exhibit 4.1: Monitoring Questionnaire*) of HOME Program requirements. The information gathered will serve as a basis for conclusions to be included in the Monitoring Summary letter and follow-up.
4. After the monitoring visit, a *Monitoring Summary letter* will be forwarded to the Owner/ Property Manager that serves as the formal notification of the results of the monitoring. All negative conclusions will be considered a finding or concern with a specific required corrective action. If relevant, the letter may stipulate steps initiated by the Owner/Property Manager to correct areas of noncompliance or nonperformance. A copy will be retained in the Project monitoring file.
 - A "finding" is a deficiency in project performance evidencing an unmet statutory or regulatory requirement.
 - A "concern" relates to project performance requiring improvement before becoming a finding.

5. The Owner/Property Manager is to provide a written response within 30 days of the date of the Monitoring Summary letter.
6. Upon completion of all corrective actions, a letter is sent to the Owner/Property Manager stating that the monitoring findings and concerns have been closed. A copy is retained in the Project monitoring file.

Monitoring files

The City's monitoring files will contain the following documents per project per year:

1. Copies of monitoring checklists and forms;
2. Copy of the Regulatory Agreement and Promissory Note;
3. Copy of monitoring letters to the Owner/Property Manager (Summary letter and Clearance letter);
4. Copies of the project's Management Plan;
5. Copy of rent roll information submitted by the Owner/Property Manager;
6. Copy of the project's residential lease;
7. Utility Allowance schedules;
8. If applicable, reports to confirm the owner's compliance with lead-based paint requirements;
9. Reports of property and unit inspections;
10. Project Compliance Reports submitted by the Owner/Property Manager;
11. Copy of tenant certification /recertification forms
12. Confirmation of insurance coverage submitted by the property owner or management agent;
13. Confirmation of affirmative marketing compliance for projects with 5 or more HOME-assisted units;
14. Current claim for property tax exemption*;
15. Independent Copy of Residual Receipts Report; and
16. Audit*.

**Required for a Community Housing Development Organization (CHDO) only.*

On-Site Monitoring

The City will conduct periodic on-site reviews of each HOME-assisted rental project to verify the following:

1. Continued *income* eligibility

- The City will ascertain if the owner is correctly calculating income using the 24 CFR Part 5 definition, inclusive of asset calculations and use of appropriate verification forms, and if households are low-income or very low-income.
- The City will also review tenant files to determine if the Owner/Property Manager annually re-certifies the income of each household occupying a HOME-assisted unit, and that the tenancy still meets the HOME income requirements. Though not a HOME requirement, the recertification should commence 120 days before the anniversary date and should be completed by the tenant's recertification anniversary date.

2. Continued *occupancy* eligibility

- For projects with five or more HOME-assisted units, a minimum of 20% of HOME-assisted units must continue to be occupied by very low-income households paying low HOME rents for the term of affordability, in accordance with the income limits published annually by HUD.

3. HOME *Rents*

- Maximum monthly rents of HOME-assisted units may not exceed Low HOME and High HOME rent limits as published annually by HUD. HOME rents include a tenant utility allowance generally provided by the local housing authority.
- When tenants receive additional subsidy through tenant-based rental assistance programs such as Section 8, additional requirements apply. Under the HOME Program, the total gross rent (for tenants receiving Section 8 assistance) includes the tenant's share of rent, the subsidy payment, *and the utility allowance*. The total of these three amounts can not exceed the allowable HOME rent. Any issues of non-compliance must be corrected by the Owner/ Property Manager by reducing the gross rent (tenant share, subsidy, and utility allowance) to the allowable HOME rent effective the next interim or annual recertification.

- Very low-income residents receiving project-based rental subsidies are subject to rents allowable under the federal or State project-based rental subsidy program, not the HOME rent limits.

4 Rents for *over-income tenants*

- Over-income tenants (those with incomes over 80% of the area median) in HOME-assisted fixed units must pay the lesser of the amount payable by the tenant under State or local law (rent control) or 30% of the household's adjusted income for rent. There is no rent cap for "fixed" units.
- Over-income tenants in HOME-assisted floating units must pay 30% of their adjusted income for rent. However, the rent may not exceed the market rent for comparable, unassisted units in the neighborhood.

5. Property Standards

- The Final Rule allows for inspection, using Housing Quality Standards at 24 CFR 982.201, of a sufficient sample of HOME-assisted units in a multi-family development, rather than inspection of each and every HOME-assisted unit in the development. The City will inspect 15 to 20 percent of the HOME-assisted units in a project including a minimum of one unit in every building. If consistent compliance problems are found, more units should be inspected.
- Housing Quality Standards at 24 CFR 982.201 will be the code used to inspect rental units. A separate inspection checklist will be completed for each HOME-assisted unit (Exhibit 5: HQS Inspection form).

6. Affirmative Marketing

- Owners/Property Managers of Developments with five or more HOME-Assisted Units are required to maintain an Affirmative Marketing Plan (Exhibit 6: Affirmative Marketing/Fair Housing Marketing Report and Exhibit 6.1: Race and Ethnic Data Reporting Form) and to implement the following affirmative marketing procedures in marketing the development:

- Advertisements placed in newspapers with the broadest possible circulation, including foreign language newspapers in areas with a high percentage of non-English speaking residents.
- Place the Fair Housing logo on all advertisements and marketing materials.
- Prominently display Fair Housing posters at rental offices.

7. Tenant Protection Provisions

a. Project Lease

The HOME Final Rule 24 CFR 92.253 (a) states that the “Lease between a tenant and an owner of rental housing assisted with HOME funds must be for not less than one year, unless by mutual agreement between the tenant and owner.”

- The City will verify that the sample lease does not include any of the following lease provisions (Exhibit 7: Illegal Lease Provisions) are prohibited under the HOME Final Rule at 24 CFR 92.253(b):
 - Agreement by tenant to be sued, to admit guilt, or to a judgment in favor of the owner in a lawsuit in connection with the lease.*
 - Agreement by tenant that owner may take, hold or sell personal property of household members without notice to the tenant and a court decision on the rights of the parties. This prohibition, however, does not apply to an agreement by the tenant concerning disposition of personal property remaining in the housing unit after the tenant has moved out of the unit. The owner must dispose of this personal property in accordance with State law.*
 - Agreement by tenant not to hold the owner or the owner’s agents legally responsible for any action or failure to act, whether intentional or negligent*
 - Agreement of the tenant that the owner may institute a lawsuit without notice to tenant.*
 - Agreement by tenant that the owner may evict tenant or household members without instituting a civil court proceeding in which the tenant has the opportunity to present a defense, or before a court decision on the rights of the parties.*
 - Agreement by tenant to waive any right to a trial by jury.*
 - Agreement by tenant to waive tenant’s right to appeal, or to otherwise challenge in court, a court decision in connection with the lease.*
 - Agreement by tenant to pay attorney’s fees or other legal costs even if the tenant wins in a court proceeding by the*

owner against the tenant. The tenant, however, may be obligated to pay costs if the tenant loses.

b. Tenant Selection;

Under 24 CFR 92.253 (d), an owner of rental housing assisted with HOME funds must adopt written tenant selection policies and criteria that:

- Are consistent with the purpose of providing housing for very low-income and low-income families;
- Are reasonably related to program eligibility and the applicants' ability to perform the obligations of the lease;
- Provide for the selection of tenants from a written waiting list in the chronological order of their application, insofar as is practicable;
- Give prompt written notification to any rejected applicant of the grounds for any rejection.

The City will review the Owner's tenant selection plan to ensure that tenants are selected for occupancy in accordance with HOME regulations and established management policies. The selection plan should include:

- Citizenship/immigration status requirements. The owner should describe how citizenship/immigration requirements are implemented. Currently, there are no HOME restrictions on the use of assisted housing by non-citizens.
- Social Security number requirements. Requirements for providing SSNs, allowing extended time to provide proof of SSNs and procedures used when an individual has no SSN, must be described.
- Procedures for taking applications and selecting from the waiting list, such as the following:
 - Selection of tenants must be based on order of application.
 - The plan must include policies for notification to tenant applicants of eligibility for residency, and based on turnover history for units in the development, the approximate date when a unit may be available.

- The plan description must include maintenance of a waiting list of applicant households eligible to occupy assisted units and units designated for various income levels, and the methods of advertising used to announce opening and closing of the waiting list.
- The plan must define each preference adopted for use in the property and any rating, ranking, or combining of the preferences the owner has established that will affect the order in which applicants are selected from the waiting list. The plan should also describe the acceptable sources of information to verify the qualification for preferences.
- The plan must describe the procedures used by the owner to meet the income targeting requirements.
- Policy for opening, closing and maintaining the waiting list.

APPENDIX

Exhibit 1: Sample Annual Monitoring Letter

Exhibit 1.1: Sample Annual Monitoring Letter – Site Visitation

Exhibit 2: Project Compliance Certification

Exhibit 2.1: Project Compliance Report

Exhibit 3: Tenant Income Certification

Exhibit 3.1: Tenant Income Recertification

Exhibit 4: File Checklist

Exhibit 4.1: Monitoring Questionnaire

Exhibit 5: Housing Quality Standards (HQS) Form

Exhibit 6: Affirmative Marketing/Fair Housing Marketing Report

Exhibit 6.1: Race and Ethnic Data Reporting Form

Exhibit 7: Illegal Lease Provisions

Exhibit 1: Sample Annual Monitoring Letter

-----, 200-

**[Developer Name
Address]**

SUBJECT: MONITORING OF [Project name]

Dear -----:

As you may know, development of the **[Project name]** included the use of federal HOME Investment Partnerships Act (HOME) funds from the City of Garden Grove (City). As a consequence, the City is required to annually monitor the project. This letter serves to transmit the following information you are to submit to the City within 30 days of this letter:

- Project Compliance Report;
- Certificate of Continuing Project Compliance; and
- Tenant Certification/Recertification Forms.

Within 30 days receipt of the aforementioned documents, the City will notify you in writing of the results of the monitoring and set forth any findings or concerns the a timeframe for a written response and corrective action.

This letter further serves to relay the following attachments for your use:

- Copy of the Regulatory Agreement;
- HOME Rent Limits
- Utility Allowance
- HOME Rent Calculation Form

Please direct your questions or comments to Michael Salazar at (714) 741-5144 or via e-mail at msalazar@garden-grove.org.

Sincerely,

Susan Emery, Director
Community Development Department

cc: **[Property Manager]**

Attachments:
Certificate of Continuing Project Compliance

(P:\Neigh-Im\HUD Admin\HOME\HOME Monitoring Protocol Exhibit II)

Compliance Report
Certification/Recertification Forms
HOME Rent Limits
Utility Allowance
HOME Rent Calculation Form

Exhibit 1.1: Sample Monitoring Letter-Site Visitation

-----, 200-

**[Developer Name
Address]**

SUBJECT: MONITORING OF [**Project name**]

Dear -----:

As you may know, development of the [**Project name**] included the use of federal HOME Investment Partnerships Act (HOME) funds from the City of Garden Grove. As a consequence, the City is required to annually monitor the project and, as part of the City Monitoring Plan, to periodically conduct a site inspection and review project documents. This letter serves to notify you that the City has scheduled a monitoring visit of the [**Project name**] on --, --- 200- at --- a.m. at the office of the on-site property manager and to memorialize the information you are to provide to City representatives. Michael Salazar will hold an entrance interview on ---- with you and any representative(s) designated by the owner.

Mr. Salazar will then review project documents and tenant files to ascertain whether the project remains in compliance with HOME requirements, e.g., rents, tenant income eligibility, occupancy eligibility, property standards, affirmative marketing and fair housing and lease terms. Accompanying Mr. Salazar will be Gil Jelkin, who will need access to inspect the --- HOME-designated units to determine that the units comply with federal Housing Quality Standards (HQS), local and state codes.

The following information should be available at the time of monitoring:

- Your key staff to assist during the monitoring and the unit inspections;
- Copy of the Regulatory Agreement;
- Copy of monitoring letters (both initial summary letter and subsequent clearance letter);
- Tenant files of tenants occupying HOME units with documentation evidencing income/asset certification compliance by the property owner or management agent;
- Copies of the project's Management Plan and Management Agreement, including tenant selection procedures and the project waiting list;
- Copy of rent roll information submitted by the owner or management agent;
- Copy of the project's residential lease;
- Utility Allowance schedules;

- Reports of past property and unit inspections conducted by the City;
- Project Compliance Reports submitted by the property owner or management agent;
- Confirmation of insurance coverage submitted by the property owner or management agent; and
- Affirmative marketing plan and fair housing procedures.

Attached is the Project Compliance Report that Mr. Salazar will review with you, as well as a copy of the same Report that was submitted on -----.

Following this meeting, the City will then transmit the preliminary results of the monitoring visit, which provides you with an opportunity to correct any misunderstandings, provide additional information that may be needed and set forth the actions being undertaken to correct areas of noncompliance. Within 30 days of the --- monitoring visit, the City will notify you in writing of the results of the monitoring and set forth any findings or concerns and the timeframe for a written response and corrective action.

Please direct your questions or comments to Michael Salazar at (714) 741-5144 or via e-mail at msalazar@garden-grove.org.

Sincerely,

Susan Emery, Director
Community Development Department

cc: **[Property Manager]**

Attachments:
Certificate of Continuing Project Compliance
Compliance Report
Certification/Recertification Forms
Management Questionnaire
HOME Rent Limits
Utility Allowance
HOME Rent Calculation Form

Exhibit 2: Project Compliance Certification

CERTIFICATE OF CONTINUING PROJECT COMPLIANCE

Reporting Period: July 1, ____ to June 30, ____

Project: _____

Total Number of Units in Project: _____

Total Number of Units/Percent Restricted to Income Eligible Tenants: _____

The undersigned, having executed an Agreement with the City of Garden Grove (City) and having received certain funds from the City for the purpose of financing a multifamily housing project referenced herein, does hereby certify the following during the preceding fiscal year.

- The minimum number of restricted units in the Project were occupied or held available for families who were income eligible in accordance with the Agreement.
- The minimum number of restricted units in the Project were occupied or held available for income eligible families.
- The contract rents (rent plus utility allowance) charged for restricted units occupied by the eligible tenants did not exceed the limits prescribed in the Agreement.
- The income of tenants in all qualifying units has been reviewed and verified, and falls within the applicable qualifying income limits.
- The representations set forth herein are true and correct to the best of the undersigned's knowledge and belief.
- No default exists under the Agreement; e.g., Project complies with the occupancy standards and property standards set forth in the Agreement.

Attached is an occupancy report listing the number of each unit occupied by eligible tenants, names of eligible tenants, number of occupants in the unit, annual income, move-in date and monthly contract rent. Also attached is a copy of a tenant statement and certification for each tenant continuously residing in a restricted unit during the reporting period.

All documents related to the Agreement funding the above Project are located at the following address: _____

See separate file for formatted Project compliance Certification

Address	City	State	Zip Code
---------	------	-------	----------

Printed Name

Signature

Title

Date

TENANT INCOME CERTIFICATION

___ Initial Certification ___ Annual Recertification ___ Other

PROPERTY NAME:	COUNTY:
PROPERTY ADDRESS:	UNIT NUMBER:
1. HEAD-OF-HOUSE NAME	2. NUMBER OF BEDROOMS

ASSETS			
FAMILY MEMBER	ASSET DESCRIPTION	CURRENT CASH VALUE OF ASSETS	ACTUAL INCOME FROM ASSETS
3. NET CASH VALUE OF ASSETS.....		3.	
4. TOTAL ACTUAL INCOME FROM ASSETS.....			4.
5. IF LINE 3 IS GREATER THAN \$5,000, MULTIPLY LINE BY _____ (PASSBOOK RATE) AND ENTER RESULTS HERE; OTHERWISE, LEAVE BLANK			5.

TENANT INCOME CERTIFICATION

__ Initial Certification __ Annual Recertification __ Other

ANTICIPATED ANNUAL INCOME					
FAMILY MEMBERS	WAGES/SALARIES	BENEFITS/PENSIONS	PUBLIC ASSISTANCE	OTHER INCOME	ASSET INCOME
					<i>ENTER THE GREATER OF LINES 4 OR 5 FROM ABOVE IN FIELD "E"</i>
6. TOTALS	A.	B.	C.	D.	E.
ENTER TOTAL OF ITEMS FROM 6A. THROUGH 6E. <u>THIS IS ANNUAL INCOME.</u>					7.

SIGNATURE OF OWNER/ REPRESENTATIVE

DATE

RECERTIFICATION OF ANNUAL INCOME BY TENANT FAMILY

Tenant Name: _____

Address & Unit #: _____

Telephone: _____

Household Information

Household Size (total number in household): _____

Household members (list): _____

Income Information

Salary: \$ _____

Social Security: \$ _____

Other (Please specify):

_____ \$ _____

_____ \$ _____

Annual (gross) income (total of all household members):

\$ _____

I/we certify this information is complete and accurate. I/we agree to provide upon request, documentation on all income sources to (Name of PJ and/or Property Owner/Manager)

_____	_____
Tenant Signature	Date

-----Property Owner's Signature Only-----		
I hereby certify that the above information agrees with the rental applications and documents presented by the above applicants, and that I have reviewed and attached documentation and the above information is true and correct to the best of my knowledge and belief.		
<i>Owner's Signature</i>	<i>Position/Title</i>	<i> / / </i> <i>Date</i>

WARNING: Title 18, Section 1001 of the U.S. Code states that a person is guilty of a felony for knowingly and willingly making false or fraudulent statements to any department of the United States Government.

For Property Management Personnel Only

Did staff use at least one of the following methods to verify information?
 Yes No, if no why?

Circle the method used:

1. Two (2) paycheck stubs form the tenant's two (2) most recent pay periods
2. A copy of an income tax return from the tenant for the most recent tax year in which a return was filed.
3. An income verification certification from the employer of the tenant.
4. An income verification certification from the Social Security Administration and/or California Department of Social Services if the tenant receives assistance from such agencies.
5. A credit report from a commercial credit report agency.

6. An alternate form of income certification reasonably requested by the Owner, if none of the above forms of verification is available to the Owner.

Documentation

Did staff make a copy of the documentation and file in each tenant file?

A copy of proof of income in file _____Yes _____No If no, why?

Attachment #5

FY 2022-2023 ESG Sage Report

Contains all user-entered forms and aggregate CAPER-CSV data.

Report Date Range

7/1/2022 to 6/30/2023

Contact Information

First Name	Timothy
Middle Name	
Last Name	Throne
Suffix	
Title	
Street Address 1	11222 Acacia Parkway
Street Address 2	
City	Garden Grove
State	California
ZIP Code	92840
E-mail Address	timothyt@ggcity.org
Phone Number	(714)741-5144
Extension	
Fax Number	()-

Project types carried out during the program year

Components	Projects	Total Persons Reported	Total Households Reported
Emergency Shelter	2	127	37
Day Shelter	0	0	0
Transitional Housing	0	0	0
Total Emergency Shelter Component	2	127	37
Total Street Outreach	1	33	29
Total PH - Rapid Re-Housing	1	17	5
Total Homelessness Prevention	1	11	4

Grant Information

Emergency Shelter Rehab/Conversion	
Did you create additional shelter beds/units through an ESG-funded rehab project	No
Did you create additional shelter beds/units through an ESG-funded conversion project	No

Are there any funded projects, except HMIS or Admin, which are not listed on the Project, Links and Uploads form? This includes projects in the HMIS and from VSP No

Project Outcomes

Project outcomes are required for all CAPERS where the program year start date is 1-1-2021 or later. This form replaces the narrative in CR-70 of the eCon Planning Suite.

From the Action Plan that covered ESG for this reporting period copy and paste or retype the information in Question 5 on screen AP-90: "Describe performance standards for evaluating ESG."

The performance standards for evaluating ESG are described in the Protocols for Administration of The Emergency Solutions Grant, included in Appendix C.

These ESG Protocols call out the responsibilities of the subrecipients, and how the City will be monitoring compliance with federal regulations. Additionally, the protocols go over the following items:
Summary of Eligible Components, Activities and Expenses

- ESG Subgrantee Report
- ESG Eligibility Evaluation
- Subrecipient Agreement and Contract Requirements
- Monitoring Notification Letter: On-Site Visit
- Annual Monitoring Notification Letter: Desk Audit
- Monitoring Checklist and Requirements

Based on the information from the Action Plan response previously provided to HUD:

1. Briefly describe how you met the performance standards identified in A-90 this program year. *If they are not measurable as written type in N/A as the answer.*

The City of Garden Grove conducts desk monitoring every two years to ensure the City's subrecipient's are in compliance with HUD ESG regulations. During FY 2022-23, the City conducted desk monitoring of all ESG and ESG-CV subrecipients to ensure they are abiding by the "Protocols for Administration of The Emergency Solutions Grant" document.

Additionally, City Staff reviews each quarterly report thoroughly prior to processing the invoice for payment. The City has protocols in place to ensure expenses being reimbursed are eligible ESG expenses, and the ESG matching requirements are met. The City maintains hard files in addition to electronic files to ensure backup documentation is accessible.

2. Briefly describe what you did not meet and why. *If they are not measurable as written type in N/A as the answer.*

The City left \$15,526.35 in FY 22-23 ESG entitlement funding unexpended. The unexpended entitlement funding was the result of service providers continuing to spend down their ESG-CARES Act funding before the September 30, 2023 expenditure deadline.

The \$15,526.35 in unexpended entitlement funds will be carried over and programmed for future use.

OR

3. If your standards were not written as measurable, provide a sample of what you will change them to in the future? *If they were measurable and you answered above type in N/A as the answer.*

N/A

ESG Information from IDIS

As of 8/4/2023

FY	Grant Number	Current Authorized Amount	Funds Committed By Recipient	Funds Drawn	Balance Remaining	Obligation Date	Expenditure
2022	E22MC060505	\$170,698.00	\$170,698.00	\$95,384.79	\$75,313.21	10/24/2022	10/24/2022
2021	E21MC060505	\$173,143.00	\$173,143.00	\$173,143.00	\$0	9/15/2021	9/15/2023
2020	E20MC060505	\$174,721.00	\$174,721.00	\$174,721.00	\$0	8/12/2020	8/12/2022
2019	E19MC060505	\$177,733.00	\$177,733.00	\$177,733.00	\$0	9/17/2019	9/17/2021
2018	E18MC060505	\$168,709.00	\$168,709.00	\$168,709.00	\$0	8/7/2018	8/7/2020
2017	E17MC060505	\$173,899.00	\$173,899.00	\$173,899.00	\$0	9/22/2017	9/22/2019
2016	E16MC060505	\$174,447.60	\$174,447.60	\$174,447.60	\$0	8/30/2016	8/30/2018
2015	E15MC060505	\$175,880.00	\$175,880.00	\$175,880.00	\$0	8/19/2015	8/19/2017
Total		\$1,690,226.23	\$1,690,226.23	\$1,614,913.02	\$75,313.21		

Expenditures	2022	2021	2020	2019	2018	2017
	Yes	Yes	No	No	No	No
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for				
Homelessness Prevention	Non-COVID	Non-COVID				
Rental Assistance	7,070.00	0.00				
Relocation and Stabilization Services - Financial Assistance		0.00				
Relocation and Stabilization Services - Services	12,924.82	0.00				
Hazard Pay (<i>unique activity</i>)						
Landlord Incentives (<i>unique activity</i>)						
Volunteer Incentives (<i>unique activity</i>)						
Training (<i>unique activity</i>)						
Homeless Prevention Expenses	19,994.82	0.00				
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for				
Rapid Re-Housing	Non-COVID	Non-COVID				
Rental Assistance	4,433.00	0.00				
Relocation and Stabilization Services - Financial Assistance	8,895.06	0.00				
Relocation and Stabilization Services - Services	7,905.65	0.00				
Hazard Pay (<i>unique activity</i>)						
Landlord Incentives (<i>unique activity</i>)						
Volunteer Incentives (<i>unique activity</i>)						
Training (<i>unique activity</i>)						
RRH Expenses	21,233.71	0.00				
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for				
Emergency Shelter	Non-COVID	Non-COVID				
Essential Services	51,522.99	4,712.36				
Operations	9,824.24					
Renovation						
Major Rehab						
Conversion						
Hazard Pay (<i>unique activity</i>)						
Volunteer Incentives (<i>unique activity</i>)						
Training (<i>unique activity</i>)						
Emergency Shelter Expenses	61,347.23	4,712.36				
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for				
Temporary Emergency Shelter	Non-COVID	Non-COVID				

Essential Services		
Operations		
Leasing existing real property or temporary structures		
Acquisition		
Renovation		
Hazard Pay <i>(unique activity)</i>		
Volunteer Incentives <i>(unique activity)</i>		
Training <i>(unique activity)</i>		
Other Shelter Costs		
Temporary Emergency Shelter Expenses		
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for
	Non-COVID	Non-COVID
Street Outreach		
Essential Services	34,672.89	0.00
Hazard Pay <i>(unique activity)</i>		0.00
Volunteer Incentives <i>(unique activity)</i>		0.00
Training <i>(unique activity)</i>		0.00
Handwashing Stations/Portable Bathrooms <i>(unique activity)</i>		0.00
Street Outreach Expenses	34,672.89	0.00
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for
	Non-COVID	Non-COVID
Other ESG Expenditures		
Cell Phones - for persons in CoC/YHDP funded projects <i>(unique activity)</i>		
Coordinated Entry COVID Enhancements <i>(unique activity)</i>		
Training <i>(unique activity)</i>		
Vaccine Incentives <i>(unique activity)</i>		
HMIS	5,121.00	0.00
Administration	12,802.00	0.00
Other Expenses	17,923.00	0.00
	FY2022 Annual ESG Funds for	FY2021 Annual ESG Funds for
	Non-COVID	Non-COVID
Total Expenditures	155,171.65	4,712.36
Match		0.00
Total ESG expenditures plus match	155,171.65	4,712.36

Total expenditures plus match for all years

Sources of Match

	FY2022	FY2021	FY2020	FY2019	FY2018	FY2017	FY2016	FY2015
Total regular ESG plus COVID expenditures brought forward	\$155,171.65	\$4,712.36	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for COVID brought forward	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total ESG used for regular expenses which requires a match	\$155,171.65	\$4,712.36	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match numbers from financial form	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Match Percentage	0.00%	0.00%	0%	0%	0%	0%	0%	0%

Match Source **FY2022** **FY2021** **FY2020** **FY2019** **FY2018** **FY2017** **FY2016** **FY2015**

Other Non-ESG HUD Funds

Other Federal Funds

State Government

Local Government **5,121.00**

Private Funds **41,485.02**

Other

Fees

Program Income

Total Cash Match **46,606.02** **0.00** **0.00** **0.00** **0.00** **0.00** **0.00** **0.00**

Non Cash Match **96,918.12** **4,712.36**

Total Match **143,524.14** **4,712.36** **0.00** **0.00** **0.00** **0.00** **0.00** **0.00**

ES, SH, Street Outreach	77	0	0	0	3	1	3.90%
TH	0	0	0	0	0	0	0
PH (All)	6	0	0	0	0	0	0
Total	83	0	0	0	0	0	3.61%

Numbers in green italics have been recalculated or weighted based on available totals.

Q06e: Data Quality: Timeliness			
Time for Record Entry	Number of Project Start Records	Number of Project Exit Records	
0 days	8	3	
1-3 Days	100	64	
4-6 Days	23	17	
7-10 Days	12	3	
11+ Days	28	32	

Q06f: Data Quality: Inactive Records: Street Outreach & Emergency Shelter			
Data Element	# of Records	# of Inactive Records	% of Inactive Records
Contact (Adults and Heads of Household in Street Outreach)	11	11	100.00%
Bed Night (All Clients in ES - NBN)	0	0	0

Numbers in green italics have been recalculated or weighted based on available totals.

Q07a: Number of Persons Served					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Adults	90	30	60	0	0
Children	98	0	98	0	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	188	30	158	0	0
For PSH & RRH – the total persons served who moved into h	5	0	5	0	0

Q07b: Point-in-Time Count of Persons on the Last Wednesday					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	33	0	33	0	0
April	51	14	37	0	0
July	26	0	26	0	0
October	18	0	18	0	0

Q08a: Households Served					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Total Households	75	29	46	0	0
For PSH & RRH – the total households served who moved into h	2	0	2	0	0

Q08b: Point-in-Time Count of Households on the Last Wednesday					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
January	9	0	9	0	0
April	24	14	10	0	0
July	8	0	8	0	0
October	7	0	7	0	0

Q09a: Number of Persons Contacted					
Number of Persons Contacted	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine	
Once	25	0	1	24	
2-5 Times	0	0	0	0	
6-9 Times	0	0	0	0	
10+ Times	0	0	0	0	
Total Persons Contacted	25	0	1	24	

Q09b: Number of Persons Engaged					
Number of Persons Engaged	All Persons Contacted	First contact – NOT staying on the Streets, ES, or SH	First contact – WAS staying on Streets, ES, or SH	First contact – Worker unable to determine	
Once	25	0	1	24	
2-5 Contacts	0	0	0	0	
6-9 Contacts	0	0	0	0	
10+ Contacts	0	0	0	0	
Total Persons Engaged	25	0	1	24	
Rate of Engagement	100.00%	0	100.00%	100.00%	

Numbers in green italics have been recalculated or weighted based on available totals.

Q10a: Gender of Adults					

	Total	Without Children	With Children and Adults	Unknown Household Type
Male	32	18	14	0
Female	58	12	46	0
No Single Gender	0	0	0	0
Questioning	0	0	0	0
Transgender	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total	90	30	60	0
Trans Female (MTF or Male to Female)				
Trans Male (FTM or Female to Male)				

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with .

Q10b: Gender of Children				
	Total	With Children and Adults	With Only Children	Unknown Household Type
Male	44	44	0	0
Female	54	54	0	0
No Single Gender	0	0	0	0
Questioning	0	0	0	0
Transgender	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total	98	98	0	0
Trans Female (MTF or Male to Female)				
Trans Male (FTM or Female to Male)				

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with .

Q10c: Gender of Persons Missing Age Information					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Male	0	0	0	0	0
Female	0	0	0	0	0
No Single Gender	0	0	0	0	0
Questioning	0	0	0	0	0
Transgender	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	0	0	0	0	0
Trans Female (MTF or Male to Female)					
Trans Male (FTM or Female to Male)					

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with .

Q10d: Gender by Age Ranges							
	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collected
Male	76	44	1	26	5	0	0
Female	112	54	8	44	6	0	0
No Single Gender	0	0	0	0	0	0	0
Questioning	0	0	0	0	0	0	0
Transgender	0	0	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	0	0	0	0	0	0	0
Total	188	98	9	70	11	0	0
Trans Female (MTF or Male to Female)							
Trans Male (FTM or Female to Male)							

Effective 10/1/2021, this table contains a consolidated Transgender row which includes the sum of data from the previously separate Transgender rows, tagged with .

Q11: Age					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Under 5	36	0	36	0	0
5 - 12	35	0	35	0	0
13 - 17	27	0	27	0	0
18 - 24	9	0	9	0	0
25 - 34	25	2	23	0	0
35 - 44	15	4	11	0	0
45 - 54	16	9	7	0	0
55 - 61	14	9	5	0	0
62+	11	6	5	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total	188	30	158	0	0

Q12a: Race						
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type	
White	124	17	107	0	0	
Black, African American, or African	29	6	23	0	0	
Asian or Asian American	27	5	22	0	0	
American Indian, Alaska Native, or Indigenous	0	0	0	0	0	
Native Hawaiian or Pacific Islander	2	0	2	0	0	
Multiple Races	6	2	4	0	0	
Client Doesn't Know/Client Refused	0	0	0	0	0	
Data Not Collected	0	0	0	0	0	
Total	188	30	158	0	0	

Q12b: Ethnicity						
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type	
Non-Hispanic/Non-Latin(a)(o)(x)	82	26	56	0	0	
Hispanic/Latin(a)(o)(x)	106	4	102	0	0	
Client Doesn't Know/Client Refused	0	0	0	0	0	
Data Not Collected	0	0	0	0	0	
Total	188	30	158	0	0	

Q13a1: Physical and Mental Health Conditions at Entry							
	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Disorder	22	11	10	1		0	0
Alcohol Use Disorder	2	2	0	0		0	0
Drug Use Disorder	1	1	0	0		0	0
Both Alcohol Use and Drug Use Disorders	6	6	0	0		0	0
Chronic Health Condition	25	14	7	4		0	0
HIV/AIDS	2	2	0	0		0	0
Developmental Disability	9	5	1	3		0	0
Physical Disability	22	13	6	3		0	0

The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13b1: Physical and Mental Health Conditions at Exit							
	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Disorder	7	0	6	1		0	0
Alcohol Use Disorder	0	0	0	0		0	0
Drug Use Disorder	0	0	0	0		0	0
Both Alcohol Use and Drug Use Disorders	0	0	0	0		0	0
Chronic Health Condition	9	0	5	4		0	0
HIV/AIDS	0	0	0	0		0	0
Developmental Disability	3	0	0	3		0	0
Physical Disability	8	0	5	3		0	0

The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q13c1: Physical and Mental Health Conditions for Stayers							
	Total Persons	Without Children	Adults in HH with Children & Adults	Children in HH with Children & Adults	With Children and Adults	With Only Children	Unknown Household Type
Mental Health Disorder	15	11	4	0		0	0
Alcohol Use Disorder	2	2	0	0		0	0
Drug Use Disorder	1	1	0	0		0	0
Both Alcohol Use and Drug Use Disorders	6	6	0	0		0	0
Chronic Health Condition	16	14	2	0		0	0
HIV/AIDS	2	2	0	0		0	0
Developmental Disability	6	5	1	0		0	0
Physical Disability	14	13	1	0		0	0

The "With Children and Adults" column is retired as of 10/1/2019 and replaced with the columns "Adults in HH with Children & Adults" and "Children in HH with Children & Adults".

Q14a: Domestic Violence History						
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type	
Yes	36	6	30	0	0	
No	52	22	30	0	0	
Client Doesn't Know/Client Refused	2	2	0	0	0	
Data Not Collected	0	0	0	0	0	
Total	90	30	60	0	0	

Q14b: Persons Fleeing Domestic Violence						
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type	
Yes	19	3	16	0	0	
No	17	3	14	0	0	
Client Doesn't Know/Client Refused	0	0	0	0	0	
Data Not Collected	0	0	0	0	0	

Total	36	6	30	0	0
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Q15: Living Situation					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Homeless Situations					
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	16	1	15	0	0
Transitional housing for homeless persons (including homeless shelter)	0	0	0	0	0
Place not meant for habitation	62	27	35	0	0
Safe Haven	2	0	2	0	0
Host Home (non-crisis)	0	0	0	0	0
Interim Housing					
Subtotal - Homeless Situations	80	28	52	0	0
Institutional Settings					
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison or juvenile detention facility	0	0	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Subtotal - Institutional Settings	0	0	0	0	0
Other Locations					
Permanent housing (other than RRH) for formerly homeless	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, with RRH or equivalent subsidy	0	0	0	0	0
Rental by client, with HCV voucher (tenant or project based)	1	0	1	0	0
Rental by client in a public housing unit	0	0	0	0	0
Rental by client, no ongoing housing subsidy	6	1	5	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client, with other housing subsidy	1	0	1	0	0
Hotel or motel paid for without emergency shelter voucher	0	0	0	0	0
Staying or living in a friend's room, apartment or house	1	0	1	0	0
Staying or living in a family member's room, apartment or house	1	1	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Subtotal - Other Locations	10	2	8	0	0
Total	90	30	60	0	0

Interim housing is retired as of 10/1/2019.

Q16: Cash Income - Ranges			
	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
No income	38	0	5
\$1 - \$150	0	0	0
\$151 - \$250	1	0	0
\$251 - \$500	3	0	0
\$501 - \$1000	18	0	8
\$1,001 - \$1,500	12	0	3
\$1,501 - \$2,000	8	0	6
\$2,001+	5	0	23
Client Doesn't Know/Client Refused	5	0	1
Data Not Collected	0	0	0
Number of Adult Stayers Not Yet Required to Have an Annual Assessment	0	44	0
Number of Adult Stayers Without Required Annual Assessment	0	0	0
Total Adults	90	44	46

Q17: Cash Income - Sources			
	Income at Start	Income at Latest Annual Assessment for Stayers	Income at Exit for Leavers
Earned Income	17	0	29
Unemployment Insurance	0	0	0
SSI	13	0	3
SSDI	2	0	1
VA Service-Connected Disability Compensation	0	0	0
VA Non-Service Connected Disability Pension	0	0	0
Private Disability Insurance	0	0	0
Worker's Compensation	0	0	0
TANF or Equivalent	16	0	14
General Assistance	4	0	0

Retirement (Social Security)	2	0	1
Pension from Former Job	0	0	0
Child Support	0	0	5
Alimony (Spousal Support)	0	0	0
Other Source	1	0	0
Adults with Income Information at Start and Annual Assessment	0	0	45

Q19b: Disabling Conditions and Income for Adults at Exit							
	AO: Adult with Disabling Condition	AO: Adult without Disabling Condition	AO: Total Adults	AO: % with Disabling Condition by Source	AC: Adult with Disabling Condition	AC: Adult without Disabling Condition	AC: Total Adults
Earned Income	0	0	0	0	3	26	29
Supplemental Security Income (SSI)	0	1	1	0%	1	1	2
Social Security Disability Insurance (SSDI)	0	0	0	0	1	0	1
VA Service-Connected Disability Compensation	0	0	0	0	0	0	0
Private Disability Insurance	0	0	0	0	0	0	0
Worker's Compensation	0	0	0	0	0	0	0
Temporary Assistance for Needy Families (TANF)	0	0	0	0	4	10	14
Retirement Income from Social Security	0	1	1	0%	0	0	0
Pension or retirement income from a former job	0	0	0	0	0	0	0
Child Support	0	0	0	0	0	5	5
Other source	0	0	0	0	0	0	0
No Sources	0	0	0	0	0	5	5
Unduplicated Total Adults	0	1	1		8	36	44

Numbers in green italics have been recalculated or weighted based on available totals.

Q20a: Type of Non-Cash Benefit Sources			
	Benefit at Start	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutritional Assistance Program	51	0	32
WIC	12	0	8
TANF Child Care Services	1	0	2
TANF Transportation Services	0	0	0
Other TANF-Funded Services	0	0	0
Other Source	0	0	1

Q21: Health Insurance			
	At Start	At Annual Assessment for Stayers	At Exit for Leavers
Medicaid	155	0	108
Medicare	8	0	1
State Children's Health Insurance Program	5	0	7
VA Medical Services	0	0	0
Employer Provided Health Insurance	1	0	1
Health Insurance Through COBRA	0	0	0
Private Pay Health Insurance	0	0	0
State Health Insurance for Adults	7	0	6
Indian Health Services Program	0	0	0
Other	1	0	0
No Health Insurance	11	0	3
Client Doesn't Know/Client Refused	4	0	0
Data Not Collected	0	0	0
Number of Stayers Not Yet Required to Have an Annual Assessment	0	66	0
1 Source of Health Insurance	169	0	115
More than 1 Source of Health Insurance	4	0	4

Q22a2: Length of Participation – ESG Projects			
	Total	Leavers	Stayers
0 to 7 days	3	2	1
8 to 14 days	9	8	1
15 to 21 days	27	16	11
22 to 30 days	22	21	1
31 to 60 days	46	36	10
61 to 90 days	12	4	8
91 to 180 days	54	20	34
181 to 365 days	15	15	0
366 to 730 days (1-2 Yrs)	0	0	0
731 to 1,095 days (2-3 Yrs)	0	0	0
1,096 to 1,460 days (3-4 Yrs)	0	0	0
1,461 to 1,825 days (4-5 Yrs)	0	0	0
More than 1,825 days (> 5 Yrs)	0	0	0
Data Not Collected	0	0	0
Total	188	122	66

Q22c: Length of Time between Project Start Date and Housing Move-in Date (post 10/1/2018)		Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less		0	0	0	0	0
8 to 14 days		0	0	0	0	0
15 to 21 days		0	0	0	0	0
22 to 30 days		0	0	0	0	0
31 to 60 days		0	0	0	0	0
61 to 180 days		5	0	5	0	0
181 to 365 days		0	0	0	0	0
366 to 730 days (1-2 Yrs)		0	0	0	0	0
Total (persons moved into housing)		5	0	5	0	0
Average length of time to housing		63	0	63	0	0
Persons who were exited without move-in		7	0	7	0	0
Total persons		12	0	12	0	0

Numbers in green italics have been recalculated or weighted based on available totals.

Q22c: RRH Length of Time between Project Start Date and Housing Move-in Date (pre 10/1/2018)		Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less						
8 to 14 days						
15 to 21 days						
22 to 30 days						
31 to 60 days						
61 to 180 days						
181 to 365 days						
366 to 730 days (1-2 Yrs)						
Total (persons moved into housing)						
Average length of time to housing						
Persons who were exited without move-in						
Total persons						

Numbers in green italics have been recalculated or weighted based on available totals.

Q22d: Length of Participation by Household Type		Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less		3	1	2	0	0
8 to 14 days		9	1	8	0	0
15 to 21 days		27	5	22	0	0
22 to 30 days		22	2	20	0	0
31 to 60 days		46	7	39	0	0
61 to 90 days		12	3	9	0	0
91 to 180 days		54	11	43	0	0
181 to 365 days		15	0	15	0	0
366 to 730 days (1-2 Yrs)		0	0	0	0	0
731 to 1,095 days (2-3 Yrs)		0	0	0	0	0
1,096 to 1,460 days (3-4 Yrs)		0	0	0	0	0
1,461 to 1,825 days (4-5 Yrs)		0	0	0	0	0
More than 1,825 days (> 5 Yrs)		0	0	0	0	0
Data Not Collected		0	0	0	0	0
Total		188	30	158	0	0

Q22e: Length of Time Prior to Housing - based on 3.917 Date Homelessness Started		Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
7 days or less		49	0	49	0	0
8 to 14 days		0	0	0	0	0
15 to 21 days		0	0	0	0	0
22 to 30 days		6	0	6	0	0
31 to 60 days		14	0	14	0	0
61 to 180 days		43	0	43	0	0
181 to 365 days		9	0	9	0	0
366 to 730 days (1-2 Yrs)		6	0	6	0	0
731 days or more		3	0	3	0	0
Total (persons moved into housing)		130	0	130	0	0
Not yet moved into housing		12	0	12	0	0
Data not collected		2	0	2	0	0
Total persons		144	0	144	0	0

Q23a: Exit Destination – More Than 90 Days This question is retired as of 10/1/2019.		Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations						

	Moved from one HOPWA funded project to HOPWA PH					
	Owned by client, no ongoing housing subsidy					
	Owned by client, with ongoing housing subsidy					
	Rental by client, no ongoing housing subsidy					
	Rental by client, with VASH housing subsidy					
	Rental by client, with GPD TIP housing subsidy					
	Rental by client, with other ongoing housing subsidy					
	Permanent housing (other than RRH) for formerly homeless					
	Staying or living with family, permanent tenure					
	Staying or living with friends, permanent tenure					
	Rental by client, with RRH or equivalent subsidy					
	Subtotal - Permanent Destinations					
	Temporary Destinations					
	Emergency shelter, including hotel or motel paid for with emergency shelter voucher					
	Moved from one HOPWA funded project to HOPWA TH					
	Transitional housing for homeless persons (including homeless)					
	Staying or living with family, temporary tenure (e.g. room, apartment or house)					
	Staying or living with friends, temporary tenure (e.g. room, apartment or house)					
	Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)					
	Safe Haven					
	Hotel or motel paid for without emergency shelter voucher					
	Subtotal - Temporary Destinations					
	Institutional Settings					
	Foster care home or group foster care home					
	Psychiatric hospital or other psychiatric facility					
	Substance abuse treatment facility or detox center					
	Hospital or other residential non-psychiatric medical facility					
	Jail, prison, or juvenile detention facility					
	Long-term care facility or nursing home					
	Subtotal - Institutional Settings					
	Other Destinations					
	Residential project or halfway house with no homeless criteria					
	Deceased					
	Other					
	Client Doesn't Know/Client Refused					
	Data Not Collected (no exit interview completed)					
	Subtotal - Other Destinations					
	Total					
	Total persons exiting to positive housing destinations					
	Total persons whose destinations excluded them from the category					
	Percentage	Cannot calculate1	Cannot calculate1	Cannot calculate1	Cannot calculate1	Cannot calculate1

Numbers in green italics have been recalculated or weighted based on available totals.

Q23b: Exit Destination – 90 Days or Less This question is retired as of 10/1/2019.						
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type	
	Permanent Destinations					
	Moved from one HOPWA funded project to HOPWA PH					
	Owned by client, no ongoing housing subsidy					
	Owned by client, with ongoing housing subsidy					
	Rental by client, no ongoing housing subsidy					
	Rental by client, with VASH housing subsidy					
	Rental by client, with GPD TIP housing subsidy					
	Rental by client, with other ongoing housing subsidy					
	Permanent housing (other than RRH) for formerly homeless					
	Staying or living with family, permanent tenure					
	Staying or living with friends, permanent tenure					
	Rental by client, with RRH or equivalent subsidy					
	Subtotal - Permanent Destinations					
	Temporary Destinations					
	Emergency shelter, including hotel or motel paid for with emergency shelter voucher					
	Moved from one HOPWA funded project to HOPWA TH					
	Transitional housing for homeless persons (including homeless)					
	Staying or living with family, temporary tenure (e.g. room, apartment or house)					

	Staying or living with friends, temporary tenure (e.g. room, apartment or house)				
	Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)				
	Safe Haven				
	Hotel or motel paid for without emergency shelter voucher				
	Subtotal - Temporary Destinations				
	Institutional Settings				
	Foster care home or group foster care home				
	Psychiatric hospital or other psychiatric facility				
	Substance abuse treatment facility or detox center				
	Hospital or other residential non-psychiatric medical facility				
	Jail, prison, or juvenile detention facility				
	Long-term care facility or nursing home				
	Subtotal - Institutional Settings				
	Other Destinations				
	Residential project or halfway house with no homeless criterion				
	Deceased				
	Other				
	Client Doesn't Know/Client Refused				
	Data Not Collected (no exit interview completed)				
	Subtotal - Other Destinations				
	Total				
	Total persons exiting to positive housing destinations				
	Total persons whose destinations excluded them from the calculation				
	Percentage	Cannot calculate ¹	Cannot calculate ¹	Cannot calculate ¹	Cannot calculate ¹

Numbers in green italics have been recalculated or weighted based on available totals.

Q23c: Exit Destination – All persons					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Permanent Destinations					
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing housing subsidy	0	0	0	0	0
Owned by client, with ongoing housing subsidy	0	0	0	0	0
Rental by client, no ongoing housing subsidy	49	1	48	0	0
Rental by client, with VASH housing subsidy	0	0	0	0	0
Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
Rental by client, with other ongoing housing subsidy	7	0	7	0	0
Permanent housing (other than RRH) for formerly homeless	0	0	0	0	0
Staying or living with family, permanent tenure	23	0	23	0	0
Staying or living with friends, permanent tenure	2	0	2	0	0
Rental by client, with RRH or equivalent subsidy	13	0	13	0	0
Rental by client, with HCV voucher (tenant or project based)	8	0	8	0	0
Rental by client in a public housing unit	0	0	0	0	0
Subtotal - Permanent Destinations	102	1	101	0	0
Temporary Destinations					
Emergency shelter, including hotel or motel paid for with emergency shelter voucher	6	0	6	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons (including homeless)	0	0	0	0	0
Staying or living with family, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Staying or living with friends, temporary tenure (e.g. room, apartment or house)	0	0	0	0	0
Place not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station/airport or anywhere outside)	6	0	6	0	0
Safe Haven	0	0	0	0	0
Hotel or motel paid for without emergency shelter voucher	4	0	4	0	0
Host Home (non-crisis)	0	0	0	0	0
Subtotal - Temporary Destinations	16	0	16	0	0
Institutional Settings					
Foster care home or group foster care home	1	0	1	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	1	0	1	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Subtotal - Institutional Settings	2	0	2	0	0

Other Destinations					
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	2	0	2	0	0
Subtotal - Other Destinations	2	0	2	0	0
Total	122	1	121	0	0
Total persons exiting to positive housing destinations	95	0	95	0	0
Total persons whose destinations excluded them from the calculation	1	0	1	0	0
Percentage	78.51%	0%	79.17%	0	0

Numbers in green italics have been recalculated or weighted based on available totals.

Q24: Homelessness Prevention Housing Assessment at Exit					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Able to maintain the housing they had at project start--Without a subsidy	11	1	10	0	0
Able to maintain the housing they had at project start--With the subsidy they had at project start	0	0	0	0	0
Able to maintain the housing they had at project start--With an on-going subsidy acquired since project start	0	0	0	0	0
Able to maintain the housing they had at project start--Only with financial assistance other than a subsidy	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0
Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total	11	1	10	0	0

Q25a: Number of Veterans				
	Total	Without Children	With Children and Adults	Unknown Household Type
Chronically Homeless Veteran	0	0	0	0
Non-Chronically Homeless Veteran	1	1	0	0
Not a Veteran	89	29	60	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total	90	30	60	0

Q26b: Number of Chronically Homeless Persons by Household					
	Total	Without Children	With Children and Adults	With Only Children	Unknown Household Type
Chronically Homeless	24	18	6	0	0
Not Chronically Homeless	160	9	151	0	0
Client Doesn't Know/Client Refused	4	3	1	0	0
Data Not Collected	0	0	0	0	0
Total	188	30	158	0	0