

**City of Garden Grove**  
**WEEKLY CITY MANAGER'S MEMO**

**January 30, 2020**

TO: Honorable Mayor and City Council      FROM: Scott C. Stiles, City Manager  
Members

**I. DEPARTMENT ITEMS**

**A. DEVELOPMENT PROJECT UPDATE LIST**

The memorandum highlights the 4<sup>th</sup> Quarter Community and Economic Development Department *Development Project Update List*, which will be made available on the Planning Division webpage.

**B. INVESTMENT REPORTS JULY TO DECEMBER 2019**

The Investment Report outlines the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held for the months of July to December 2019.

**II. ITEMS FROM OTHER GOVERNMENTAL AGENCIES, OUTSIDE AGENCIES, BUSINESSES AND INDIVIDUALS**

**A.** Letter from United States Senator Dianne Feinstein in response to the letter from Mayor Steve Jones requesting support for increased funding for the Section 8 Housing Choice Voucher program.

**B.** League of California Cities 2019 Annual Report.

**C.** *Amendment to the Notice of Treatment for the Asian Citrus Psyllid and Amendment for the Proclamation of an Emergency Program against the Huanglongbing Disease* from the California Department of Food and Agriculture.

**• OTHER ITEMS**

– **SOCIAL MEDIA HIGHLIGHTS AND NEWSPAPER ARTICLES**

Copies of the week's social media posts and local newspaper articles are attached for your information.

– **MISCELLANEOUS ITEMS**

Items of interest are included.



Scott C. Stiles  
City Manager

# City of Garden Grove

## INTER-DEPARTMENT MEMORANDUM

To: Scott Stiles  
Dept: City Manager  
Subject: DEVELOPMENT PROJECT  
UPDATE LIST

From: Lisa Kim  
Dept: Community and Economic  
Development  
Date: January 24, 2020

### OBJECTIVE

To highlight the 4th Quarter Community and Economic Development Department (CEDD) *Development Project Update List*, which will be available on the Planning Division webpage.

### BACKGROUND

The *Development Project Update List* provides a status report of current and future projects under review by the Planning Division. Staff has received multiple inquiries from the general public requesting similar information related to project status. To enhance community outreach and highlight upcoming Economic Development activities related to business attraction, retention and expansion projects, the attached *Development Project Update List* will be available on the City's website.

### SUMMARY

The Development Project Update List will be available on the Planning Division's webpage as of January 27<sup>TH</sup>, 2020, and will include the following links:

1) *4th Quarter 2019 - Development Project Update List*

The list details new projects in 4th Quarter for the period October 2019 to December 2019 by site address, applicant and property ownership information, project description, and staff contact. The 4th Quarter *Development Project Update List* can be accessed at: <https://ggcity.org/sites/default/files/2019-10/dpuoctober-december2019.pdf>. Attached is a copy of the latest Development Project Update List.

2) *Comprehensive Development Project Update List*

The comprehensive list details current and pending projects for the period January 2018 through December 2019 by site address, applicant and property ownership information, project description, and staff contact. The comprehensive list can be accessed at: <https://ggcity.org/sites/default/files/2019-10/dpu.pdf>.

Any questions can be referred to Associate Planner, Mary Martinez at 714-741-5315.



LISA L. KIM  
Community and Economic Development Director



By: Mary Martinez  
Associate Planner

Attachment 1: 4th Quarter 2019 - Development Project Update List  
Attachment 2: Comprehensive Development Project Update List



**CITY OF GARDEN GROVE DEVELOPMENT PROJECTS UPDATE  
COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

This report is for 4th Quarter 2019  
For the most recent information contact the Planning Division at (714) 741-5312.

| CASE #                     | SITE ADDRESS   | PROJECT DESCRIPTION  | APPLICANT   | STATUS | PLANNER |
|----------------------------|--|--|---|--------|---------|
| CUP-057-2016<br>(REV.2019) | 12942 Main St  | A request for outdoor dining in the public right-of-way for an existing restaurant, Louie's on Main, located at 12942 Main Street, which currently operates under Conditional Use Permit No. CUP-057-2016 with an Alcoholic Beverage Control (ABC) Type "47" (On-Sale, General for Bona Fide Public Eating Place) License.   | ANGELO TAVLARIDES, 239<br>CORONADO AVENUE<br>LONG BEACH CA 90803  | 6      | H. L.   |
| CUP-173-2019               | East side of Euclid Street just south of Acacia Parkway, at 12900 Euclid St #110             | A request for Conditional Use Permit (CUP) approval to operate a new wine-tasting establishment, Wilson Creek Winery, located at 12900 Euclid Street (Assessor's Parcel No. 090-164-37), Suite 110, within a food-focused multi-tenant development, known as SteelCraft. Wilson Creek Winery will operate with a new State Alcoholic Beverage Control (ABC) Type 02 License which allows for on- and off-sales of wine.                    | Wilson Creek Winery & Vineyards, Inc.<br>(Attn: William J. Wilson)<br>35960 Rancho California Road<br>TEMECULA CA 92591 | 5      | P. K.   |
| CUP-174-2020               | Southwest corner of Westminster and Brookhurst - Mail of Fortune, at 9906 Westminster Ave #B | A request for Conditional Use Permit to operate a new restaurant, Tam Bien Restaurant, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License. The existing restaurant received approval for a ABC Type-41 (On-Sale, Beer and Wine, Public Eating Place) License, under CUP-369-13, but was never exercised. The existing CUP-369-13 will be revoked, and become null and void. | Chu Bat Gioi, Inc.<br>(Tam Bien Restaurant)<br>9906 Westminster Avenue<br>Suite B<br>GARDEN GROVE CA 92844              | 2      | P. K.   |

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3 - Awaiting Director Review

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5 - Entitlements Granted  
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7 - Under Construction  
8 - Finalled  
9- Project/Permit Complete



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| CUP-175-2020                          | Southwest corner of Westminister and Brookhurst - Mall of Fortune, at 9904 Westminister Ave #B | A request for Conditional Use Permit to operate an existing restaurant, CTB Eatery, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License.   | Five Brothers Corp.<br>9904 Westminister Avenue<br>Suite B<br>GARDEN GROVE CA 92844       | 2      | P. K.   |
| CUP-176-2020                          | Southwest corner of Euclid Street and Katella Avenue, at 10870 Katella Ave                     | A request for a Conditional Use Permit to operate an existing 29,112 square foot tenant space as a trade school, UEI College, for 220 students and 20 employees/instructors, located at 10870 Katella Avenue. The floor plan includes administrative offices, and classrooms and facilities for auto mechanic, HVAC, dental hygienist, pharmacy technician, business, and medical courses.   | Monica Maloney<br>(CDL Design Group)<br>9265 Research Drive<br>IRVINE CA 92618            | 1      | P. K.   |
| DR-045-2019                           | Southwest corner of Dakota Avenue and Bowen Street, at 10232 Dakota Ave                        | A Director's Review request to demolish the existing single family dwelling to construct a new, detached, residential duplex on a R-2 zoned property located at 10232 Dakota Avenue.   | LORNA GG, LLC<br>14221 Euclid St. , Ste. #D<br>GARDEN GROVE CA 92843                      | 3      | H. L.   |
| PUD-104-70 (REV. 2019)<br>SP-079-2019 | Northwest corner of Knott Street and Acacia Avenue, at 12821 Knott St                          | A request to amend Planned Unit Development No. PUD-104-70 to facilitate an expansion of the existing 119,836 square foot industrial building with the construction of a 45,335 square foot one-story addition of industrial space. Also, a request for Site Plan approval to construct the 45,335 square foot one-story addition of industrial space to the existing 119,836 square foot industrial building, along with associated site improvements. The site is at 12821 Knott Street, including that portion of Brady Way, which fronts along the westerly property line of the subject site. | Rexford Industrial Realty L.P.<br>11620 Wilshire Blvd, 10th Floor<br>LOS ANGELES CA 90025 | 4      | C. C.   |

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| SP-037-2017TE2<br>CUP-106-2017TE2<br>V-015-2017TE2 | North side of Garden Grove<br>Boulevard and west of Knott<br>Street, at 7051 Garden Grove<br>Blvd   | The applicant is requesting approval of a one-year time extension (Time Extension #2) for the approved entitlements under Site Plan No. SP-037-2017, Variance No. V-015-2017, and Conditional Use Permit No. CUP-106-2017, for (i) the construction of a new service (gas) station, with a new drive-thru convenience store, on a vacant lot located at 7051 Garden Grove Boulevard, (ii) Variance approval to deviate from the minimum rear setback requirement in order to construct a trash enclosure within the rear ten-foot setback, along the northerly property line (adjacent to the SR-22 Freeway), and (iii) Conditional Use Permit approval to allow the new convenience store to operate with a new original State Alcoholic Beverage Control (ABC) Type "20" (Off Sale, Beer and Wine) License. | Rosa Estrella Bermeo<br>7051 Garden Grove Blvd<br>GARDEN GROVE CA 92841 | 5      | C. C.   |
| SP-078-2019<br>CUP-158-2019                        | 11352 Acacia Pkwy<br>12911 7 Th St<br>12902 7 Th St<br>12912 7 Th St<br>12911 8 Th St<br>12932 7 Th St<br>11361 Garden Grove Blvd<br>11391 Garden Grove Blvd<br>11412 Acacia Pkwy | A request by Cottage Industries, LLC for approval of a Site Plan and a Conditional Use Permit for the Cottage Industries Art Block Project. The proposed Site Plan would allow the conversion of nine (9) existing residential structures and nine (9) existing accessory structures, along with allowing the construction of six (6) new commercial structures with a combined square footage of 2,800 square feet, to accommodate new commercial restaurant, office/service, and motel uses. The Conditional Use Permit will allow the operation of a motel use within ten (10) building structures located on six (6) properties of the project site. The project site includes a total of nine (9) properties located within the Civic Center Area  | Cottage Industries, LLC<br>709 Randolph Ave<br>COSTA MESA CA 92626      | 5      | M. P.   |

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| V-028-2019 | South of Garden Grove Boulevard, east of Partridge Street. At 12750 Garden Grove Blvd | A request for Variance approval from Sections 9.20.040.A and 9.20.040.2.c of Title 9 of the Municipal Code to allow the construction of a second free-standing monument sign on a lot that is less than 5 acres, and to allow the monument sign to be located less than 25 feet from an adjacent property line, for an existing integrated professional office development located at 12750 Garden Grove Boulevard in the HCSP-OP (Harbor Corridor Specific Plan-Office Processional) zone. | Gerard Signs<br>2000 Placentia Avenue<br>COSTA MESA CA 92627 | 6      | M. M.   |

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|--|---|---|--|---------------|---------|
| A-022-2018                                 | Portions of public right-of-way located within the Historical Main Street area, north of Garden Grove Boulevard, south of Acacia Parkway. | A request for a City-initiated zoning text amendment to Title 9 and Title 11 of the Garden Grove Municipal Code to add new definitions, operating conditions, and development standards to outdoor dining in the public right-of-way within the Historical Main Street area that involve eating establishments with or without alcohol sales for on-site consumption for properties that are zoned CC-2 (Civic Center Main Street). The Planning Commission will make a recommendation to the Garden Grove City Council regarding the proposed Amendment and a determination that it is exempt from the California Environmental Quality Act.   | City of Garden Grove<br>11222 Acacia Parkway<br>GARDEN GROVE CA 92840          | 9             | M. M.   |
| A-026-2019<br>LLA-023-2019<br>FYD-005-2019 | South side of Stanford Avenue between Gilbert Street and Brookhurst Way, at 9792 Stanford Ave   | Request for approval of a Zone Change and Lot Line Adjustment to reconfigure existing lot lines of a project site, which is currently comprised of four (4) legal lots, in order to reduce the total number of lots from four (4) lots to three (3) lots, for the purpose of constructing a single-family dwelling unit on each lot. The entire project site is .97-acre, and is currently vacant. The request will include a Zone Change to amend the zoning designation of the project site from R-1 (Single-Family Residential) with a minimum lot size of 15,000 square feet to R-1 (Single-Family Residential) with a minimum lot size of 11,000 square feet, and a Lot Line Adjustment to reconfigure the existing lot lines to create three (3) lots with new lot areas of 14,172 square feet, 14,224 square feet, and 14,275 square feet. Also, Front Yard Determination to designate the interior street side of Lot 1 as the front of the property. | Kevin Dinh<br>23114 Petroleum Ave<br>TORRANCE CA 90502                         | 5<br>(DENIED) | M. P.   |
| CUP-025-2014(MM1)                          | 12081 Brookhurst St   | Request to modify the CUP-025-2014 to add 338 square feet of storage area to the existing floor plan of an existing supermarket located at 12081 Brookhurst St. The subject property is located within the Neighborhood Mixed-Use zoning district.  | BEST CHOICE MARKET PLACE INC.<br>12081 Brookhurst St.<br>GARDEN GROVE CA 92840 | 9             | H. L.   |

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| CUP-057-2016<br>(REV.2019) | 12942 Main St   | A request for outdoor dining in the public right-of-way for an existing restaurant, Louie's on Main, located at 12942 Main Street, which currently operates under Conditional Use Permit No. CUP-057-2016 with an Alcoholic Beverage Control (ABC) Type "47" (On-Sale, General for Bona Fide Public Eating Place) License.                                    | ANGELO TAVLARIDES<br>239 CORONADO AVENUE<br>LONG BEACH CA 90803    | 6      | H. L.   |
| CUP-100-2017(REV.<br>2019) | West side of Harbor Boulevard,<br>North of Chapman Avenue, at<br>12361 Chapman Avenue | A request to modify Conditional Use Permit No. CUP-100-2017 to add a new State Alcoholic Beverage Control (ABC) Type "68" (Portable Bar) License for a speakeasy bar to a 10,807 square foot eating establishment, NOVA Restaurant, which was previously approved to operate with an ABC Type "47" (On-Sale, General, Bona Fide Public Eating Place) License. | Grove Palace, LLC<br>12361 Chapman Avenue<br>GARDEN GROVE CA 92840 | 9      | M. M.   |

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| CUP-121-2018 | 12932 8 Th St  | Approval to allow Phase I of the Cottage Industries project that includes: 1) Site Plan approval to allow the conversion of four (4) existing residential structures and two (2) existing accessory structures into commercial uses for restaurant and retail use; 2) to construct two (2) new commercial buildings (1,257 square foot building that will be used as a communal lounge area with restrooms and facility storage, and a 1,027 square foot building that will be used for a restaurant and facility storage); 3) construction of accessory trellis and patio shade structures with a combined square footage of 4,900 square feet; and 4) conversion of two (2) parcels into a parking lot to serve the development. Also, Conditional Use Permit approval to 1) allow for the communal outdoor consumption of alcohol and outdoor live entertainment; and 2) to allow two (2) new restaurants, located at 12932 8th Street and 12941 9th Street, to operate with an Alcoholic Beverage Control (ABC) Type 47 (On-Sale, General) License and with live entertainment. | Chris Bennett<br>709 Randolph Ave<br>COSTA MESA CA 92626                | 6      | M. P.   |
| CUP-122-2018 | 12942 8 Th St  |   |   |        |         |
| SP-045-2018  | 12931 9 Th St  |   |   |        |         |
| CUP-123-2018 | 12941 9 Th St  |   |   |        |         |
|              | 11421 Garden Grove Blvd  |   |   |        |         |
|              | 11461 Garden Grove Blvd  |   |   |        |         |
|              | 11301 Garden Grove Blvd  |   |   |        |         |
|              | 12951 7 Th St  |   |   |        |         |
| CUP-124-2018 | North side of Garden Grove Boulevard, east of Magnolia Street, located at 8851 Garden Grove Boulevard, Suite 113 | A request for conditional use permit to operate in an existing 882 square footage restaurant, Sushi Warriors, with a new original Alcoholic Beverage Control Type "41" (on-sale, beer, and wine, eating place) license.   | Preecha Suwannarat<br>9023 Rose Street, Space A7<br>BELLFLOWER CA 90706 | 5      | P. G.   |
| CUP-125-2018 | North side of Garden Grove Boulevard, east of Magnolia Street, located at 8757 Garden Grove Boulevard            | A request for conditional use permit to operate in an existing 1,220 square foot restaurant, Gaenali Bon Ga, with a new original Alcoholic Beverage Control Type "41" (on-sale, beer, and wine, eating place) license.  | Sam Park<br>8757 Garden Grove Boulevard<br>GARDEN GROVE CA 92844        | 9      | P. G.   |

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| CUP-136-2018 | North side of Acacia Avenue between Nutwood and Joy Street, at 10611 Acacia Avenue           | A request for a conditional use permit to operate a tea, milk, and chicken products manufacturing business along with existing warehousing operation. Manufacturing portion will be approximately 3,000 square feet. All manufacture products will be sold at all participating Roasting Water locations.  | Sang Van Do<br>7971 Westminster Avenue<br>WESTMINSTER CA 92683 | WITHDRAWN | P. G.   |
| CUP-137-2018 | Southeast corner of Brookhurst Street and Central Avenue, at 13252 Brookhurst St             | Conditional Use Permit to operate an existing restaurant, Marie Callender's, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License.  | BB Northridge Inc<br>PO Box 241<br>Patton CA 92346             | 9         | M. P.   |
| CUP-143-2018 | East side of Main Street between Acacia Parkway and Garden Grove Boulevard, at 12900 Main St | A request for Conditional Use Permit (CUP) approval to operate a new restaurant, AUM Beer Club, located at 12900 Main Street (Assessor's Parcel No. 090-161-34), within an existing 4,200 square foot restaurant tenant space, with a State Alcoholic Beverage Control (ABC) Type "47" (On-Sale, General, Public Eating Place) License, which is to be processed by ABC through a "premises-to-premises" transfer to the subject location. | Monster Ton<br>12900 Main St<br>GARDEN GROVE CA 92840          | 7         | C. C.   |

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| CUP-144-2018 | Southwest corner of Westminister Avenue and Brookhurst Street, at 9892 Westminister Ave #R                            | A request for Conditional Use Permit (CUP) approval to operate a new restaurant, Oc and Lau Restaurant, within an existing 7,654 square foot restaurant tenant space, located at 9892 Westminister Ave #R (Assessor's Parcel No. 098-361-22), with a new original State Alcoholic Beverage Control (ABC) Type "47" (On-Sale, General, Public Eating Place) License.   | Tina Nguyen<br>9892 Westminister Ave #R<br>GARDEN GROVE CA 92844                                  | 9      | C. C.   |
| CUP-145-2018 | East side of Euclid Street just south of Acacia Parkway, at 12900 Euclid St #C 110 & C 115                            | A request for Conditional Use Permit (CUP) approval to operate a new brew pub, Beachwood Brewing, located at 12900 Euclid Street (Assessor's Parcel No. 090-161-34), within a food-focused multi-tenant development, known as SteelCraft, which is comprised of recycled and re-purposed metal shipping containers. Beachwood Brewing will operate with a new State Alcoholic Beverage Control (ABC) Type "23" (Brew Pub/Micro-Brewery) License which allows for on-sales and off-sales of beer and wine. | Beachwood Blendery LLC<br>(Attn: Gabe Gordon)<br>7671 Woodwind Dr<br>HUNTINGTON BEACH CA<br>92647 | 5      | C. C.   |
| CUP-148-2019 | Northeast corner of Garden Grove Boulevard and Nutwood Street, at 10531 Garden Grove Blvd and 10561 Garden Grove Blvd | A request for Conditional Use Permit approval to operate a new TV studio, Saigon Broadcasting Television Network, within an existing 24,614 square foot building, located at 10531-10561 Garden Grove Boulevard (Assessor's Parcel Nos. 089 081 07 and 08).   | Dan Nguyen<br>10182 Central Ave<br>GARDEN GROVE CA 92843  | 5      | C. C.   |
| CUP-149-2019 | South side of Garden Grove Boulevard, between Magnolia Street and Cannery Street, at 9106 Garden Grove Boulevard      | A request for Conditional Use Permit approval to operate a new body massage establishment, Healthy Spa Massage, within a 900 square-foot tenant space within an existing multi-tenant commercial center.  | Thu Pham Anh Nguyen<br>13181 Lampson Ave. #215<br>GARDEN GROVE CA 92840                           | 7      | M. M.   |

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| CUP-151-2019                | South side of Garden Grove Boulevard between Cannery Street and Casa Linda Lane, at 9240 Garden Grove Blvd #18 | A request for Conditional Use Permit approval to operate an existing restaurant, Ondal Restaurant, within an existing 1,440 square foot restaurant tenant space, located at 9240 Garden Grove Boulevard #18, with a new original State Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License.   | YH Seafood Enterprises, Inc.<br>9240 Garden Grove Blvd #18<br>GARDEN GROVE CA 92844 | 9      | P. K.   |
| CUP-152-2019                | Southeast corner of McFadden Avenue and Ward Street, at 10522 Mcfadden Ave #A                                  | A request for Conditional Use Permit approval to upgrade from an ABC Type "20" (Off-Sale, Beer and Wine) to a Type "21" (Off-Sale, General) License at a mini-mart, D & M Market, located on a property zoned C-1 (Neighborhood Commercial) at 10522 McFadden Avenue.  | Mary Gerdes<br>10522 McFadden Ave, A<br>GARDEN GROVE CA 92843                       | 9      | H. L.   |
| CUP-153-2019<br>SP-067-2019 | East side of Buaro Street, south of Emrys Avenue, at 12612 Buaro St  | A request for a Conditional Use Permit to operate an existing 3,918 square foot sanctuary space with 200 fixed seats (1,938 square foot ancillary space) along with two (2) existing ancillary buildings; and a request for a Site Plan to construct new 4,482 square foot ancillary building at 12612 Buaro Street. The request also includes parking lot slurry coat and re-striping, and a trash enclosure. | Sung H. Lee<br>5109 Zakon Road<br>TORRANCE CA 90505                                 | 5      | G. G.   |
| CUP-154-2019                | NE corner of Valley View Street and Lampson Avenue, at 12422 Valley View St                                    | a request for Conditional Use Permit approval to operate an existing 926 square-foot service station convenience store, located at 12422 Valley View Street in the C-2 (Community Commercial) zone, with a new original State Alcoholic Beverage Control (ABC) Type "20" (Off-Sale, Beer and Wine) License. See attached plans for more information.   | Saeed Khadem<br>47 Sandpiper<br>IRVINE CA 92604                                     | 9      | H. L.   |

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COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

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|--------------|--|---|---|--------|---------|
| CUP-157-2019 | West side of Euclid Street, north of Hazard Avenue between Forbes Avenue and Emperor Quang Trung, at 14241 Euclid St #C111 112 | A REQUEST FOR A CONDITIONAL USE PERMIT APPROVAL TO OPERATE AN EXISTING RESTAURANT, QUAN GIO BAR & GRILL, WHICH CURRENTLY OPERATES WITH AN ALCOHOLIC BEVERAGE CONTROL (ABC) TYPE "41" (ON-SALE, BEER AND WINE, PUBLIC EATING PLACE) LICENSE WITH LIVE ENTERTAINMENT IN THE FORM OF KARAOKE AND AN AMPLIFIED INSTRUMENTALIST WITH A SOLO PERFORMER. IN CONJUNCTION WITH THE REQUEST, THE PLANNING COMMISSION WILL CONSIDER A DETERMINATION THAT THE PROJECT IS CATEGORICALLY EXEMPT FROM THE CALIFORNIA ENVIRONMENTAL QUALITY ACT (CEQA) PURSUANT TO SECTION 15301 – EXISTING FACILITIES. UPON APPROVAL AND EXERCISE OF THE SUBJECT REQUEST, THE CONDITIONAL USE PERMIT PREVIOUSLY GOVERNING THE TENANT SPACE, CUP-606-02, SHALL BE REVOKED AND BECOME NULL AND VOID. | Tuan Tran and Chuong Pham<br>18886 Quince Cir<br>FOUNTAIN VALLEY CA 92708 | 6      | M. P.   |
| CUP-159-2019 | South of Katella Avenue and north of Euclid Street, at 10672 Katella Ave   | A request for Conditional Use Permit approval to operate an existing sports bar, The Cave Sports Bar, located at 10672 Katella Avenue, with a new original State Alcoholic Beverage Control (ABC) Type "48" (On-Sale General for Public Premises) License. Upon approval and exercise of the subject request, the Conditional Use Permit previously governing the tenant space, CUP-403-98, shall be revoked and become null and void.  | Jaime Arcadia<br>1718 W. Civic Center Dr.<br>SANTA ANA CA 92703           | 5      | H. L.   |
| CUP-161-2019 | South side of Garden Grove Blvd, east of Newland St, at 8762 Garden Grove Blvd #104  | Conditional Use Permit to operate a new restaurant, Secret Pho, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License.  | Peter Nguyen<br>12651 Pearce Street<br>GARDEN GROVE CA 92843              | 9      | P. K.   |

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| CUP-162-2019 | West of Harbor Blvd, between Garden Grove Blvd and Banner Dr, at 13141 Harbor Blvd  | A request for a CUP to construct a new unmanned wireless telecommunication facility of 60ft height on a 43,850 sf lot located with the HCSP-TS zoning district. The property is previously improved with a single story, multi-tenant, commercial building and an existing 59-foot tall mono-palm operating under CUP No. 115-03.                                      | Los Angeles SMSA LP<br>dba Verizon Wireless<br>15505 Sand Canyon Avenue<br>Irvine CA 92618                        | 5      | H. L.   |
| CUP-163-2019 | West of Brookhurst Street, between Chapman Avenue and Lampson Avenue, at 12332 Brookhurst St                                  | A request for Conditional Use Permit approval to operate an existing restaurant, Tam's Restaurant and Sandwich, located at 12332 Brookhurst St., with a new original State Alcoholic Beverage Control (ABC) Type "41" (On Sale Beer & Wine – Eating Place) License.  | Thuc Lai<br>9738 Westminster Avenue #104<br>GARDEN GROVE CA 92844   | 9      | H. L.   |
| CUP-164-2019 | East side of Clinton Street, south of Westminster Avenue, north of Keel Avenue, west of Buena Street<br>12600 Westminster Ave | A CUP request to operate a "Public Works General Contractor" storage yard and office. Approximately 30% of the floor area will be used for the construction company's regional Orange County office, and the remaining 70% for the storage and warehousing of supplies and materials. The requests proposes the combination of units D and E at 12600 Westminster Ave. | Diamond-Star Associates, Inc.<br>(Chris Lamm)<br>4100 MacArthur Boulevard<br>Suite 330<br>Newport Beach, CA 92660 | 6      | P. K.   |
| CUP-165-2019 | Northwest corner of Garden Grove Boulevard and Beach Boulevard<br>12965 Beach Blvd, at 7901 Garden Grove Blvd #1              | A request for Conditional Use Permit (CUP) approval to operate a new 1,533 square foot gym, Club Pilates, at 12965 Beach Boulevard, located in an integrated shopping center, 22 & Beach.  | Frontier Village Center LLC<br>(Tom Carpenter)<br>610 Newport Center Drive<br>Suite 1520NEWPORT BEACH<br>CA 92660 | 7      | P. K.   |

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| CUP-166-2019 | Southeast corner of Garden Grove Boulevard and Cypress Street, at 10582 Garden Grove Blvd | A request for Conditional Use Permit approval to upgrade from an ABC Type "20" (Off-Sale, Beer and Wine) to a Type "21" (Off-Sale, General) License at an existing convenience store, Mega Mart, located at 10582 Garden Grove Boulevard.   | Nirav Patel<br>10582 Garden Grove Boulevard<br>GARDEN GROVE CA 92843                              | 5      | P. K.   |
| CUP-167-2019 | West side of Harbor Boulevard, north of Chapman Avenue, at 11931 Harbor Boulevard         | A request for Conditional Use Permit approval to operate an existing 118,908 square foot hotel, Residence Inn, located at 11931 Harbor Boulevard, with a new State Alcohol Beverage Control (ABC) Type "47" (On-Sale General, Eating Place) License for a proposed lounge/bar with restaurant in existing lobby area. Upon approval and exercise of the subject request, the Conditional Use Permit previously governing the lobby area, CUP-096-2017, shall be revoked and become null and void. | Innskeepers Hospitality Management, LLC<br>222 Lakeview Ave., Ste 200<br>WEST PALM BEACH FL 33401 | 6      | M. M.   |
| CUP-168-2019 | Northwest corner of Century Blvd and Euclid St, at 13302 Century Blvd #A                  | A request for a Conditional Use Permit to operate a new vocational cosmetology school in an existing 3,372 square foot commercial tenant space at 13302 Century Boulevard Unit A. The school will be limited to maximum of 19 students, and 3 instructors at any one time.  | Power Tran<br>8942 Garden Grove, #204<br>GARDEN GROVE CA 92844                                    | 6      | P. K.   |

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| CUP-169-2019 | South side of Garden Grove Boulevard and west of Newland Street, at 8604 Garden Grove Blvd   | A request for Conditional Use Permit approval to allow an existing liquor store, Crazy Liquor, located at 8604 Garden Grove Boulevard, to operate with a new State Alcoholic Beverage Control (ABC) Type "21" (Off-Sale, General) License. The existing liquor store is currently operating with an ABC Type "20" (Off-Sale, Beer and Wine) License, under the approval of Conditional Use Permit No. CUP-076-2016. Upon approval and exercising of the subject request, the Conditional Use Permit previously governing the tenant space, CUP-076-2016, shall be revoked and become null and void. | Wael Allahnam<br>8604 Garden Grove Blvd<br>GARDEN GROVE CA 92844  | 9      | C. C.   |
| CUP-173-2019 | East side of Euclid Street just south of Acacia Parkway, at 12900 Euclid St #110             | A request for Conditional Use Permit (CUP) approval to operate a new wine-tasting establishment, Wilson Creek Winery, located at 12900 Euclid Street (Assessor's Parcel No. 090-164-37), Suite 110, within a food-focused multi-tenant development, known as SteelCraft. Wilson Creek Winery will operate with a new State Alcoholic Beverage Control (ABC) Type 02 License which allows for on- and off-sales of wine.   | Wilson Creek Winery & Vineyards, Inc.<br>(Attn: William J. Wilson)<br>35960 Rancho California Road<br>TEMECULA CA 92591 | 5      | P. K.   |
| CUP-174-2020 | Southwest corner of Westminster and Brookhurst - Mail of Fortune, at 9906 Westminster Ave #B | A request for Conditional Use Permit to operate a new restaurant, Tam Bien Restaurant, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License. The existing restaurant received approval for a ABC Type-41 (On-Sale, Beer and Wine, Public Eating Place) License, under CUP-369-13, but was never exercised. The existing CUP-369-13 will be revoked, and become null and void.  | Chu Bat Gioi, Inc.<br>(Tam Bien Restaurant)<br>9906 Westminster Avenue, Suite B<br>GARDEN GROVE CA 92844                | 2      | P. K.   |

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| CUP-175-2020     | Southwest corner of Westminster and Brookhurst - Mail of Fortune, at 9904 Westminster Ave #B                                  | A request for Conditional Use Permit to operate an existing restaurant, CTB Eatery, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License.   | Five Brothers Corp.<br>9904 Westminster Avenue, Suite B<br>GARDEN GROVE CA 92844 | 2      | P. K.   |
| CUP-176-2020     | Southwest corner of Euclid Street and Katella Avenue, at 10870 Katella Ave  | A request for a Conditional Use Permit to operate an existing 29,112 square foot tenant space as a trade school, UEI College, for 220 students and 20 employees/instructors, located at 10870 Katella Avenue. The floor plan includes administrative offices, and classrooms and facilities for auto mechanic, , HVAC, dental hygienist, pharmacy technician, business, and medical courses. | Monica Maloney<br>(CDL Design Group)<br>9265 Research Drive<br>IRVINE CA 92618   | 1      | P. K.   |
| CUP-211-07HE18   | Northwest corner of Garden Grove Boulevard and Fern Street, at 8303 Garden Grove Blvd and 8307 Garden Grove Blvd              | A request to modify the hours of operation under Conditional Use Permit No. CUP-211-07, for Red Castle 2 Korean BBQ Restaurant, located at 8303-8307 Garden Grove Boulevard  | Helen Kyu Bun Im<br>1146 E Lexington Dr #114<br>GLENDALE CA 91206                | 9      | C. C.   |
| CUP-271-96 (MM1) | East of Bowen Street, South of Garden Grove Boulevard, North of Central Avenue, and West of Cypress Street, at 13082 Bowen St | Applicant is requesting to modify an existing Conditional Use Permit (CUP-271-96) to change the existing K-8 school to a K-12 school. The number of enrolled students will not be increased and will remain at 250 students, and there will be no building additions.  | Olive Crest<br>2130 E 4th Street<br>Santa Ana CA 92705                           | 5      | N. M.   |

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| CUP-290-10 REV. 2014 (MM2) | North side of Garden Grove Boulevard and west of Magnolia Street, at 8851 Garden Grove Blvd #106-112 | A request for minor modification to the approved plans under Conditional Use Permit No. CUP-290-10 REV. 2014, for an existing restaurant, Go Goo Ryeo Restaurant, located at 8851 Garden Grove Boulevard, Suite Nos. 106-112 (Assessor's Parcel No. 133-454-19), to convert an existing dining area to a salad bar and to change the counter orientation at the existing waiting area within the karaoke portion of the establishment. | Myung Lim<br>12551 Hinton Way<br>SANTA ANA CA 92705          | 5      | C. C.   |
| DR-035-2018                | West side of Loma Street between Stanford Avenue and Acacia Avenue, at 12811 Loma St                 | A request to construct a 2nd floor addition to an existing one-story dwelling unit, Unit 1, which is part of an existing duplex on a lot improved with an existing two-story dwelling unit, Unit 2.  | Quang Chi Dinh<br>12811 Loma St<br>GARDEN GROVE CA 92841     | 9      | C. C.   |
| DR-036-2018                | West side of Mac Street just south of Orangewood Avenue 11561 Mac St                                 | A request for Director's Review approval to allow a fifth bathroom (a public powder room), as part of a proposal to construct a single-story addition to an existing single-family dwelling.   | Man Nguyen<br>10021 Dakota Ave<br>GARDEN GROVE CA 92843      | 9      | C. C.   |
| DR-037-2018                | North side of Acacia Avenue, east side of Dale Street, at 12832 Dale Street                          | A request to construct a 336 square foot addition to a lot currently developed with a one-story duplex, to enlarge the living area, enlarge a bedroom, and add a bathroom to Unit A.   | Andrei Kapyski<br>12832 Dale Street<br>GARDEN GROVE CA 92841 | 9      | M. M.   |

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| DR-038-2018 | North side of Garden Grove Boulevard, east of Brookhurst Street, at 12912 Brookhurst Street                            | A request for Director's Review approval to allow additional lease area, approximately 60 square feet, for the installation of a ground mounted gas generator within a new wrought iron fence enclosure, to an existing roof mounted attached wireless telecommunication facility on an existing building. (Originally approved under DR-03-06 and DR-45-09)   | Al Gamboa<br>Milestone Wireless<br>14110 Ramona Drive<br>WHITTIER CA 90605 | 6      | M. M.   |
| DR-039-2019 | South side of Ora Drive, east of Roger Drive, at 11382 Ora Dr  | Director's Review request to allow a fifth bathroom (a public/comunal powder room), as part of a proposal to construct a two-story, 5,172 square-foot single family dwelling at 11382 Ora Drive.   | Kamen Lai<br>8748 Valley Boulevard, #K<br>ROSEMEAD CA 91770                | 6      | P. K.   |
| DR-040-2019 | South side of Russell Avenue, west of Deanann Place, east of Hope Street, North of Dakota Avenue, at 10232 Russell Ave | Director's Review request to construct two (2), two-story, multiple family dwelling units on a 9,641.25 square foot lot that is currently improved with a single-family home. The existing single-family home and detached garage will be demolished in order to accommodate the proposed residential development. Unit 1 will have a total living area of 1,925 square feet, while Unit 2 will have a total living area of 1,828 square feet. Each unit will consist of four (4) bedrooms, three (3) bathrooms, and a two-car enclosed garage. (Resubmittal of DR-021-2016) | Tu Van Nguyen<br>7362 Main Street<br>Westminster CA 92683                  | 6      | P. K.   |
| DR-041-2019 | South of Lampson Avenue, east of Buaro Street, west of Leda Lane, north of Emrys Street, at 12322 Lampson Ave          | Director's Review request to allow a fifth and sixth bathroom, as part of a proposal to construct a new two-story, 6,345 square-foot single family dwelling, detached three-car garage, and detached ADU at 12322 Lampson Avenue.  | John A. Salat<br>11386 Woodgrove Road<br>LAKE FOREST CA 92630              | 5      | P. K.   |

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| DR-042-2019 | South of Imperial Avenue, between Hope Street and Deanann Place, at 10186 Imperial Ave | A request to build a Single Family Dwelling on a legal non-conforming, R-2 zoned property. Currently, the lot is vacant and unimproved.  | Toby Nguyen<br>14832 Monroe St.<br>MIDWAY CITY CA 92655        | 6      | H. L.   |
| DR-043-2019 | East side of La Bonita Avenue just north of Westminster Avenue, at 13942 La Bonita Ave | A request to construct a duplex, consisting of two (2) detached units, on a lot located at 13942 La Bonita Avenue (Assessor's Parcel No. 100-153-10), which is improved with an existing 752 square foot one-story dwelling unit and an existing detached two-car garage. The scope of work will include the following: (i) demolish the existing dwelling and detached two-car garage; (ii) construct a new 1,962 square foot two-story dwelling unit (Unit 1) with a new attached two-car garage; and (iii) construct a new 2,194 square foot dwelling unit (Unit 2) and attached two-car garage.        | Andrew Do<br>2870 Sycamore Lane<br>ARCADIA CA 91006            | 6      | P. K.   |
| DR-044-2019 | Southwest corner of Stanford and Lucille Avenue, at 12751 Lucille Ave                  | A request to construct a 2nd dwelling unit with an attached 2-car garage at the rear of the property, to create a duplex on a R-2 zoned property located at 12751 Lucille Avenue. The lot is currently improved with a single family dwelling with an attached, two-car garage. In conjunction with the request, the applicant proposes to remodel the interior of the existing unit, located in front of the property, combining two bedrooms and one bath to create one (1) large master bedrooms with a jack-and-jill bathroom. No change in building footprint of the main dwelling has been proposed. | Orchid Nguyen<br>12751 Lucille Avenue<br>GARDEN GROVE CA 92841 | 6      | H. L.   |

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| DR-045-2019                                 | Southwest corner of Dakota Avenue and Bowen Street, at 10232 Dakota Ave                         | A Director's Review request to demolish the existing single family dwelling to construct a new, detached, residential duplex on a R-2 zoned property located at 10232 Dakota Avenue.   | LORNA GG, LLC<br>14221 Euclid St. Ste. #D<br>GARDEN GROVE CA 92843                              | 3      | H. L.   |
| GPA-001-2018<br>PUD-008-2018<br>SP-048-2018 | South side of Garden Grove Boulevard, west of Brookhurst Street at 10080 Garden Grove Boulevard | A request to amend the General Plan Land Use designation from Residential/Commercial Mixed Use 1 to Community Residential, to rezone the site to Planned Unit Development, and Site Plan review to revise the building proposed for the steel structure at the location.   | AMG & Associates, LLC<br>16633 Ventura Boulevard, Suite 101<br>Encino CA 91436                  | 7      | E. W.   |
| GPC-007-2019                                | Northeast corner of Chapman Avenue and Debby Lane, at 12111 Chapman Ave                         | Report and Determination of General Plan conformity pursuant to Government Code 65402 for proposed disposition of City property.   | City of Garden Grove<br>11222 Acacia Pkwy<br>PO Box 3070<br>Garden Grove California 92842       | 9      | L. M.   |
| LLA-015-2018<br>SP-054-2018                 | Northwest corner of Orangewood Avenue and Western Avenue, at 7351 and 7421 Orangewood Avenue    | A request for Site Plan approval for a 36,763 square foot single-story addition between two existing industrial buildings to expand an existing food manufacturing business, in conjunction with a request for Lot Line Adjustment approval to consolidate a 5.2-acre property (Parcel 1), with an adjacent 5-acre property (Parcel 2), the current location of House Foods, for a combined acreage of approximately 10.3 acres. Each property is currently developed with two-story industrial buildings, 81,613 square feet (Building 1) and 125,040 square feet (Building 2), respectively. | House Foods Holding USA Inc.,<br>Corporation<br>7351 Orangewood Avenue<br>GARDEN GROVE CA 92841 | 9      | M. M.   |

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| LLA-016-2018<br>V-020-2018                                 | At the end of the cul-de-sac on Sorrell Drive just south of Banner Drive, at 11831 Trask Ave                   | A request for Lot Line Adjustment approval to remove an existing lot line between two (2) adjoining parcels (Assessor's Parcel Nos. 100-352-28 and 31), thereby consolidating the two (2) lots into a single lot, for the purposes of constructing a new single-family dwelling. Also, a request for Variance approvals to allow: (i) a deviation from the minimum lot size requirement of the R-1-7 (Single-Family Residential) zone; (ii) a deviation from the rear yard setback requirement of the R-1-7 zone; and (iii) a deviation from the open space requirement of the R-1-7 zone.  | George and Beverly Paras<br>13452 Sorrell Drive<br>GARDEN GROVE CA 92843         | 5<br>(EXPIRED) | C. C.   |
| LLA-017-2018   | East side of Main Street, the third and fourth parcels south of Acacia Parkway, at 12885 and 12891 Main Street | Lot Line Adjustment to consolidate two existing lots 12885 Main Street (APN 089-213-21) and 12891 Main Street (APN 089-231-20) into a single parcel. The Lot Line Adjustment is a Condition of Approval for Site Plan No. SP-053-2018.  | Gladstone 'Andy' Anderson<br>28281 Paseo Establo<br>San Juan Capistrano CA       | 6              | E. W.   |
| PUD-010-2019<br>TT-18169-2019<br>SP-063-2019<br>V-022-2019 | North side of 11th Street between Kerry Street and Brookhurst Street, at 9861 11 Th St                         | A request by Melia Homes to develop a 1.8-acre lot, located at 9861 11th Street (Assessor's Parcel No. 098-120-18), with a multiple-family residential project consisting of 31 two- and three-story townhomes. The specific land use entitlement approvals requested include the following: (i) Residential Planned Unit Development zoning to facilitate the development of the townhome project; (ii) Site Plan approval to construct the 31 two- and three-story townhomes along with associated site improvements; (iii) Tentative Tract Map approval to subdivide the subject properties to facilitate the development of the townhome project; and (iv) Variance approval to deviate from the minimum lot size for a Residential Planned Unit Development. | Melia Homes Inc.<br>(Attn: Chad Brown)<br>8951 Research Drive<br>IRVINE CA 92618 | 6              | C. C.   |

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| PUD-103-76 (REV. 2018)                | Properties within sub-district "Industry" (Area 4) of Planned Unit Development No. PUD-103-76, located north of Chapman Avenue, south of the Stanton Storm Channel, between Western Avenue and Monarch Street | A request by the property owner of 7390 Lincoln Way, currently developed with a 37,879 square foot, two-story building, to amend permitted uses within the "Industry" sub-district (Area 4) of Planned Unit Development No. PUD-103-76 to allow professional office uses.  | Tony Wang<br>Southland Industries<br>7390 Lincoln Way<br>GARDEN GROVE, CA 92841            | 5      | M. M.   |
| PUD-103-82 (REV. 2018)                | 9670 Trask Ave<br>9444 Trask Ave  | Request to amend PUD-103-82 to reconstruct an existing on-premise freeway-oriented digital sign and increase the digital display area from 298.47 square feet to 477 square feet for Toyota Place automobile dealership.   | Electra-Media, Inc. (EMI)<br>(Ariana Diverio)<br>4737 W. 156th Street<br>LAWNDALE CA 90260 | 9      | L. M.   |
| PUD-104-70 (REV. 2019)<br>SP-079-2019 | Northwest corner of Knott Street and Acacia Avenue, at 12821 Knott St   | A request to amend Planned Unit Development No. PUD-104-70 to facilitate an expansion of the existing 119,836 square foot industrial building with the construction of a 45,335 square foot one-story addition of industrial space. Also, a request for Site Plan approval to construct the 45,335 square foot one-story addition of industrial space to the existing 119,836 square foot industrial building, along with associated site improvements. The site is at 12821 Knott Street, including that portion of Brady Way, which fronts along the westerly property line of the subject site. | Rexford Industrial Realty L.P.<br>11620 Wilshire Blvd, 10th Floor<br>LOS ANGELES CA 90025  | 4      | C. C.   |

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**CITY OF GARDEN GROVE DEVELOPMENT PROJECTS UPDATE  
COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

This report is current through December 2019  
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| CASE #   | SITE ADDRESS   | PROJECT DESCRIPTION   | APPLICANT   | STATUS | PLANNER |
|--|--|---|---|--------|---------|
| PUD-104-81/86/90<br>(Rev 2019)                     | NW Corner of Euclid/Quang<br>Trung - West of Euclid Street,<br>East of Corporate Drive, South<br>of Forbes Avenue, and North of<br>Emperor Quang Trung, at<br>14291 Euclid St and 14231<br>Euclid St | A request to amend PUD-104-81/86 Rev 90 to reduce the required<br>landscape street setback in order to expand and improve the<br>existing surface parking lot of a 47,922 square foot commercial<br>shopping center. The proposal also includes the construction of a<br>new two-story, 7,250 square foot building designated for retail and<br>general office uses that will be presented as an item for<br>consideration to the Planning Commission only. The subject lot is<br>approximately 203,000 square feet. (The Conditions of Approval<br>will pertain only to the PUD revision, and not the proposed building)   | Bon Hoang<br>14291 Euclid Street, D-115<br>GARDEN GROVE CA 92843            | 6      | P. K.   |
| SP-033-2017TE1                                     | 13200 Harbor Blvd<br>13220 Harbor Blvd   | One-year time extension for approved entitlement under SP-033-<br>2017 for construction of an approximately 4,954 square foot<br>commercial pad building within the parking lot of exist multi-tenant<br>shopping center, Harbor Place Center.  | ROIC California, LLC<br>8905 Towne Center Drive, #108<br>SAN DIEGO CA 92122 | 5      | M. M.   |
| SP-037-2017TE1<br>CUP-106-2017TE1<br>V-015-2017TE1 | North side of Garden Grove<br>Boulevard and west of Knott<br>Street, at 7051 Garden Grove<br>Blvd  | The applicant is requesting approval of a one-year time extension<br>for the approved entitlements under Site Plan No. SP-037-2017,<br>Variance No. V-015-2017, and Conditional Use Permit No. CUP-<br>106-2017, for (i) the construction of a new service (gas) station,<br>with a new drive-thru convenience store, on a vacant lot located at<br>7051 Garden Grove Boulevard, (ii) Variance approval to deviate<br>from the minimum rear setback requirement in order to construct a<br>trash enclosure within the rear ten-foot setback, along the northerly<br>property line (adjacent to the SR-22 Freeway), and (iii) Conditional<br>Use Permit approval to allow the new convenience store to operate<br>with a new original State Alcoholic Beverage Control (ABC) Type<br>"20" (Off-Sale, Beer and Wine) License. | Rosa Estella Bermeo<br>7051 Garden Grove Blvd<br>GARDEN GROVE CA 92841      | 5      | C. C.   |

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COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

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|---|--|--|--|--------|---------|
| SP-044-2017 (REV. 2019)<br>V-024-2019     | East side of Euclid Street just south of Acacia Parkway, at 12900 Euclid St          | A request for modification to the approved plans under Site Plan No. SP-044-2017, for SteelCraft, to allow: (i) an approved bike rack/locker, located along the Euclid Street frontage, to be converted to a retail tenant space; and (ii) to modify a portion of the approved perimeter fence around the establishment, by extending the fence height to eight feet, for security purposes during non-business hours. Also, a request for Variance approvals: (i) for a deviation from the front setback requirement to allow the use of a container, which was originally approved as a bike rack/locker, for use as a retail tenant space, within a portion of the front setback area along the Euclid Street frontage; and (ii) for a deviation from the maximum fence height requirement to allow a portion of the eight-foot high perimeter fence to be located within the front setback area. | SteelCraft Garden Grove LP<br>3750 Long Beach Blvd, Suite 200<br>LONG BEACH CA 90807 | 9      | C. C.   |
| SP-046-2018<br>LLA-013-2018<br>V-018-2018 | West side of 9th Street between College Avenue and Stanford Avenue, at 12671 9 Th St | The applicant is requesting Site Plan approval to demolish all existing on-site improvements, which include three (3) existing one-story apartment units, and to construct four (4) new three-story apartment units on a 12,564 square foot site. Also, a request for Lot Line Adjustment approval to eliminate an existing property line to consolidate the two (2) existing parcels into one (1) lot, along with a request for Variance approval to deviate from the minimum lot size requirement, to develop the site with a multiple-family residential development.   | Anh Phan<br>11052 Camellia Way<br>GARDEN GROVE CA 92840                              | 6      | C. C.   |

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COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

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| CASE #  | SITE ADDRESS  | PROJECT DESCRIPTION  | APPLICANT  | STATUS | PLANNER |
|---|---|--|--|--------|---------|
| SP-048-2018MM1                                      | South Side of Garden Grove Boulevard, west of Brookhurst Street at 10080 Garden Grove boulevard and the property adjacent to the south, at 9860 Larson Avenue | A request for modification of conditions of approval as well as replacement of a mitigation measure in a previously adopted Mitigated Negative Declaration for an approved 394-unit affordable senior housing project that includes 12,938 square feet of commercial retail space.   | AMG & Associates, LLC<br>16633 Ventura Boulevard, Suite 101<br>ENCINO CA 91436   | 5      | L. M.   |
| SP-050-2018<br>CUP-128-2018                         | Southwest corner of Harbor Boulevard and Woodbury Road, located, at 13731 Harbor Boulevard  | A request for a site plan to demolish an existing 2,747 square feet accessory building on a lot improved with an existing main building of 21,708 square feet and to construct new, approximately 5,485 square feet addition with site improvements to the parking lot, landscaping, lighting, service office and canopy, offices, and display areas. And a conditional use permit to allow to operate a motor vehicle sales and maintenance facility.   | Jared Hardin<br>132 South Auto Center Dr.<br>ANAHEIM CA 92806  | 9      | P. G.   |
| SP-052-2018<br>Tentative Parcel Map No. PM-2017-141 | North of Imperial Avenue and west of Gilbert Street, at 9241 Imperial Ave   | Site Plan and Tentative Parcel Map approval to subdivide an existing 18,470 square foot lot improved with a single-family home into two (2) parcels. Lot 1 will have a lot size of 9,387 square feet, and Lot 2 will have a lot size of 9,035 square feet. The existing single-family home will be demolished to accommodate the proposed subdivision, and a new two-story single-family home will be constructed on each lot. Also, minor land deviation to exceed the required number of bathrooms and allow an additional half-bath on Lot 1. | Rosell Surveying & Mapping, Inc.<br>c/o Robert Monroe<br>3505 Cadillac Ave, Bldg. O, Suite 108,<br>COSTA MESA CA 92626 | 5      | M. P.   |

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COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

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|---|---|---|--|--------|---------|
| SP-055-2018<br>CUP-132-2018   | North side of Stanford Avenue<br>between Nelson and Nutwood<br>Street, at 10641 Stanford Ave      | A Site Plan request to demolish an existing 9,600 square foot industrial building and construct a new single story, 4,992 square foot building with parking lot, landscape, and site improvements, in conjunction with a Conditional Use Permit approval to operate a public utility station and equipment building.  | Kevin McManus<br>2350 Ball Drive<br>SAINT LOUIS MO 63146   | 7      | P. G.   |
| SP-056-2018<br>CUP-134-2018<br>GPA-002-2018<br>LLA-018-2018<br>A-024-2018 | East side of Harbor Boulevard<br>between Trask and<br>Westminster Avenue, at 13650<br>Harbor Blvd | A request for General Plan Amendment; Ordinance Amendment; Site Plan; Conditional Use Permit, and Lot Line Adjustment to construct a new five (5) story, 59'-0" high, 64,673 square foot, 124-room hotel, hotel amenities, 100 on-site surface parking spaces, landscaping, and related site improvements on a 1.48-acre site. In conjunction with a Conditional Use Permit approval to operate a new hotel use. The project requires a General Plan Amendment to increase the maximum Floor Area Ratio from .5 to 1.0 in the Heavy Commercial General Plan Land Use Designation and a Ordinance Amendment to allow the increase of the hotel height from 55'-0" to 60'-0", increase the number of floors from four (4) to five (5) stories, permit up to a twenty percent (20%) reduction in the number of off-street parking spaces required pursuant to Section 9.16.020.050 in conjunction with a Site Plan and/or Conditional Use Permit approval. | Bui Nguyen<br>2439 Manhattan Place #221<br>HARVEY LA 70058 | 7      | P. G.   |

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COMMUNITY & ECONOMIC DEVELOPMENT DEPARTMENT**

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|--|--|---|---|--------|---------|
| SP-057-2018<br>CUP-140-2018<br>LLA-019-2018<br>PUD-104-73 Rev 2018 | 12101 Valley View St<br>12111 Valley View St | A request to develop a 2.71 acre site comprised of two parcels currently improved with the Starflight Cinema and a vacant 6,040 square foot restaurant with a new automatic car wash, a pad drive-thru restaurant, a sit-down restaurant, and expansion to the existing movie theater. The existing vacant restaurant building will be demolished to accommodate the proposed project. The request includes an amendment to Planned Unit Development No. PUD-104-73 to allow an automatic car wash, a drive-thru pad restaurant, and a sit-down restaurant on the subject project site, and to modify the pole sign requirements of the PUD to allow a multiple-tenant cabinet display to the existing pole sign; Lot Line Adjustment to modify existing lot lines to consolidate the two subject parcels into one; Site Plan to allow the construction of a 4,241 square foot automatic car wash, a 1,870 square foot drive-thru restaurant, and a 2,700 square foot sit-down restaurant, and a 2,846 square foot expansion to the movie theater and related site improvements; and Conditional Use Permit to allow the operation of the automatic car wash and to expansion and remodel of the exist the movie theater. | Cinemas Management Inc<br>c/o Dan Akarakian<br>315 Rees Street<br>PLAYA DEL REY, CA 90293 | 7      | M. P.   |

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|-----------------------------|--|--|---|--------|---------|
| SP-058-2018<br>PM-2018-147  | West side of Grove Avenue between Acacia Parkway and Garden Grove Boulevard, at 10801 Garden Grove Blvd                        | A request for Site Plan approval to construct a new 2,485 square foot Taco Bell restaurant pad building, with a drive-thru, on the easterly portion of the existing Home Depot parking lot, located at 10801 Garden Grove Boulevard (APN: 089-212-48), along with site improvements that include re-configuring of existing parking spaces and new landscaping. Also, a request for Tentative Parcel Map approval to subdivide the existing 10.7 acre Home Depot property into two (2) lots (the 10.25 acre Home Depot property and the 0.45 acre Taco Bell property), in order to facilitate the development of the new 0.45 acre Taco Bell site. | Francis Chu<br>(Fountainhead Shrugged, LLC)<br>1401 Quail Street, Suite 100<br>NEWPORT BEACH CA 92660 | 9      | C. C.   |
| SP-061-2019<br>PM-2019-2019 | Located on the south side of Garden Grove Boulevard between Century and Euclid Avenue, located at 10862 Garden Grove Boulevard | A request for Site Plan approval to construct a new two-story, 9,229 square foot building for professional offices, medical offices, and retail on a 23,393 square foot lot along with site improvements that include a parking area with 41 spaces and landscaping. Along with a request for Tentative Parcel Map approval to consolidate the existing three (3) parcels into one (1) parcel.   | Darren Nguyen<br>9246 Honeysuckle Avenue<br>Fountain Valley CA 92708                                  | 6      | P. G.   |

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|-----------------------------|--|--|--|--------|---------|
| SP-062-2019<br>LLA-020-2019 | Southside of Garden Grove Blvd, west of Coast Street, at 8218 Garden Grove Blvd  | Request to construct a 46-unit apartment complex with a 21.7% affordable housing density bonus on two lots located in the R-3 zone. The lots will be consolidated, and will have a total lot area of 66,000 square feet.   | David Nguyen<br>9140 Trask Ave., Suite 202<br>Garden Grove, CA 92844   | 5      | J. R.   |
| SP-064-2019                 | South side of Westminster Avenue between Flower Street and Hope Street, at 10152 Westminster Ave and 10142 Westminster Ave | A request for Site Plan approval to demolish an existing 800 square foot medical office building and an existing detached 400 square foot two-car garage, and to construct a new 3,000 square foot two-story, office building, along with associated site improvements, on a lot located at 10152 Westminster Avenue (Assessor's Parcel No. 099-162-38), which will utilize a shared driveway with the abutting lot to the west, at 10142 Westminster Avenue (Assessor's Parcel No. 099-162-30), which is currently developed with an existing auto repair shop. | Annie Tran<br>1420 Bristol St North, Ste 220<br>NEWPORT BEACH CA 92660 | 6      | C. C.   |
| SP-065-2019<br>LLA-021-2019 | South side of Central Avenue between Brookhurst Street and Flower Street, at 10052 Central Ave                             | A request for Site Plan approval to construct a new duplex, consisting of two (2) two-story attached dwelling units, on an 11,700 square foot vacant site, located at 10052 Central Avenue. Also, a request for Lot Line Adjustment approval to remove an existing lot line between the two (2) subject parcels, Assessor's Parcel Nos. 099-031-09 and 099-031-08, thereby consolidating the two (2) lots into a single lot.   | My Dam<br>12866 Main St #101<br>GARDEN GROVE CA 92840                  | 6      | C. C.   |
| SP-066-2019                 | South side of Trask Avenue and just east of Brookhurst Street, at 10150 Trask Ave  | A request for Site Plan approval to construct a 3,567 square foot one-story auto repair building attached to an existing one-story 846 square foot car wash building, on a lot improved with an existing Chevrolet auto dealership located at 10150 Trask Avenue.  | Simpson Garden Grove, Inc.<br>10150 Trask Ave<br>GARDEN GROVE CA 92843 | 9      | C. C.   |

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|-----------------------------|--|---|---|--------|---------|
| SP-069-2019<br>CUP-155-2019 | North side of Chapman Avenue,<br>west of Brookhurst Street,<br>located at 9845 Chapman<br>Avenue | A request for Site Plan and Conditional Use Permit approval to<br>rebuild an existing 3,710 square foot McDonald's restaurant pad<br>building with a drive-thru on the southerly portion of the existing<br>Garden Grove Promenade shopping center, along with site<br>improvements that include re-configuring of the existing parking<br>spaces and drive-thru lane, and new landscaping.   | Christine Cho<br>McDonald's Corporation<br>3800 Kilroy Airport Way, Ste. 200<br>LONG BEACH CA 90806 | 9      | M. M.   |
| SP-070-2019<br>V-023-2019   | Northwest corner of<br>Westminster Avenue and<br>Atlantis Way, at 9191<br>Westminster Ave        | A request for Site Plan approval to construct a 7,140 square foot<br>3rd floor addition to an existing 2-story 29,736 square foot medical<br>office building, located at 9191 Westminster Avenue (APN: 098-401-<br>22). The new 3rd floor addition areas are intended for additional<br>medical office and storage space. Also, a request for Variance<br>approval to deviate from the maximum stories and height permitted<br>in the O-P (Office Professional) zone to facilitate the construction of<br>the new 3rd floor addition. | Michael Dao<br>9191 Westminster Avenue<br>GARDEN GROVE CA 92844                                     | 5      | C. C.   |
| SP-071-2019                 | West side of Nelson Street,<br>north of Stanford Avenue, at<br>12701 and 12671 Nelson Street     | A request by St. Columban Church, located at 10801 Stanford<br>Avenue, for Site Plan approval to convert two existing single family<br>dwellings, located across Nelson Street at 12671 and 12701<br>Nelson Street, on an approximately 21,760 square foot lot, into<br>3,007 square feet of additional office and meeting space.   | Saint Columban Church<br>10801 Stanford Avenue<br>GARDEN GROVE CA 92840                             | 6      | M. M.   |

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| SP-073-2019               | West side of Louise Street between Acacia Avenue and Garden Grove Boulevard, at 12931 Louise St | A request for Site Plan approval to demolish all existing on-site improvements, which include an existing single-family residence and two (2) detached accessory structures, and to construct four (4) new two-story apartment units, along with associated site improvements, on a 14,967 square foot lot, located at 12931 Louise Street (Assessor's Parcel No. 133-474-07).  | Frank Le<br>14211 Riata St<br>WESTMINSTER CA 92683            | 6      | C. C.   |
| SP-075-2019<br>V-025-2019 | South of Imperial Avenue, between Hope Street and Deanann Place, at 10182 Imperial Ave          | Site Plan request to construct two (2) detached, two-story, multiple-family residential units with an attached two (2) car enclosed garage on a 8,837 square foot lot. Each unit will have a total living area of 2,067 square feet and 2,195 square feet. As part of this project, a five-foot public right-of-way street dedication is required, which will reduce the lot size to 8,485 square feet. In conjunction to the building request, Variance request to allow the project to deviate from the minimum 8,712 square foot lot size of the R-2 zone. The existing single-family home will be demolished to accommodate the proposed development. | An Ha<br>12862 Joy Sireet<br>GARDEN GROVE CA 92840            | 6      | H. L.   |
| SP-076-2019               | Southwest corner of Garden Grove Boulevard and Haster Sireet, at 12862 Garden Grove Boulevard   | A request for Site Plan approval to relocate certain specific existing billboards and convert them into one (1) two-sided electronic billboard located along the Garden Grove (22) Freeway. A Mitigated Negative Declaration has been prepared for this project and will be considered for adoption along with the subject Site Plan.   | Outfront Media<br>1731 Workman Street<br>LOS ANGELES CA 90031 | 6      | P. G.   |

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| SP-078-2019<br>CUP-158-2019 | 11352 Acacia Pkwy<br>12911 7 Th St<br>12902 7 Th St<br>12912 7 Th St<br>12911 8 Th St<br>12932 7 Th St<br>11361 Garden Grove Blvd<br>11391 Garden Grove Blvd<br>11412 Acacia Pkwy | A request by Cottage Industries, LLC for approval of a Site Plan and a Conditional Use Permit for the Cottage Industries Art Block Project. The proposed Site Plan would allow the conversion of nine (9) existing residential structures and nine (9) existing accessory structures, along with allowing the construction of six (6) new commercial structures with a combined square footage of 2,800 square feet, to accommodate new commercial restaurant, office/service, and motel uses. The Conditional Use Permit will allow the operation of a motel use within ten (10) building structures located on six (6) properties of the project site. The project site includes a total of nine (9) properties located within the Civic Center Area. | Cottage Industries, LLC<br>709 Randolph Ave<br>COSTA MESA CA 92626                     | 5      | M. P.   |
| SP-258-99 (REV. 2018)       | South side of Chapman Avenue<br>west of Lewis Street, at 13280<br>Chapman Ave   | A request to modify the approved plans, under Site Plan No. SP-258-99, to complete the Memorial Gardens expansion, located at 13280 Chapman Avenue (APN: 231-022-03), within the allowances of the previously approved cemetery area. The project will include additional interment area and the construction of three (3) new buildings consisting of an administrative office, a cremation niche building, and a maintenance building. Also, a request to modify the Conditions of Approval, under SP-258-99, to modify the maximum building and wall height requirement to facilitate the construction of the proposed three (3) new buildings.  | Michael Wesner<br>Director of Cemeteries<br>13280 Chapman Ave<br>GARDEN GROVE CA 92840 | 7      | C. C.   |

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| SP-329-03 (MM1) | Southwest corner of Garden Grove Boulevard and Brookhurst Street, at 10130 Garden Grove Blvd and 13011 Brookhurst St | A request for minor modification to the approved plans under Site Plan No. SP-329-03, for an existing multi-tenant commercial shopping center, Garden Grove Festival Square, located at 10130 Garden Grove Boulevard and 13011 Brookhurst Street (Assessor's Parcel Nos. 098-070-63 and 65), to relocate an existing trash enclosure, and to modify the existing parking lot to stripe additional parking spaces.   | Joe Garcia<br>17220 Newhope St<br>Fountain Valley CA 92708   | 5      | C. C.   |
| SV-001-2019     | Southern portion of Brady Way, south of Stanford Avenue, at 12821 Knott St   | A request for the Planning Commission to find and report to the City Council, pursuant to Government Code Section 65402, that the proposed street vacation and disposition of the southern portion of Brady Way, south of Stanford Avenue, as depicted in Attachment "A", by the City of Garden Grove is in conformity with the General Plan.   | City of Garden Grove,  | 5      | C. C.   |
| V-028-2019      | South of Garden Grove Boulevard, east of Partridge Street, at 12750 Garden Grove Blvd                                | A request for Variance approval from Sections 9.20.040.A and 9.20.040.2.c of Title 9 of the Municipal Code to allow the construction of a second free-standing monument sign on a lot that is less than 5 acres, and to allow the monument sign to be located less than 25 feet from an adjacent property line, for an existing integrated professional office development located at 12750 Garden Grove Boulevard in the HCSP-OP (Harbor Corridor Specific Plan-Office Processional) zone. | Gerard Signs<br>2000 Placentia Avenue<br>COSTA MESA CA 92627 | 6      | M. M.   |

Status #'s  
 1 - Awaiting Planning Comm. Review  
 2 - Awaiting Zoning Admin Review  
 3 - Awaiting Director Review

4 - Awaiting City Council Approval  
 5 - Entitlements Granted  
 6 - In Plan Check

7 - Under Construction  
 8 - Finalized  
 9 - Project/Permit Complete

**City of Garden Grove**

**INTER-DEPARTMENT MEMORANDUM**

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR JULY 2019

From: Patricia Song  
Dept: Finance  
Date: January 24, 2020

Enclosed is the July 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of July 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$43,500,000         | 17.917%         |
| Fed Home Loan Banks     | \$57,250,000         | 23.580%         |
| Fed Farm Credit Banks   | \$46,500,000         | 19.153%         |
| Fed Nat Mort Assoc      | \$36,000,000         | 14.828%         |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.236%          |
| City LAIF               | \$46,828,490         | 19.288%         |
| Cash with Fiscal Agents | \$9,709,247          | 3.999%          |
| <b>Total</b>            | <b>\$242,787,737</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of July 2019, 3.999% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

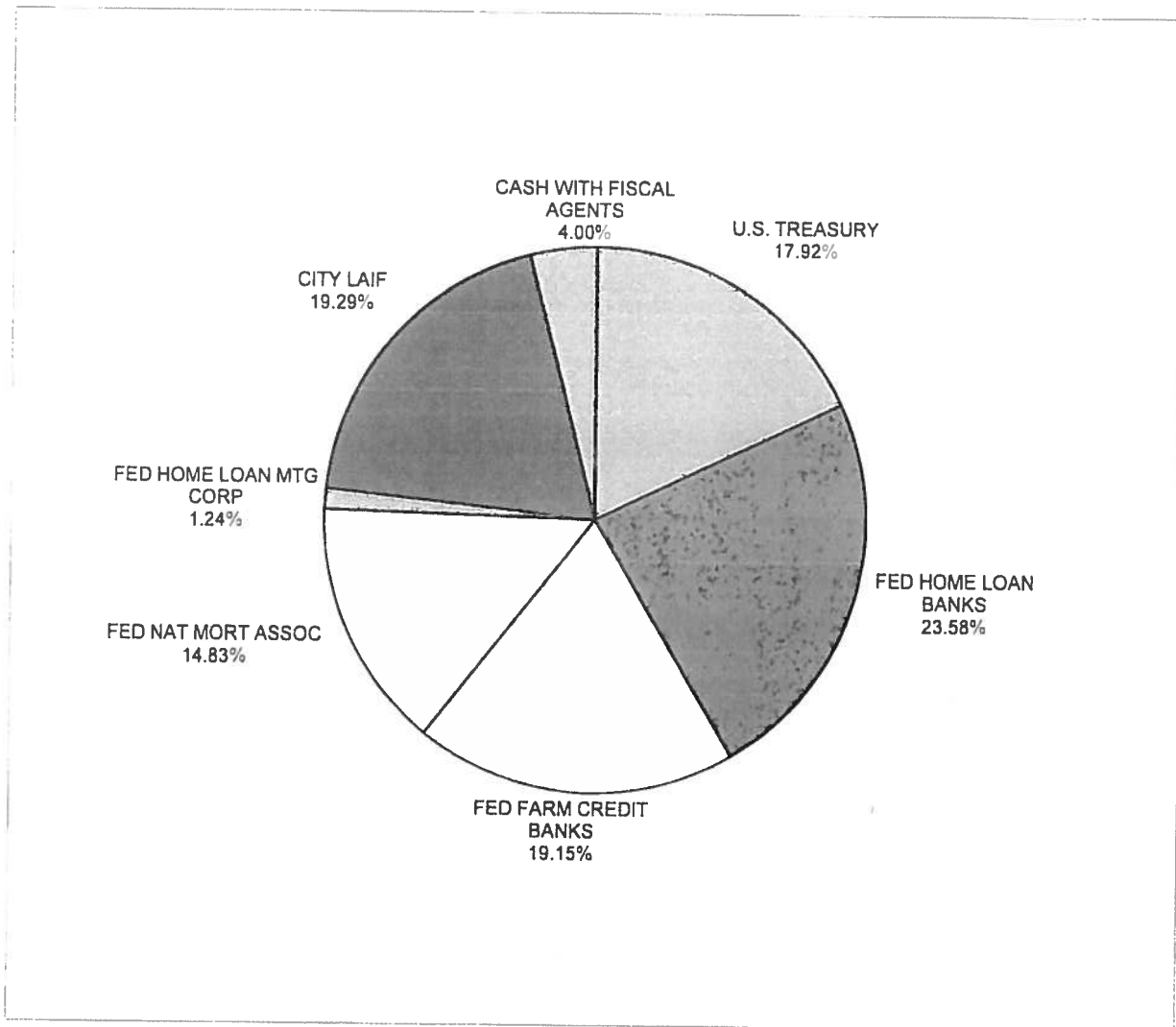
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY July 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> | <u>\$</u>             | <u>%</u>        |
|---|-----------------------|-----------------|
| U.S. TREASURY                               | \$ 43,500,000         | 17.917%         |
| FED HOME LOAN BANKS                         | \$ 57,250,000         | 23.580%         |
| FED FARM CREDIT BANKS                       | \$ 46,500,000         | 19.153%         |
| FED NAT MORT ASSOC                          | \$ 36,000,000         | 14.828%         |
| FED HOME LOAN MTG CORP                      | \$ 3,000,000          | 1.236%          |
| CITY LAIF                                   | \$ 46,828,490         | 19.288%         |
| CASH WITH FISCAL AGENTS                     | \$ 9,709,247          | 3.999%          |
| <b>TOTAL OF INVESTMENTS</b>                 | <b>\$ 242,787,737</b> | <b>100.000%</b> |



# WEIGHTED AVERAGE MATURITIES

July 2019

| Investment      | Yield (Rate) | UBOC Held | Amount    | Date of Maturity | No. Days to Mat. 7/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|--------------|-----------|-----------|------------------|--|---------------------------------------|-------------------------------|
|                 |              |           | (a)       |                  | (b)                                    | (a / total a = c)                     | (b x c)                       |
| <b>TREASURY</b> |              |           |           |                  |  |                                       |                               |
| U S TREASURY    | 1.250        | *         | 1,500,000 | 08/31/19         | 31                                     | 0.64356%                              | 0.200                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 03/31/20         | 244                                    | 1.28712%                              | 3.141                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 08/31/20         | 397                                    | 1.28712%                              | 5.110                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 10/31/20         | 458                                    | 1.28712%                              | 5.895                         |
| U S TREASURY    | 1.625        | *         | 3,000,000 | 11/30/20         | 488                                    | 1.28712%                              | 6.281                         |
| U S TREASURY    | 1.125        | *         | 3,000,000 | 02/28/21         | 578                                    | 1.28712%                              | 7.440                         |
| U S TREASURY    | 1.250        | *         | 3,000,000 | 03/31/21         | 609                                    | 1.28712%                              | 7.839                         |
| U S TREASURY    | 2.250        | *         | 3,000,000 | 03/31/21         | 609                                    | 1.28712%                              | 7.839                         |
| U S TREASURY    | 1.125        | *         | 3,000,000 | 06/30/21         | 700                                    | 1.28712%                              | 9.010                         |
| U S TREASURY    | 2.250        | *         | 3,000,000 | 07/31/21         | 731                                    | 1.28712%                              | 9.409                         |
| U S TREASURY    | 2.000        | *         | 3,000,000 | 08/31/21         | 762                                    | 1.28712%                              | 9.808                         |
| U S TREASURY    | 1.750        | *         | 3,000,000 | 03/31/22         | 974                                    | 1.28712%                              | 12.537                        |
| U S TREASURY    | 2.000        | *         | 3,000,000 | 10/31/21         | 823                                    | 1.28712%                              | 10.593                        |
| U S TREASURY    | 2.125        | *         | 3,000,000 | 06/30/22         | 1,065                                  | 1.28712%                              | 13.708                        |
| U S TREASURY    | 1.750        | *         | 3,000,000 | 07/31/22         | 1,096                                  | 1.28712%                              | 14.107                        |
| <b>CITY</b>     |              |           |           |                  |  |                                       |                               |
| FHLB            | 2.000        | *         | 3,000,000 | 09/13/19         | 44                                     | 1.28712%                              | 0.566                         |
| FHLB            | 1.750        | *         | 3,000,000 | 06/12/20         | 317                                    | 1.28712%                              | 4.080                         |
| FHLB            | 1.625        | *         | 3,000,000 | 07/27/20         | 362                                    | 1.28712%                              | 4.659                         |
| FHLB            | 2.625        | *         | 3,000,000 | 08/21/20         | 387                                    | 1.28712%                              | 4.981                         |
| FHLB            | 1.625        | *         | 3,000,000 | 09/11/20         | 408                                    | 1.28712%                              | 5.251                         |
| FHLB            | 2.875        | *         | 3,000,000 | 09/11/20         | 408                                    | 1.28712%                              | 5.251                         |
| FHLB            | 1.875        | *         | 3,000,000 | 06/11/21         | 681                                    | 1.28712%                              | 8.765                         |
| FHLB            | 2.375        | *         | 3,000,000 | 09/10/21         | 772                                    | 1.28712%                              | 9.937                         |
| FHLB            | 2.000        | *         | 3,250,000 | 11/10/21         | 833                                    | 1.39438%                              | 11.615                        |
| FHLB            | 1.875        | *         | 3,000,000 | 11/29/21         | 852                                    | 1.28712%                              | 10.966                        |
| FHLB            | 2.625        | *         | 3,000,000 | 03/11/22         | 954                                    | 1.28712%                              | 12.279                        |
| FHLB            | 2.000        | *         | 3,000,000 | 09/09/22         | 1,136                                  | 1.28712%                              | 14.622                        |
| FHLB            | 3.125        | *         | 3,000,000 | 09/09/22         | 1,136                                  | 1.28712%                              | 14.622                        |
| FHLB            | 2.650        | *         | 3,000,000 | 02/28/23         | 1,308                                  | 1.28712%                              | 16.836                        |
| FHLB            | 2.750        | *         | 3,000,000 | 03/10/23         | 1,318                                  | 1.28712%                              | 16.964                        |
| FHLB            | 2.125        | *         | 3,000,000 | 06/09/23         | 1,409                                  | 1.28712%                              | 18.136                        |
| FHLB            | 3.375        | *         | 3,000,000 | 09/08/23         | 1,500                                  | 1.28712%                              | 19.307                        |
| FHLB            | 3.375        | *         | 3,000,000 | 09/08/23         | 1,500                                  | 1.28712%                              | 19.307                        |
| FHLB            | 2.375        | *         | 3,000,000 | 03/08/24         | 1,682                                  | 1.28712%                              | 21.649                        |
| <b>FFCB</b>     |              |           |           |                  |  |                                       |                               |
| FFCB            | 2.060        | *         | 1,500,000 | 08/01/19         | 1                                      | 0.64356%                              | 0.006                         |
| FFCB            | 1.500        | *         | 3,000,000 | 08/05/19         | 5                                      | 1.28712%                              | 0.064                         |
| FFCB            | 1.520        | *         | 3,000,000 | 03/02/20         | 215                                    | 1.28712%                              | 2.767                         |
| FFCB            | 2.550        | *         | 3,000,000 | 06/11/20         | 316                                    | 1.28712%                              | 4.067                         |
| FFCB            | 2.350        | *         | 3,000,000 | 02/12/21         | 562                                    | 1.28712%                              | 7.234                         |
| FFCB            | 1.280        | *         | 3,000,000 | 09/29/21         | 791                                    | 1.28712%                              | 10.181                        |
| FFCB            | 2.200        | *         | 3,000,000 | 02/28/22         | 943                                    | 1.28712%                              | 12.138                        |
| FFCB            | 2.600        | *         | 3,000,000 | 03/21/22         | 964                                    | 1.28712%                              | 12.408                        |
| FFCB            | 2.840        | *         | 3,000,000 | 06/20/22         | 1,055                                  | 1.28712%                              | 13.579                        |
| FFCB            | 2.800        | *         | 3,000,000 | 07/18/22         | 1,083                                  | 1.28712%                              | 13.940                        |
| FFCB            | 2.570        | *         | 3,000,000 | 02/16/23         | 1,296                                  | 1.28712%                              | 16.681                        |
| FFCB            | 2.650        | *         | 3,000,000 | 03/08/23         | 1,316                                  | 1.28712%                              | 16.939                        |
| FFCB            | 2.890        | *         | 3,000,000 | 06/19/23         | 1,419                                  | 1.28712%                              | 18.264                        |
| FFCB            | 2.550        | *         | 3,000,000 | 08/02/23         | 1,463                                  | 1.28712%                              | 18.831                        |
| FFCB            | 2.300        | *         | 3,000,000 | 11/08/23         | 1,561                                  | 1.28712%                              | 20.092                        |
| FFCB            | 2.230        | *         | 3,000,000 | 02/23/24         | 1,668                                  | 1.28712%                              | 21.469                        |
| <b>FNMA</b>     |              |           |           |                  |  |                                       |                               |
| FNMA            | 1.500        | *         | 3,000,000 | 09/18/19         | 49                                     | 1.28712%                              | 0.631                         |
| FNMA            | 1.100        | *         | 3,000,000 | 10/17/19         | 78                                     | 1.28712%                              | 1.004                         |
| FNMA            | 1.320        | *         | 2,000,000 | 10/22/19         | 83                                     | 0.85808%                              | 0.712                         |
| FNMA            | 1.000        | *         | 1,000,000 | 10/24/19         | 85                                     | 0.42904%                              | 0.365                         |
| FNMA            | 1.750        | *         | 3,000,000 | 11/26/19         | 118                                    | 1.28712%                              | 1.519                         |
| FNMA            | 1.500        | *         | 3,000,000 | 02/28/20         | 212                                    | 1.28712%                              | 2.729                         |
| FNMA            | 1.500        | *         | 3,000,000 | 07/30/20         | 365                                    | 1.28712%                              | 4.698                         |
| FNMA            | 1.750        | *         | 3,000,000 | 10/26/20         | 453                                    | 1.28712%                              | 5.831                         |
| FNMA            | 1.500        | *         | 3,000,000 | 11/30/20         | 488                                    | 1.28712%                              | 6.281                         |

**WEIGHTED AVERAGE MATURITIES**  
**July 2019**

| Investment                        | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat, 7/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------------------------|--------------|-----------|--------------------|------------------|--|---------------------------------------|-------------------------------|
| FNMA                              | 1.520        | *         | 3,000,000          | 07/28/21         | 728                                    | 1.28712%                              | 9.370                         |
| FNMA                              | 1.000        | *         | 3,000,000          | 08/24/21         | 755                                    | 1.28712%                              | 9.718                         |
| FNMA                              | 1.375        | *         | 3,000,000          | 10/07/21         | 799                                    | 1.28712%                              | 10.284                        |
| FNMA                              | 2.000        | *         | 3,000,000          | 10/05/22         | 1,162                                  | 1.28712%                              | 14.956                        |
| FHLMC                             | 3.000        | *         | 3,000,000          | 08/17/22         | 1,113                                  | 1.28712%                              | 14.326                        |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |              |           |                    |                  |  |                                       |                               |
| LAIF                              | 0.500        |           | 46,828,490         | 07/31/19         | -                                      | 20.09130%                             | 0.000                         |
|                                   |              |           | 233,078,490        |                  | 47,718                                 | 100.00000%                            | 614                           |
| <b>RESTRICTED</b>                 |              |           |                    |                  |  |                                       | <b>Wtd. Avg. Maturity</b>     |
| 2008 Katella Cottages             |              |           | 177,934            | 07/31/19         | -                                      | 1.83263%                              | 0.000                         |
| 2008 UBOC                         |              |           | 1,180,844          | 07/31/19         | -                                      | 12.16206%                             | 0.000                         |
| 2010 Water                        |              |           | 701,265            | 07/31/19         | -                                      | 7.22265%                              | 0.000                         |
| 2014 TARB                         |              |           | 5,628,824          | 07/31/19         | -                                      | 57.97384%                             | 0.000                         |
| 2015 TARB                         |              |           | 109                | 07/31/19         | -                                      | 0.00112%                              | 0.000                         |
| 2015A COP's                       |              |           | 1,562,321          | 07/31/19         | -                                      | 16.09106%                             | 0.000                         |
| 2016 TAB                          |              |           | 457,289            | 07/31/19         | -                                      | 4.70983%                              | 0.000                         |
| 2017 SEWER REF                    |              |           | 662                | 07/31/19         | -                                      | 0.00682%                              | 0.000                         |
|                                   |              |           | 9,709,248          |                  | -                                      | 100.00000%                            | -                             |
| <b>Investment Total</b>           |              |           | <b>242,787,737</b> |                  |  |                                       |                               |

CASH AND INVESTMENT REPORT  
PERIOD ENDING JULY, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | PURCHASES<br>RATE % | DATE     | AMOUNT        | CURRENT MONTH<br>PURCHASES<br>DATE | AMOUNT     | DATE     | CURRENT MONTH<br>MATURITIES<br>AMOUNT | DATE | ENDING INVESTMENT<br>MATURITIES<br>AMOUNT | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE  | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|---------------------|----------|---------------|------------------------------------|------------|----------|---------------------------------------|------|---|--------------------------------|---------------|-----------------|---------------------------|
|   |                     |          |               |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| LAIF                                      | 0.500               |          | 57,463,749.74 |                                    | 364,739.76 | 07/31/19 | 11,000,000.00                         |      | 46,828,489.50                             | 364,739.76                     |               |                 | 07/12/19                  |
| UNITED STATES TREASURY                    |                     |          |               |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 0.875               | 01/05/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.250               | 02/28/18 | 1,500,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.375               | 11/05/15 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.375               | 02/29/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.375               | 05/12/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.625               | 12/15/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.250               | 01/25/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.250               | 01/05/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 2.250               | 02/20/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.125               | 03/30/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 2.250               | 12/15/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 2.000               | 02/16/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 2.000               | 12/01/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.750               | 09/21/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 2.125               | 07/07/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.750               | 10/06/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FEDERAL HOME LOAN BANKS                   |                     |          |               |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.000               | 05/07/15 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 1.750               | 06/29/15 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 1.625               | 07/27/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.825               | 09/12/18 | 3,000,000.00  |                                    |            |          |                                       |      |   | 24,375.00                      |               |                 |                           |
| FHLB                                      | 1.825               | 10/06/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.875               | 09/22/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 1.875               | 05/17/17 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.375               | 10/07/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.000               | 11/10/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 1.875               | 12/13/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.625               | 03/21/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.000               | 05/24/19 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 3.125               | 08/02/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.650               | 02/29/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.750               | 03/21/18 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.125               | 06/18/19 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 3.375               | 12/07/16 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 3.375               | 02/13/19 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
| FHLB                                      | 2.375               | 04/28/19 | 3,000,000.00  |                                    |            |          |                                       |      |   |                                |               |                 |                           |
|   |                     |          | 57,250,000.00 |                                    |            |          |                                       |      | 57,250,000.00                             | 24,375.00                      | 57,250,000.00 | 57,989,112.50   |                           |



CASH AND INVESTMENT REPORT  
 PERIOD ENDING JULY, 2019

| TYPE INVESTMENT<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |      | CURRENT MONTH MATURITIES |          | ENDING INVESTMENT MATURITIES |               | PERIOD INTEREST RECEIVED | PAR VALUE     | MARKET VALUE   | LAST INT REC'D DATE |
|--|--------------------------------|----------|-------------------------|------|--------------------------|----------|------------------------------|---------------|--------------------------|---------------|----------------|---------------------|
|  | RATE %                         | DATE     | AMOUNT                  | DATE | AMOUNT                   | DATE     | AMOUNT                       | DATE          |                          |               |                |                     |
| FEDERAL FARM CREDIT BANKS                |                                |          |                         |      |                          |          |                              |               |                          |               |                |                     |
| FFCB                                     | 1.50                           | 04/20/17 | 3,000,000.00            |      |                          |          |                              |               | 17,250.00                | 1,500,000.00  | 1,500,000.00   | 07/01/19            |
| FFCB                                     | 2.05                           | 07/28/15 | 1,500,000.00            |      |                          | 07/01/19 | 3,000,000.00                 | 08/01/19      |                          | 3,000,000.00  | 3,000,000.00   | 02/01/19            |
| FFCB                                     | 1.50                           | 08/11/15 | 3,000,000.00            |      |                          |          |                              | 08/05/19      |                          | 3,000,000.00  | 2,999,750.00   | 02/05/19            |
| FFCB                                     | 1.52                           | 03/02/17 | 3,000,000.00            |      |                          |          |                              | 03/02/20      |                          | 3,000,000.00  | 3,000,000.00   | 03/04/19            |
| FFCB                                     | 2.55                           | 06/20/18 | 3,000,000.00            |      |                          |          |                              | 06/11/20      |                          | 3,000,000.00  | 3,009,420.00   | 08/11/19            |
| FFCB                                     | 2.35                           | 03/01/18 | 3,000,000.00            |      |                          |          |                              | 02/12/21      |                          | 3,000,000.00  | 3,016,350.00   | 02/12/19            |
| FFCB                                     | 1.28                           | 10/06/16 | 3,000,000.00            |      |                          |          |                              | 09/29/21      |                          | 3,000,000.00  | 2,955,840.00   | 03/28/19            |
| FFCB                                     | 2.20                           | 06/05/19 | 3,000,000.00            |      |                          |          |                              | 02/28/22      |                          | 3,000,000.00  | 3,018,240.00   |                     |
| FFCB                                     | 2.60                           | 03/21/18 | 3,000,000.00            |      |                          |          |                              | 03/21/22      |                          | 3,000,000.00  | 3,048,470.00   | 03/21/19            |
| FFCB                                     | 2.84                           | 07/20/18 | 3,000,000.00            |      |                          |          |                              | 06/20/22      |                          | 3,000,000.00  | 3,074,700.00   | 08/20/19            |
| FFCB                                     | 2.80                           | 07/18/18 | 3,000,000.00            |      |                          |          |                              | 07/18/22      | 42,000.00                | 3,000,000.00  | 3,073,410.00   | 07/18/19            |
| FFCB                                     | 2.57                           | 02/16/18 | 3,000,000.00            |      |                          |          |                              | 02/16/23      |                          | 3,000,000.00  | 3,066,480.00   | 02/18/19            |
| FFCB                                     | 2.65                           | 03/08/18 | 3,000,000.00            |      |                          |          |                              | 03/08/23      |                          | 3,000,000.00  | 3,076,840.00   | 03/08/19            |
| FFCB                                     | 2.88                           | 09/12/18 | 3,000,000.00            |      |                          |          |                              | 06/19/23      |                          | 3,000,000.00  | 3,109,320.00   | 06/19/19            |
| FFCB                                     | 2.55                           | 04/10/19 | 3,000,000.00            |      |                          |          |                              | 08/02/23      |                          | 3,000,000.00  | 3,071,910.00   |                     |
| FFCB                                     | 2.30                           | 05/13/19 | 3,000,000.00            |      |                          |          |                              | 11/08/23      |                          | 3,000,000.00  | 3,045,480.00   |                     |
| FFCB                                     | 2.23                           | 05/23/19 | 3,000,000.00            |      |                          |          |                              | 02/23/24      |                          | 3,000,000.00  | 3,040,230.00   |                     |
| FED NAT MORT ASSOC                       |                                |          |                         |      |                          |          |                              |               |                          |               |                |                     |
| FNMA                                     |                                |          | 49,500,000.00           |      |                          |          | 3,000,000.00                 |               | 59,250.00                | 46,500,000.00 | 47,097,090.00  |                     |
| FNMA                                     | 1.50                           | 07/15/15 | 3,000,000.00            |      |                          |          |                              | 09/18/19      |                          | 3,000,000.00  | 2,997,570.00   | 03/18/19            |
| FNMA                                     | 1.80                           | 10/17/16 | 3,000,000.00            |      |                          |          |                              | 10/17/19      |                          | 3,000,000.00  | 2,992,680.00   | 04/17/19            |
| FNMA                                     | 1.32                           | 08/03/15 | 2,000,000.00            |      |                          |          |                              | 10/22/19      |                          | 2,000,000.00  | 1,996,140.00   | 04/22/19            |
| FNMA                                     | 1.00                           | 07/13/18 | 1,000,000.00            |      |                          |          |                              | 10/24/19      |                          | 1,000,000.00  | 897,320.00     | 04/24/19            |
| FNMA                                     | 1.75                           | 05/07/15 | 3,000,000.00            |      |                          |          |                              | 11/28/19      |                          | 3,000,000.00  | 2,995,860.00   | 05/28/19            |
| FNMA                                     | 1.50                           | 01/29/18 | 3,000,000.00            |      |                          |          |                              | 02/28/20      |                          | 3,000,000.00  | 2,989,290.00   | 02/28/19            |
| FNMA                                     | 1.50                           | 05/04/18 | 3,000,000.00            |      |                          |          |                              | 07/30/20      | 22,500.00                | 3,000,000.00  | 2,983,800.00   | 07/30/19            |
| FNMA                                     | 1.75                           | 10/26/17 | 3,000,000.00            |      |                          |          |                              | 10/26/20      |                          | 3,000,000.00  | 2,988,450.00   | 04/26/19            |
| FNMA                                     | 1.50                           | 12/23/15 | 3,000,000.00            |      |                          |          |                              | 11/30/20      |                          | 3,000,000.00  | 2,979,030.00   | 05/30/19            |
| FNMA                                     | 1.52                           | 07/28/16 | 3,000,000.00            |      |                          |          |                              | 08/24/21      | 22,800.00                | 3,000,000.00  | 2,976,450.00   | 07/29/19            |
| FNMA                                     | 1.00                           | 08/24/16 | 3,000,000.00            |      |                          |          |                              | 10/07/21      |                          | 3,000,000.00  | 2,987,150.00   | 02/25/19            |
| FNMA                                     | 1.375                          | 10/07/16 | 3,000,000.00            |      |                          |          |                              | 10/05/22      |                          | 3,000,000.00  | 2,984,630.00   | 04/08/19            |
| FNMA                                     | 2.00                           | 05/04/18 | 3,000,000.00            |      |                          |          |                              |               |                          | 3,000,000.00  | 3,009,270.00   | 04/05/19            |
| FEDERAL HOME LOAN MTG CORP               |                                |          |                         |      |                          |          |                              |               |                          |               |                |                     |
| FHLMC                                    |                                |          | 36,000,000.00           |      |                          |          |                              |               | 45,300.00                | 36,000,000.00 | 35,867,640.00  |                     |
| FHLMC                                    | 3.00                           | 08/17/18 | 3,000,000.00            |      |                          |          |                              | 09/17/22      |                          | 3,000,000.00  | 3,023,100.00   | 02/19/19            |
|  |                                |          | 3,000,000.00            |      |                          |          |                              |               |                          | 3,000,000.00  | 3,023,100.00   |                     |
| SUBTOTAL                                 |                                |          | 249,713,749.74          |      |                          |          | 364,739.76                   | 17,000,000.00 | 231,078,489.50           | 617,414.76    | 187,345,912.50 |                     |

CASH AND INVESTMENT REPORT  
PERIOD ENDING JULY, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES<br>RATE % | DATE | AMOUNT | CURRENT MONTH |      |        | ENDING INVESTMENT |      |        | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|---|------|--------|---------------|------|--------|-------------------|------|--------|--------------------------------|--------------|-----------------|---------------------------|
|   |   |      |        | PURCHASES     | DATE | AMOUNT | MATURITIES        | DATE | AMOUNT |                                |              |                 |                           |

CASH WITH FISCAL AGENTS

|   |  |          |                       |                   |                      |                       |          |                     |  |  |  |  |  |
|---|--|----------|-----------------------|-------------------|----------------------|-----------------------|----------|---------------------|--|--|--|--|--|
| <b>CITY RESTRICTED INVESTMENTS</b>      |  |          |                       |                   |                      |                       |          |                     |  |  |  |  |  |
| 2010 WATER                              |  | 07/31/19 | 701,264.56            | -                 | -                    | -                     | 07/31/19 | 701,264.56          |  |  |  |  |  |
| 2015-04 Refunding                       |  | 07/31/19 | 108.60                | -                 | -                    | -                     | 07/31/19 | 108.60              |  |  |  |  |  |
| 2015A-02 Refunding                      |  | 07/31/19 | 1,562,321.23          | -                 | -                    | -                     | 07/31/19 | 1,562,321.23        |  |  |  |  |  |
| 2017 SEWER REF                          |  | 07/31/19 | 662.36                | -                 | -                    | -                     | 07/31/19 | 662.36              |  |  |  |  |  |
|   |  |          | <b>2,264,356.75</b>   | -                 | -                    | -                     |          | <b>2,264,356.75</b> |  |  |  |  |  |
| <b>SUBTOTAL</b>                         |  |          |                       | -                 | -                    | -                     |          |                     |  |  |  |  |  |
| <b>SUCCESSOR RESTRICTED INVESTMENTS</b> |  |          |                       |                   |                      |                       |          |                     |  |  |  |  |  |
| 2008 Kalelia Colleges (Vankampen)       |  | 07/31/19 | 177,934.32            | -                 | -                    | -                     | 07/31/19 | 177,934.32          |  |  |  |  |  |
| UBOC                                    |  | 07/31/19 | 1,180,844.16          | -                 | -                    | -                     | 07/31/19 | 1,180,844.16        |  |  |  |  |  |
| 2014 TARB                               |  | 07/31/19 | 5,628,823.52          | -                 | -                    | -                     | 07/31/19 | 5,628,823.52        |  |  |  |  |  |
| 2016 TAB                                |  | 07/31/19 | 457,288.84            | -                 | -                    | -                     | 07/31/19 | 457,288.84          |  |  |  |  |  |
|   |  |          | <b>7,444,890.84</b>   | -                 | -                    | -                     |          | <b>7,444,890.84</b> |  |  |  |  |  |
| <b>SUBTOTAL</b>                         |  |          |                       | -                 | -                    | -                     |          |                     |  |  |  |  |  |
| <b>TOTAL INVESTMENTS</b>                |  |          | <b>259,422,897.33</b> | <b>364,739.76</b> | <b>17,000,000.00</b> | <b>242,787,737.09</b> |          |                     |  |  |  |  |  |

CASH AND INVESTMENT REPORT  
PERIOD ENDING JULY, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES | RATE % | DATE     | AMOUNT         | CURRENT MONTH |               | ENDING INVESTMENT |               | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE   | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|-----------------------------------|--------|----------|----------------|---------------|---------------|-------------------|---------------|--------------------------------|----------------|-----------------|---------------------------|
|   |                                   |        |          |                | DATE          | AMOUNT        | DATE              | AMOUNT        |                                |                |                 |                           |
| <b>CASH IN BANK</b>                       |                                   |        |          |                |               |               |                   |               |                                |                |                 |                           |
| UNION BANK OF CALIFORNIA                  |                                   |        |          |                |               |               |                   |               |                                |                |                 |                           |
| Charge Back Account                       |                                   |        | 07/31/19 | 20,530.51      |               |               |                   |               |                                |                |                 |                           |
| General Account                           |                                   |        | 07/31/19 | 14,945,187.20  |               |               |                   |               |                                |                |                 |                           |
| Home                                      |                                   |        | 07/31/19 |                |               |               |                   | 14,945,187.20 |                                |                |                 |                           |
| Housing Authority (Accounting)            |                                   |        | 07/31/19 |                |               |               |                   |               |                                |                |                 |                           |
| Housing Authority Escrow                  |                                   |        | 07/31/19 |                |               |               |                   |               |                                |                |                 |                           |
| Liability                                 |                                   |        | 07/31/19 | 246,074.35     |               |               |                   | 246,074.35    |                                |                |                 |                           |
| Workers Comp                              |                                   |        | 07/31/19 | 6,598.14       |               |               |                   | 6,598.14      |                                |                |                 |                           |
| Successor Agency                          |                                   |        | 07/31/19 | 772,465.42     |               |               |                   | 772,465.42    |                                |                |                 |                           |
|   |                                   |        | 07/31/19 | 560,450.67     |               |               |                   | 560,450.67    |                                |                |                 |                           |
| <b>SUBTOTAL</b>                           |                                   |        |          | 16,551,306.29  |               |               |                   | 16,551,306.29 |                                |                |                 |                           |
| <b>PETTY CASH ACCOUNTS</b>                |                                   |        |          |                |               |               |                   |               |                                |                |                 |                           |
| Change Fund                               |                                   |        | 07/31/19 | 3,680.00       |               |               |                   | 3,680.00      |                                |                |                 |                           |
| Finance                                   |                                   |        | 07/31/19 | 3,000.00       |               |               |                   | 3,000.00      |                                |                |                 |                           |
| Departments                               |                                   |        | 07/31/19 | 3,550.00       |               |               |                   | 3,550.00      |                                |                |                 |                           |
| Police Dept-SIU                           |                                   |        | 07/31/19 | 30,000.00      |               |               |                   | 30,000.00     |                                |                |                 |                           |
| Police Dept-Drug                          |                                   |        | 07/31/19 | 3,500.00       |               |               |                   | 3,500.00      |                                |                |                 |                           |
| Police Dept-Reg                           |                                   |        | 07/31/19 | 2,000.00       |               |               |                   | 2,000.00      |                                |                |                 |                           |
| <b>SUBTOTAL</b>                           |                                   |        |          | 43,930.00      |               |               |                   | 43,930.00     |                                |                |                 |                           |
| <b>GRAND TOTAL CASH &amp; INVESTMENTS</b> |                                   |        |          | 276,018,233.62 | 364,739.76    | 17,000,000.00 | 259,382,973.38    | 617,414.76    | 186,250,000.00                 | 187,345,932.50 |                 |                           |

**City of Garden Grove**

**INTER-DEPARTMENT MEMORANDUM**

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR AUGUST 2019

From: Patricia Song  
Dept: Finance  
Date: January 24, 2020

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Enclosed is the August 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of August 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$43,500,000         | 17.649%         |
| Fed Home Loan Banks     | \$60,250,000         | 24.445%         |
| Fed Farm Credit Banks   | \$45,000,000         | 18.258%         |
| Fed Nat Mort Assoc      | \$33,000,000         | 13.389%         |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.217%          |
| City LAIF               | \$51,828,490         | 21.028%         |
| Cash with Fiscal Agents | \$9,889,902          | 4.013%          |
| <b>Total</b>            | <b>\$246,468,392</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of August 2019, 4.013% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

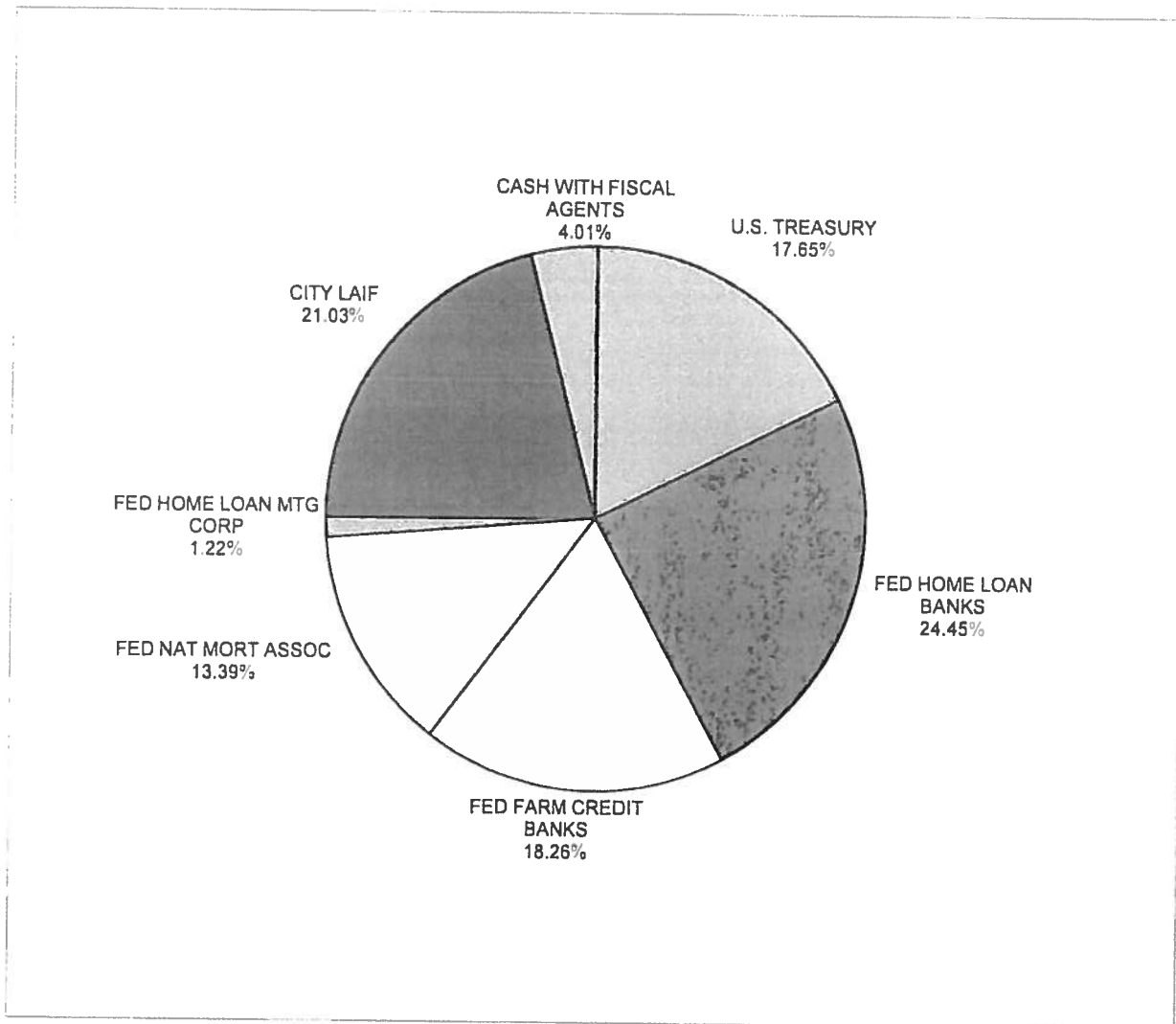
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY August 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> | <u>\$</u>             | <u>%</u>        |
|---|-----------------------|-----------------|
| U.S. TREASURY                               | \$ 43,500,000         | 17.649%         |
| FED HOME LOAN BANKS                         | \$ 60,250,000         | 24.445%         |
| FED FARM CREDIT BANKS                       | \$ 45,000,000         | 18.258%         |
| FED NAT MORT ASSOC                          | \$ 33,000,000         | 13.389%         |
| FED HOME LOAN MTG CORP                      | \$ 3,000,000          | 1.217%          |
| CITY LAIF                                   | \$ 51,828,490         | 21.028%         |
| CASH WITH FISCAL AGENTS                     | \$ 9,889,902          | 4.013%          |
| <b>TOTAL OF INVESTMENTS</b>                 | <b>\$ 246,468,392</b> | <b>100.000%</b> |



**WEIGHTED AVERAGE MATURITIES**  
**August 2019**

| Investment      | Yield (Rate) | UBOC Held | Amount    | Date of Maturity | No. Days to Mat. 8/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|--------------|-----------|-----------|------------------|--|---------------------------------------|-------------------------------|
|                 |              |           | (a)       |                  | (b)                                    | (a / total a = c)                     | (b x c)                       |
| <b>TREASURY</b> |              |           |           |                  |  |                                       |                               |
| U S TREASURY    | 1.250        | *         | 1,500,000 | 08/31/19         | -                                      | 0.63404%                              | 0.000                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 03/31/20         | 213                                    | 1.26808%                              | 2.701                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 08/31/20         | 366                                    | 1.26808%                              | 4.641                         |
| U S TREASURY    | 1.375        | *         | 3,000,000 | 10/31/20         | 427                                    | 1.26808%                              | 5.415                         |
| U S TREASURY    | 1.625        | *         | 3,000,000 | 11/30/20         | 457                                    | 1.26808%                              | 5.795                         |
| U S TREASURY    | 1.125        | *         | 3,000,000 | 02/28/21         | 547                                    | 1.26808%                              | 6.936                         |
| U S TREASURY    | 1.250        | *         | 3,000,000 | 03/31/21         | 578                                    | 1.26808%                              | 7.329                         |
| U S TREASURY    | 2.250        | *         | 3,000,000 | 03/31/21         | 578                                    | 1.26808%                              | 7.329                         |
| U S TREASURY    | 1.125        | *         | 3,000,000 | 06/30/21         | 669                                    | 1.26808%                              | 8.483                         |
| U S TREASURY    | 2.250        | *         | 3,000,000 | 07/31/21         | 700                                    | 1.26808%                              | 8.877                         |
| U S TREASURY    | 2.000        | *         | 3,000,000 | 08/31/21         | 731                                    | 1.26808%                              | 9.270                         |
| U S TREASURY    | 1.750        | *         | 3,000,000 | 03/31/22         | 943                                    | 1.26808%                              | 11.958                        |
| U S TREASURY    | 2.000        | *         | 3,000,000 | 10/31/21         | 792                                    | 1.26808%                              | 10.043                        |
| U S TREASURY    | 2.125        | *         | 3,000,000 | 06/30/22         | 1,034                                  | 1.26808%                              | 13.112                        |
| U S TREASURY    | 1.750        | *         | 3,000,000 | 07/31/22         | 1,065                                  | 1.26808%                              | 13.505                        |
| <b>CITY</b>     |              |           |           |                  |  |                                       |                               |
| FHLB            | 2.000        | *         | 3,000,000 | 09/13/19         | 13                                     | 1.26808%                              | 0.165                         |
| FHLB            | 1.750        | *         | 3,000,000 | 06/12/20         | 286                                    | 1.26808%                              | 3.627                         |
| FHLB            | 1.625        | *         | 3,000,000 | 07/27/20         | 331                                    | 1.26808%                              | 4.197                         |
| FHLB            | 2.625        | *         | 3,000,000 | 08/21/20         | 356                                    | 1.26808%                              | 4.514                         |
| FHLB            | 1.625        | *         | 3,000,000 | 09/11/20         | 377                                    | 1.26808%                              | 4.781                         |
| FHLB            | 2.875        | *         | 3,000,000 | 09/11/20         | 377                                    | 1.26808%                              | 4.781                         |
| FHLB            | 1.875        | *         | 3,000,000 | 06/11/21         | 650                                    | 1.26808%                              | 8.243                         |
| FHLB            | 2.375        | *         | 3,000,000 | 09/10/21         | 741                                    | 1.26808%                              | 9.396                         |
| FHLB            | 2.000        | *         | 3,250,000 | 11/10/21         | 802                                    | 1.37375%                              | 11.017                        |
| FHLB            | 1.875        | *         | 3,000,000 | 11/29/21         | 821                                    | 1.26808%                              | 10.411                        |
| FHLB            | 2.625        | *         | 3,000,000 | 03/11/22         | 923                                    | 1.26808%                              | 11.704                        |
| FHLB            | 2.000        | *         | 3,000,000 | 09/09/22         | 1,105                                  | 1.26808%                              | 14.012                        |
| FHLB            | 3.125        | *         | 3,000,000 | 09/09/22         | 1,105                                  | 1.26808%                              | 14.012                        |
| FHLB            | 2.650        | *         | 3,000,000 | 02/28/23         | 1,277                                  | 1.26808%                              | 16.193                        |
| FHLB            | 2.750        | *         | 3,000,000 | 03/10/23         | 1,287                                  | 1.26808%                              | 16.320                        |
| FHLB            | 2.125        | *         | 3,000,000 | 06/09/23         | 1,378                                  | 1.26808%                              | 17.474                        |
| FHLB            | 3.375        | *         | 3,000,000 | 09/08/23         | 1,469                                  | 1.26808%                              | 18.628                        |
| FHLB            | 3.375        | *         | 3,000,000 | 09/08/23         | 1,469                                  | 1.26808%                              | 18.628                        |
| FHLB            | 1.875        | *         | 3,000,000 | 03/08/24         | 1,651                                  | 1.26808%                              | 20.936                        |
| FHLB            | 2.375        | *         | 3,000,000 | 03/08/24         | 1,651                                  | 1.26808%                              | 20.936                        |
| FFCB            | 1.520        | *         | 3,000,000 | 03/02/20         | 184                                    | 1.26808%                              | 2.333                         |
| FFCB            | 2.550        | *         | 3,000,000 | 06/11/20         | 285                                    | 1.26808%                              | 3.614                         |
| FFCB            | 2.350        | *         | 3,000,000 | 02/12/21         | 531                                    | 1.26808%                              | 6.733                         |
| FFCB            | 1.280        | *         | 3,000,000 | 09/29/21         | 760                                    | 1.26808%                              | 9.637                         |
| FFCB            | 2.200        | *         | 3,000,000 | 02/28/22         | 912                                    | 1.26808%                              | 11.565                        |
| FFCB            | 2.600        | *         | 3,000,000 | 03/21/22         | 933                                    | 1.26808%                              | 11.831                        |
| FFCB            | 2.840        | *         | 3,000,000 | 06/20/22         | 1,024                                  | 1.26808%                              | 12.985                        |
| FFCB            | 2.800        | *         | 3,000,000 | 07/18/22         | 1,052                                  | 1.26808%                              | 13.340                        |
| FFCB            | 1.820        | *         | 3,000,000 | 08/19/22         | 1,084                                  | 1.26808%                              | 13.746                        |
| FFCB            | 2.570        | *         | 3,000,000 | 02/16/23         | 1,265                                  | 1.26808%                              | 16.041                        |
| FFCB            | 2.650        | *         | 3,000,000 | 03/08/23         | 1,285                                  | 1.26808%                              | 16.295                        |
| FFCB            | 2.890        | *         | 3,000,000 | 06/19/23         | 1,388                                  | 1.26808%                              | 17.601                        |
| FFCB            | 2.550        | *         | 3,000,000 | 08/02/23         | 1,432                                  | 1.26808%                              | 18.159                        |
| FFCB            | 2.300        | *         | 3,000,000 | 11/08/23         | 1,530                                  | 1.26808%                              | 19.402                        |
| FFCB            | 2.230        | *         | 3,000,000 | 02/23/24         | 1,637                                  | 1.26808%                              | 20.758                        |
| FNMA            | 1.500        | *         | 3,000,000 | 09/18/19         | 18                                     | 1.26808%                              | 0.228                         |
| FNMA            | 1.100        | *         | 3,000,000 | 10/17/19         | 47                                     | 1.26808%                              | 0.596                         |
| FNMA            | 1.320        | *         | 2,000,000 | 10/22/19         | 52                                     | 0.84539%                              | 0.440                         |
| FNMA            | 1.000        | *         | 1,000,000 | 10/24/19         | 54                                     | 0.42269%                              | 0.228                         |
| FNMA            | 1.750        | *         | 3,000,000 | 11/26/19         | 87                                     | 1.26808%                              | 1.103                         |
| FNMA            | 1.500        | *         | 3,000,000 | 02/28/20         | 181                                    | 1.26808%                              | 2.295                         |
| FNMA            | 1.500        | *         | 3,000,000 | 07/30/20         | 334                                    | 1.26808%                              | 4.235                         |
| FNMA            | 1.750        | *         | 3,000,000 | 10/26/20         | 422                                    | 1.26808%                              | 5.351                         |
| FNMA            | 1.500        | *         | 3,000,000 | 11/30/20         | 457                                    | 1.26808%                              | 5.795                         |

**WEIGHTED AVERAGE MATURITIES**  
**August 2019**

| Investment                        | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat.<br>8/31/2019<br>x<br>(b) | Weighted Average<br><br>(a / total a = c) | Weighted # of Days<br><br>(b x c) |
|-----------------------------------|--------------|-----------|--------------------|------------------|---|---|-----------------------------------|
| FNMA                              | 1.520        | *         | 3,000,000          | 07/28/21         | 697                                       | 1.26808%                                  | 8.839                             |
| FNMA                              | 1.375        | *         | 3,000,000          | 10/07/21         | 768                                       | 1.26808%                                  | 9.739                             |
| FNMA                              | 2.000        | *         | 3,000,000          | 10/05/22         | 1,131                                     | 1.26808%                                  | 14.342                            |
| FHLMC                             | 3.000        | *         | 3,000,000          | 08/17/22         | 1,082                                     | 1.26808%                                  | 13.721                            |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |              |           |                    |                  |   |   |                                   |
| LAIF                              | 0.500        |           | 51,828,490         | 08/31/19         | -   | 21.90752%                                 | 0.000                             |
|                                   |              |           | 236,578,490        |                  | 47,801                                    | 100.00000%                                | <b>606</b>                        |
| <b>RESTRICTED</b>                 |              |           |                    |                  |   |   | <b>Wtd. Avg. Maturity</b>         |
| 2008 Katella Cottages             |              |           | 178,205            | 08/31/19         | -   | 1.80189%                                  | 0.000                             |
| 2008 UBOC                         |              |           | 839,247            | 08/31/19         | -   | 8.48590%                                  | 0.000                             |
| 2010 Water                        |              |           | 701,413            | 08/31/19         | -   | 7.09222%                                  | 0.000                             |
| 2014 TARB                         |              |           | 5,630,019          | 08/31/19         | -   | 56.92694%                                 | 0.000                             |
| 2015 TARB                         |              |           | 109                | 08/31/19         | -   | 0.00110%                                  | 0.000                             |
| 2015A COP's                       |              |           | 2,082,861          | 08/31/19         | -   | 21.06048%                                 | 0.000                             |
| 2016 TAB                          |              |           | 457,386            | 08/31/19         | -   | 4.62478%                                  | 0.000                             |
| 2017 SEWER REF                    |              |           | 663                | 08/31/19         | -   | 0.00671%                                  | 0.000                             |
|                                   |              |           | 9,889,903          |                  | -   | 100.00000%                                | -                                 |
| <b>Investment Total</b>           |              |           | <b>246,468,392</b> |                  |   |   |                                   |

CASH AND INVESTMENT REPORT  
PERIOD ENDING AUGUST, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |          | CURRENT MONTH MATURITIES |      | ENDING INVESTMENT MATURITIES |          | PERIOD INTEREST RECEIVED | PAR VALUE     | MARKET VALUE  | LAST INT RECD DATE |
|---|--------------------------------|----------|-------------------------|----------|--------------------------|------|------------------------------|----------|--------------------------|---------------|---------------|--------------------|
|   | RATE %                         | DATE     | AMOUNT                  | DATE     | AMOUNT                   | DATE | AMOUNT                       | DATE     |                          |               |               |                    |
| LAIF                                      | 0.500                          |          | 46,828,489.50           |          | 5,000,000.00             |      | 51,828,489.50                |          |                          |               |               | 07/12/19           |
|   |                                |          | 46,828,489.50           |          | 5,000,000.00             |      | 51,828,489.50                |          |                          |               |               |                    |
| UNITED STATES TREASURY                    |                                |          |                         |          |                          |      |                              |          |                          |               |               |                    |
| U.S. TREASURY                             | 1.250                          | 02/29/18 | 1,500,000.00            |          |                          |      | 1,500,000.00                 | 08/31/19 |                          | 1,500,000.00  | 1,500,000.00  | 02/28/19           |
| U.S. TREASURY                             | 1.375                          | 11/05/15 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 03/31/20 |                          | 3,000,000.00  | 2,991,090.00  | 04/01/19           |
| U.S. TREASURY                             | 1.375                          | 03/29/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 08/31/20 |                          | 3,000,000.00  | 2,987,820.00  | 02/28/19           |
| U.S. TREASURY                             | 1.375                          | 05/12/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 10/31/20 |                          | 3,000,000.00  | 2,987,820.00  | 04/30/19           |
| U.S. TREASURY                             | 1.625                          | 12/15/16 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 11/30/20 |                          | 3,000,000.00  | 2,997,060.00  | 05/31/19           |
| U.S. TREASURY                             | 1.125                          | 01/25/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 02/28/21 |                          | 3,000,000.00  | 2,977,280.00  | 02/28/19           |
| U.S. TREASURY                             | 1.250                          | 01/05/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 03/31/21 |                          | 3,000,000.00  | 2,982,780.00  | 04/01/19           |
| U.S. TREASURY                             | 2.250                          | 03/30/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 06/30/21 |                          | 3,000,000.00  | 3,029,190.00  | 04/01/19           |
| U.S. TREASURY                             | 2.250                          | 12/15/16 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 07/31/21 |                          | 3,000,000.00  | 2,976,690.00  | 07/01/19           |
| U.S. TREASURY                             | 2.000                          | 02/16/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 08/31/21 |                          | 3,000,000.00  | 3,039,480.00  | 02/28/19           |
| U.S. TREASURY                             | 2.000                          | 12/01/16 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 10/31/21 |                          | 3,000,000.00  | 3,031,050.00  | 04/01/19           |
| U.S. TREASURY                             | 1.750                          | 09/21/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 03/31/22 |                          | 3,000,000.00  | 3,022,740.00  | 04/01/19           |
| U.S. TREASURY                             | 2.125                          | 07/07/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 06/30/22 |                          | 3,000,000.00  | 3,057,900.00  | 07/01/19           |
| U.S. TREASURY                             | 1.750                          | 10/06/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 07/31/22 |                          | 3,000,000.00  | 3,036,810.00  | 07/31/19           |
|   |                                |          | 43,500,000.00           |          |                          |      | 43,500,000.00                |          |                          | 43,500,000.00 | 43,644,510.00 |                    |
| FEDERAL HOME LOAN BANKS                   |                                |          |                         |          |                          |      |                              |          |                          |               |               |                    |
| FHLB                                      | 2.000                          | 05/07/15 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/13/19 |                          | 3,000,000.00  | 2,998,760.00  | 03/13/19           |
| FHLB                                      | 1.750                          | 06/30/15 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 06/12/20 |                          | 3,000,000.00  | 2,998,350.00  | 08/12/19           |
| FHLB                                      | 1.625                          | 07/27/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 07/27/20 |                          | 3,000,000.00  | 2,993,310.00  | 07/29/19           |
| FHLB                                      | 2.625                          | 09/12/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 08/21/20 |                          | 3,000,000.00  | 3,026,450.00  | 08/21/19           |
| FHLB                                      | 1.625                          | 10/06/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/11/20 | 39,375.00                | 3,000,000.00  | 2,994,720.00  | 08/21/19           |
| FHLB                                      | 2.875                          | 09/22/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 08/11/20 |                          | 3,000,000.00  | 3,033,960.00  | 03/11/19           |
| FHLB                                      | 1.875                          | 05/17/17 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 06/11/21 |                          | 3,000,000.00  | 3,015,510.00  | 06/11/19           |
| FHLB                                      | 2.375                          | 10/07/16 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/10/21 |                          | 3,000,000.00  | 3,046,680.00  | 03/11/19           |
| FHLB                                      | 2.000                          | 11/10/16 | 3,250,000.00            |          |                          |      | 3,250,000.00                 | 11/10/21 |                          | 3,250,000.00  | 3,281,297.50  | 05/10/19           |
| FHLB                                      | 1.875                          | 12/13/16 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 11/29/21 |                          | 3,000,000.00  | 3,022,950.00  | 05/29/18           |
| FHLB                                      | 2.625                          | 03/21/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 03/11/22 |                          | 3,000,000.00  | 3,081,480.00  | 03/11/19           |
| FHLB                                      | 2.000                          | 05/24/19 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/09/22 |                          | 3,000,000.00  | 3,042,150.00  | 03/11/19           |
| FHLB                                      | 3.125                          | 08/02/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 02/28/23 |                          | 3,000,000.00  | 3,141,000.00  | 03/11/19           |
| FHLB                                      | 2.650                          | 02/28/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 03/10/23 | 39,750.00                | 3,000,000.00  | 3,014,550.00  | 08/28/18           |
| FHLB                                      | 2.750                          | 03/21/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 08/09/23 |                          | 3,000,000.00  | 3,128,640.00  | 03/11/19           |
| FHLB                                      | 2.125                          | 05/10/19 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 06/08/23 |                          | 3,000,000.00  | 3,070,800.00  | 03/11/19           |
| FHLB                                      | 3.375                          | 12/07/18 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/08/23 |                          | 3,000,000.00  | 6,442,920.00  | 03/08/19           |
| FHLB                                      | 3.375                          | 02/13/19 | 3,000,000.00            |          |                          |      | 3,000,000.00                 | 09/08/23 |                          | 3,000,000.00  | 3,062,370.00  |                    |
| FHLB                                      | 1.875                          | 04/26/19 | 3,000,000.00            | 08/21/19 | 3,000,000.00             |      | 3,000,000.00                 | 03/08/24 |                          | 3,000,000.00  | 3,120,960.00  |                    |
| FHLB                                      | 2.375                          | 04/26/19 | 3,000,000.00            |          | 3,000,000.00             |      | 3,000,000.00                 | 03/08/24 |                          | 3,000,000.00  | 61,517,887.50 |                    |
|   |                                |          | 57,250,000.00           |          | 3,000,000.00             |      | 60,250,000.00                |          | 79,125.00                | 60,250,000.00 | 61,517,887.50 |                    |



CASH AND INVESTMENT REPORT  
PERIOD ENDING AUGUST, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |          | CURRENT MONTH<br>PURCHASES |          | CURRENT MONTH<br>MATURITIES |          | ENDING INVESTMENT<br>MATURITIES |          | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE   | MARKET<br>VALUE | LAST<br>INT RECD<br>DATE |
|---|-----------------------------------|----------|----------------------------|----------|-----------------------------|----------|---------------------------------|----------|--------------------------------|----------------|-----------------|--------------------------|
|   | RATE %                            | DATE     | AMOUNT                     | DATE     | AMOUNT                      | DATE     | AMOUNT                          | DATE     |                                |                |                 |                          |
| <b>FEDERAL FARM CREDIT BANKS</b>          |                                   |          |                            |          |                             |          |                                 |          |                                |                |                 |                          |
| FFCB                                      | 2.060                             | 07/28/15 | 1,500,000.00               | 08/01/19 | 1,500,000.00                | 08/01/19 | 1,500,000.00                    | 08/01/19 | 15,450.00                      | 3,000,000.00   | 2,995,110.00    | 08/05/19                 |
| FFCB                                      | 1.500                             | 08/11/15 | 3,000,000.00               | 08/05/19 | 3,000,000.00                | 08/05/19 | 3,000,000.00                    | 08/05/19 | 22,500.00                      | 3,000,000.00   | 3,013,170.00    | 03/04/19                 |
| FFCB                                      | 1.520                             | 03/02/17 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 03/02/20 |                                | 3,000,000.00   | 3,031,260.00    | 06/11/19                 |
| FFCB                                      | 2.550                             | 06/20/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 02/11/21 | 35,250.00                      | 3,000,000.00   | 2,979,930.00    | 08/12/19                 |
| FFCB                                      | 2.350                             | 03/01/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 09/29/21 |                                | 3,000,000.00   | 3,049,050.00    | 03/29/19                 |
| FFCB                                      | 1.280                             | 10/06/16 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 02/28/22 | 16,500.00                      | 3,000,000.00   | 3,078,510.00    | 06/28/19                 |
| FFCB                                      | 2.260                             | 06/05/19 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 03/21/22 |                                | 3,000,000.00   | 3,108,510.00    | 03/21/19                 |
| FFCB                                      | 2.600                             | 03/21/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 06/20/22 |                                | 3,000,000.00   | 3,105,870.00    | 06/20/19                 |
| FFCB                                      | 2.840                             | 07/20/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 07/18/22 |                                | 3,000,000.00   | 2,996,460.00    | 07/18/19                 |
| FFCB                                      | 1.820                             | 07/18/18 | 3,000,000.00               | 08/27/19 | 3,000,000.00                | 08/19/22 | 3,000,000.00                    | 08/19/22 | 38,550.00                      | 3,000,000.00   | 3,110,640.00    | 08/18/19                 |
| FFCB                                      | 2.570                             | 02/16/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 02/16/23 |                                | 3,000,000.00   | 3,120,690.00    | 03/08/19                 |
| FFCB                                      | 2.650                             | 03/08/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 03/08/23 |                                | 3,000,000.00   | 3,157,740.00    | 06/19/19                 |
| FFCB                                      | 2.890                             | 09/12/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 06/19/23 | 38,250.00                      | 3,000,000.00   | 3,122,070.00    | 08/02/19                 |
| FFCB                                      | 2.550                             | 04/10/19 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 08/02/23 |                                | 3,000,000.00   | 3,102,630.00    | 08/02/19                 |
| FFCB                                      | 2.300                             | 05/13/19 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 11/06/23 | 16,725.00                      | 3,000,000.00   | 3,097,950.00    | 08/23/19                 |
| FFCB                                      | 2.230                             | 05/23/19 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 02/23/24 |                                | 3,000,000.00   | 46,069,590.00   |                          |
|   |                                   |          | 46,500,000.00              |          | 3,000,000.00                |          | 45,000,000.00                   |          | 183,225.00                     | 45,000,000.00  |                 |                          |
| <b>FED NAT MORT ASSOC</b>                 |                                   |          |                            |          |                             |          |                                 |          |                                |                |                 |                          |
| FNMA                                      | 1.500                             | 07/15/15 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 09/18/19 |                                | 3,000,000.00   | 2,999,310.00    | 03/18/19                 |
| FNMA                                      | 1.100                             | 10/17/16 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 10/17/19 |                                | 3,000,000.00   | 2,996,370.00    | 04/17/19                 |
| FNMA                                      | 1.320                             | 06/03/15 | 2,000,000.00               |          |                             |          | 2,000,000.00                    | 10/22/19 |                                | 2,000,000.00   | 1,997,960.00    | 04/22/19                 |
| FNMA                                      | 1.000                             | 07/13/18 | 1,000,000.00               |          |                             |          | 1,000,000.00                    | 10/24/19 |                                | 1,000,000.00   | 998,530.00      | 04/24/19                 |
| FNMA                                      | 1.750                             | 05/07/15 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 11/26/19 |                                | 3,000,000.00   | 2,997,930.00    | 05/20/19                 |
| FNMA                                      | 1.500                             | 01/29/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 02/28/20 | 22,500.00                      | 3,000,000.00   | 2,993,670.00    | 08/28/19                 |
| FNMA                                      | 1.500                             | 05/04/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 07/30/20 |                                | 3,000,000.00   | 2,991,990.00    | 07/30/19                 |
| FNMA                                      | 1.750                             | 10/26/17 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 10/26/20 |                                | 3,000,000.00   | 3,001,080.00    | 04/26/19                 |
| FNMA                                      | 1.500                             | 12/23/15 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 11/30/20 |                                | 3,000,000.00   | 2,994,050.00    | 05/30/19                 |
| FNMA                                      | 1.520                             | 07/28/16 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 07/28/21 |                                | 3,000,000.00   | 2,989,220.00    | 07/28/19                 |
| FNMA                                      | 1.000                             | 08/24/16 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 08/24/21 | 30,000.00                      | 3,000,000.00   | 2,989,020.00    | 04/08/19                 |
| FNMA                                      | 1.375                             | 10/07/16 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 10/07/21 |                                | 3,000,000.00   | 3,047,870.00    | 04/08/19                 |
| FNMA                                      | 2.000                             | 05/04/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 10/05/22 |                                | 3,000,000.00   | 33,006,810.00   |                          |
|   |                                   |          | 36,000,000.00              |          | 3,000,000.00                |          | 33,000,000.00                   |          | 52,500.00                      | 33,000,000.00  |                 |                          |
| <b>FEDERAL HOME LOAN MTG CORP</b>         |                                   |          |                            |          |                             |          |                                 |          |                                |                |                 |                          |
| FFHEC                                     | 3.000                             | 08/17/18 | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 08/17/22 | 45,000.00                      | 3,000,000.00   | 3,032,850.00    | 08/19/19                 |
|   |                                   |          | 3,000,000.00               |          |                             |          | 3,000,000.00                    |          | 45,000.00                      | 3,000,000.00   |                 |                          |
|   |                                   |          | 3,000,000.00               |          |                             |          | 3,000,000.00                    |          | 45,000.00                      | 3,000,000.00   |                 |                          |
| <b>SUBTOTAL</b>                           |                                   |          | 11,000,000.00              |          | 7,500,000.00                |          | 236,578,489.50                  |          | 359,850.00                     | 184,750,000.00 | 187,271,627.50  |                          |

CASH AND INVESTMENT REPORT  
PERIOD ENDING AUGUST, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |      | CURRENT MONTH PURCHASES |      | CURRENT MONTH MATURITIES |      | ENDING INVESTMENT MATURITIES |      | PERIOD INTEREST RECEIVED | PAR VALUE | MARKET VALUE | LAST INT RECD DATE |
|---|--------------------------------|------|-------------------------|------|--------------------------|------|------------------------------|------|--------------------------|-----------|--------------|--------------------|
|   | RATE %                         | DATE | AMOUNT                  | DATE | AMOUNT                   | DATE | AMOUNT                       | DATE |                          |           |              |                    |

CASH WITH FISCAL AGENTS

| CITY RESTRICTED INVESTMENTS        |  |  |                      |  |  |  |          |                       |  |  |  |  |
|------------------------------------|--|--|----------------------|--|--|--|----------|-----------------------|--|--|--|--|
| 2010 WATER                         |  |  |                      |  |  |  |          |                       |  |  |  |  |
| 2015-04 Refunding                  |  |  | 701,413.45           |  |  |  | 08/31/19 | 701,413.45            |  |  |  |  |
| 2015A-02 Refunding                 |  |  | 108.62               |  |  |  | 08/31/19 | 108.62                |  |  |  |  |
| 2017 SEWER REF                     |  |  | 2,082,860.55         |  |  |  | 08/31/19 | 2,082,860.55          |  |  |  |  |
|                                    |  |  | 663.44               |  |  |  | 08/31/19 | 663.44                |  |  |  |  |
| <b>SUBTOTAL</b>                    |  |  | <b>2,785,046.06</b>  |  |  |  |          | <b>2,785,046.06</b>   |  |  |  |  |
| SUCCESSOR RESTRICTED INVESTMENTS   |  |  |                      |  |  |  |          |                       |  |  |  |  |
| 2008 Kaitella Cottages (VanKampen) |  |  |                      |  |  |  |          |                       |  |  |  |  |
| UBDC                               |  |  | 178,204.91           |  |  |  | 08/31/19 | 178,204.91            |  |  |  |  |
| 2014 TARB                          |  |  | 839,247.25           |  |  |  | 08/31/19 | 839,247.25            |  |  |  |  |
| 2016 TAB                           |  |  | 5,630,018.67         |  |  |  | 08/31/19 | 5,630,018.67          |  |  |  |  |
|                                    |  |  | 457,385.94           |  |  |  | 08/31/19 | 457,385.94            |  |  |  |  |
| <b>SUBTOTAL</b>                    |  |  | <b>7,104,856.77</b>  |  |  |  |          | <b>7,104,856.77</b>   |  |  |  |  |
| <b>TOTAL INVESTMENTS</b>           |  |  | <b>11,000,000.00</b> |  |  |  |          | <b>7,500,000.00</b>   |  |  |  |  |
|                                    |  |  |                      |  |  |  |          | <b>249,568,392.33</b> |  |  |  |  |
|                                    |  |  |                      |  |  |  |          | <b>249,568,392.33</b> |  |  |  |  |

CASH AND INVESTMENT REPORT  
PERIOD ENDING AUGUST, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |      | CURRENT MONTH<br>PURCHASES |      | CURRENT MONTH<br>MATURITIES |      | ENDING INVESTMENT<br>MATURITIES |      | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE          | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|-----------------------------------|------|----------------------------|------|-----------------------------|------|---------------------------------|------|--------------------------------|-----------------------|-----------------|---------------------------|
|   | RATE %                            | DATE | AMOUNT                     | DATE | AMOUNT                      | DATE | AMOUNT                          | DATE |                                |                       |                 |                           |
| <b>CASH IN BANK</b>                       |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| <b>UNION BANK OF CALIFORNIA</b>           |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| Charge Back Account                       |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| General Account                           |                                   |      | 18,920.62                  |      |                             |      |                                 |      | 18,920.62                      |                       |                 |                           |
| Home                                      |                                   |      | 7,382,841.18               |      |                             |      |                                 |      | 7,382,841.18                   |                       |                 |                           |
| Housing Authority (Accounting)            |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| Housing Authority Escrow                  |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| Liability                                 |                                   |      | 217,952.69                 |      |                             |      |                                 |      | 217,952.69                     |                       |                 |                           |
| Workers Comp                              |                                   |      | 100,000.00                 |      |                             |      |                                 |      | 100,000.00                     |                       |                 |                           |
| Successor Agency                          |                                   |      | 775,717.70                 |      |                             |      |                                 |      | 775,717.70                     |                       |                 |                           |
|   |                                   |      | 421,722.27                 |      |                             |      |                                 |      | 421,722.27                     |                       |                 |                           |
| <b>SUBTOTAL</b>                           |                                   |      | <b>8,917,154.46</b>        |      |                             |      |                                 |      | <b>8,917,154.46</b>            |                       |                 |                           |
| <b>PETTY CASH ACCOUNTS</b>                |                                   |      |                            |      |                             |      |                                 |      |                                |                       |                 |                           |
| Change Fund                               |                                   |      | 3,680.00                   |      |                             |      |                                 |      | 3,680.00                       |                       |                 |                           |
| Finance                                   |                                   |      | 3,000.00                   |      |                             |      |                                 |      | 3,000.00                       |                       |                 |                           |
| Departments                               |                                   |      | 3,550.00                   |      |                             |      |                                 |      | 3,550.00                       |                       |                 |                           |
| Police Dept-SIU                           |                                   |      | 30,000.00                  |      |                             |      |                                 |      | 30,000.00                      |                       |                 |                           |
| Police Dept-Drug                          |                                   |      | 3,500.00                   |      |                             |      |                                 |      | 3,500.00                       |                       |                 |                           |
| Police Dept-Reg                           |                                   |      | 200.00                     |      |                             |      |                                 |      | 200.00                         |                       |                 |                           |
| <b>SUBTOTAL</b>                           |                                   |      | <b>43,930.00</b>           |      |                             |      |                                 |      | <b>43,930.00</b>               |                       |                 |                           |
| <b>GRAND TOTAL CASH &amp; INVESTMENTS</b> |                                   |      | <b>11,000,000.00</b>       |      | <b>7,500,000.00</b>         |      | <b>255,429,476.79</b>           |      | <b>359,850.00</b>              | <b>184,750,000.00</b> |                 | <b>187,271,627.50</b>     |

**City of Garden Grove**

**INTER-DEPARTMENT MEMORANDUM**

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR SEPTEMBER 2019

From: Patricia Song  
Dept: Finance  
Date: January 24, 2020

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Enclosed is the September 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of September 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$45,000,000         | 18.548%         |
| Fed Home Loan Banks     | \$60,250,000         | 24.833%         |
| Fed Farm Credit Banks   | \$48,000,000         | 19.784%         |
| Fed Nat Mort Assoc      | \$30,000,000         | 12.365%         |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.237%          |
| City LAIF               | \$46,828,490         | 19.301%         |
| Cash with Fiscal Agents | \$9,540,225          | 3.932%          |
| <b>Total</b>            | <b>\$242,618,715</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of September 2019, 3.932% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

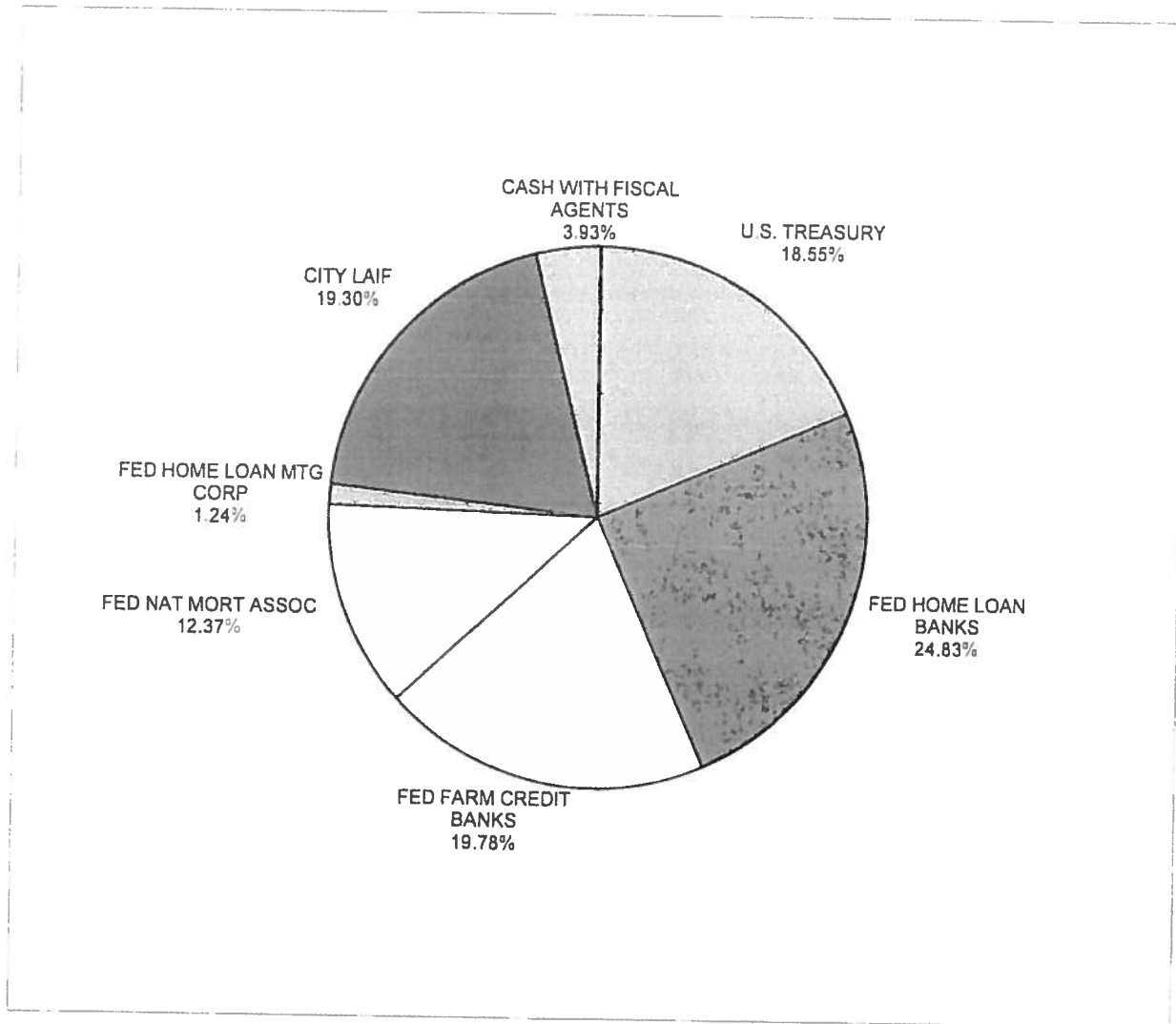
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY September 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> | <u>\$</u>             | <u>%</u>        |
|---|-----------------------|-----------------|
| U.S. TREASURY                               | \$ 45,000,000         | 18.548%         |
| FED HOME LOAN BANKS                         | \$ 60,250,000         | 24.833%         |
| FED FARM CREDIT BANKS                       | \$ 48,000,000         | 19.784%         |
| FED NAT MORT ASSOC                          | \$ 30,000,000         | 12.365%         |
| FED HOME LOAN MTG CORP                      | \$ 3,000,000          | 1.237%          |
| CITY LAIF                                   | \$ 46,828,490         | 19.301%         |
| CASH WITH FISCAL AGENTS                     | \$ 9,540,225          | 3.932%          |
| <b>TOTAL OF INVESTMENTS</b>                 | <b>\$ 242,618,715</b> | <b>100.000%</b> |



**WEIGHTED AVERAGE MATURITIES**  
**September 2019**

| Investment      | Yield (Rate) | UBOC Held | Amount<br>(a) | Date of Maturity | No. Days to Mat.<br>9/30/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|--------------|-----------|---------------|------------------|---|---------------------------------------|-------------------------------|
| <b>TREASURY</b> |              |           |               |                  |   |                                       |                               |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 03/31/20         | 183                                       | 1.28712%                              | 2.355                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 08/31/20         | 336                                       | 1.28712%                              | 4.325                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 10/31/20         | 397                                       | 1.28712%                              | 5.110                         |
| U S TREASURY    | 1.625        | *         | 3,000,000     | 11/30/20         | 427                                       | 1.28712%                              | 5.496                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 02/28/21         | 517                                       | 1.28712%                              | 6.654                         |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 03/31/21         | 548                                       | 1.28712%                              | 7.053                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 03/31/21         | 548                                       | 1.28712%                              | 7.053                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 06/30/21         | 639                                       | 1.28712%                              | 8.225                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 07/31/21         | 670                                       | 1.28712%                              | 8.624                         |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 08/31/21         | 701                                       | 1.28712%                              | 9.023                         |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 03/31/22         | 913                                       | 1.28712%                              | 11.751                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 10/31/21         | 762                                       | 1.28712%                              | 9.808                         |
| U S TREASURY    | 2.125        | *         | 3,000,000     | 06/30/22         | 1,004                                     | 1.28712%                              | 12.923                        |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 07/31/22         | 1,035                                     | 1.28712%                              | 13.322                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 11/30/22         | 1,157                                     | 1.28712%                              | 14.892                        |
| <b>CITY</b>     |              |           |               |                  |   |                                       |                               |
| FHLB            | 1.750        | *         | 3,000,000     | 06/12/20         | 256                                       | 1.28712%                              | 3.295                         |
| FHLB            | 1.625        | *         | 3,000,000     | 07/27/20         | 301                                       | 1.28712%                              | 3.874                         |
| FHLB            | 2.625        | *         | 3,000,000     | 08/21/20         | 326                                       | 1.28712%                              | 4.196                         |
| FHLB            | 1.625        | *         | 3,000,000     | 09/11/20         | 347                                       | 1.28712%                              | 4.466                         |
| FHLB            | 2.875        | *         | 3,000,000     | 09/11/20         | 347                                       | 1.28712%                              | 4.466                         |
| FHLB            | 1.875        | *         | 3,000,000     | 06/11/21         | 620                                       | 1.28712%                              | 7.980                         |
| FHLB            | 2.375        | *         | 3,000,000     | 09/10/21         | 711                                       | 1.28712%                              | 9.151                         |
| FHLB            | 2.000        | *         | 3,250,000     | 11/10/21         | 772                                       | 1.39438%                              | 10.765                        |
| FHLB            | 1.875        | *         | 3,000,000     | 11/29/21         | 791                                       | 1.28712%                              | 10.181                        |
| FHLB            | 2.625        | *         | 3,000,000     | 03/11/22         | 893                                       | 1.28712%                              | 11.494                        |
| FHLB            | 2.000        | *         | 3,000,000     | 09/09/22         | 1,075                                     | 1.28712%                              | 13.837                        |
| FHLB            | 3.125        | *         | 3,000,000     | 09/09/22         | 1,075                                     | 1.28712%                              | 13.837                        |
| FHLB            | 2.650        | *         | 3,000,000     | 02/28/23         | 1,247                                     | 1.28712%                              | 16.050                        |
| FHLB            | 2.750        | *         | 3,000,000     | 03/10/23         | 1,257                                     | 1.28712%                              | 16.179                        |
| FHLB            | 2.125        | *         | 3,000,000     | 06/09/23         | 1,348                                     | 1.28712%                              | 17.350                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,439                                     | 1.28712%                              | 18.522                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,439                                     | 1.28712%                              | 18.522                        |
| FHLB            | 1.875        | *         | 3,000,000     | 03/08/24         | 1,621                                     | 1.28712%                              | 20.864                        |
| FHLB            | 2.375        | *         | 3,000,000     | 03/08/24         | 1,621                                     | 1.28712%                              | 20.864                        |
| FHLB            | 2.875        | *         | 3,000,000     | 09/13/24         | 1,810                                     | 1.28712%                              | 23.297                        |
| <b>FFCB</b>     |              |           |               |                  |   |                                       |                               |
| FFCB            | 1.520        | *         | 3,000,000     | 03/02/20         | 154                                       | 1.28712%                              | 1.982                         |
| FFCB            | 2.550        | *         | 3,000,000     | 06/11/20         | 255                                       | 1.28712%                              | 3.282                         |
| FFCB            | 2.350        | *         | 3,000,000     | 02/12/21         | 501                                       | 1.28712%                              | 6.448                         |
| FFCB            | 1.280        | *         | 3,000,000     | 09/29/21         | 730                                       | 1.28712%                              | 9.396                         |
| FFCB            | 2.200        | *         | 3,000,000     | 02/28/22         | 882                                       | 1.28712%                              | 11.352                        |
| FFCB            | 2.600        | *         | 3,000,000     | 03/21/22         | 903                                       | 1.28712%                              | 11.623                        |
| FFCB            | 2.840        | *         | 3,000,000     | 06/20/22         | 994                                       | 1.28712%                              | 12.794                        |
| FFCB            | 2.800        | *         | 3,000,000     | 07/18/22         | 1,022                                     | 1.28712%                              | 13.154                        |
| FFCB            | 1.820        | *         | 3,000,000     | 08/19/22         | 1,054                                     | 1.28712%                              | 13.566                        |
| FFCB            | 2.570        | *         | 3,000,000     | 02/16/23         | 1,235                                     | 1.28712%                              | 15.896                        |
| FFCB            | 2.650        | *         | 3,000,000     | 03/08/23         | 1,255                                     | 1.28712%                              | 16.153                        |
| FFCB            | 2.890        | *         | 3,000,000     | 06/19/23         | 1,358                                     | 1.28712%                              | 17.479                        |
| FFCB            | 2.550        | *         | 3,000,000     | 08/02/23         | 1,402                                     | 1.28712%                              | 18.045                        |
| FFCB            | 2.300        | *         | 3,000,000     | 11/08/23         | 1,500                                     | 1.28712%                              | 19.307                        |
| FFCB            | 2.230        | *         | 3,000,000     | 02/23/24         | 1,607                                     | 1.28712%                              | 20.684                        |
| FFCB            | 2.080        | *         | 3,000,000     | 09/10/24         | 1,807                                     | 1.28712%                              | 23.258                        |
| <b>FNMA</b>     |              |           |               |                  |   |                                       |                               |
| FNMA            | 1.100        | *         | 3,000,000     | 10/17/19         | 17  | 1.28712%                              | 0.219                         |
| FNMA            | 1.320        | *         | 2,000,000     | 10/22/19         | 22  | 0.85808%                              | 0.189                         |
| FNMA            | 1.000        | *         | 1,000,000     | 10/24/19         | 24  | 0.42904%                              | 0.103                         |
| FNMA            | 1.750        | *         | 3,000,000     | 11/26/19         | 57  | 1.28712%                              | 0.734                         |
| FNMA            | 1.500        | *         | 3,000,000     | 02/28/20         | 151                                       | 1.28712%                              | 1.944                         |
| FNMA            | 1.500        | *         | 3,000,000     | 07/30/20         | 304                                       | 1.28712%                              | 3.913                         |
| FNMA            | 1.750        | *         | 3,000,000     | 10/26/20         | 392                                       | 1.28712%                              | 5.046                         |
| FNMA            | 1.500        | *         | 3,000,000     | 11/30/20         | 427                                       | 1.28712%                              | 5.496                         |

**WEIGHTED AVERAGE MATURITIES**  
**September 2019**

| Investment                        | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat. 9/30/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------------------------|--------------|-----------|--------------------|------------------|--|---------------------------------------|-------------------------------|
| FNMA                              | 1.520        | *         | 3,000,000          | 07/28/21         | 667                                    | 1.28712%                              | 8.585                         |
| FNMA                              | 1.375        | *         | 3,000,000          | 10/07/21         | 738                                    | 1.28712%                              | 9.499                         |
| FNMA                              | 2.000        | *         | 3,000,000          | 10/05/22         | 1,101                                  | 1.28712%                              | 14.171                        |
| FHLMC                             | 3.000        | *         | 3,000,000          | 08/17/22         | 1,052                                  | 1.28712%                              | 13.541                        |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |              |           |                    |                  |  |                                       |                               |
| LAIF                              | 0.500        |           | 46,828,490         | 09/30/19         | -                                      | 20.09130%                             | 0.000                         |
|                                   |              |           | 233,078,490        |                  | 50,744                                 | 100.00000%                            | <b>654</b>                    |
| <b>RESTRICTED</b>                 |              |           |                    |                  |  |                                       | <b>Wtd. Avg. Maturity</b>     |
| 2008 Katella Cottages             |              |           | 319,014            | 09/30/19         | -                                      | 3.34388%                              | 0.000                         |
| 2008 UBOC                         |              |           | 498,722            | 09/30/19         | -                                      | 5.22758%                              | 0.000                         |
| 2010 Water                        |              |           | 701,533            | 09/30/19         | -                                      | 7.35342%                              | 0.000                         |
| 2014 TARB                         |              |           | 5,630,975          | 09/30/19         | -                                      | 59.02350%                             | 0.000                         |
| 2015 TARB                         |              |           | 109                | 09/30/19         | -                                      | 0.00114%                              | 0.000                         |
| 2015A COP's                       |              |           | 1,562,955          | 09/30/19         | -                                      | 16.38279%                             | 0.000                         |
| 2016 TAB                          |              |           | 826,253            | 09/30/19         | -                                      | 8.66073%                              | 0.000                         |
| 2017 SEWER REF                    |              |           | 664                | 09/30/19         | -                                      | 0.00696%                              | 0.000                         |
|                                   |              |           | 9,540,225          |                  | -                                      | 100.00000%                            | -                             |
| <b>Investment Total</b>           |              |           | <b>242,618,715</b> |                  |  |                                       |                               |

CASH AND INVESTMENT REPORT  
PERIOD ENDING SEPTEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |          | CURRENT MONTH MATURITIES |          | ENDING INVESTMENT MATURITIES |      | PERIOD INTEREST RECEIVED | PAR VALUE     | MARKET VALUE  | LAST INT RECD DATE |
|---|--------------------------------|----------|-------------------------|----------|--------------------------|----------|------------------------------|------|--------------------------|---------------|---------------|--------------------|
|   | RATE %                         | DATE     | AMOUNT                  | DATE     | AMOUNT                   | DATE     | AMOUNT                       | DATE |                          |               |               |                    |
| LAIF                                      | 0.500                          |          | 51,828,489.50           |          | 5,000,000.00             |          | 46,828,489.50                |      |                          |               |               | 07/12/19           |
| UNITED STATES TREASURY                    |                                |          |                         |          |                          |          |                              |      |                          |               |               |                    |
| U.S. TREASURY                             | 1.250                          | 02/28/18 | 1,500,000.00            |          | 1,500,000.00             |          | 3,000,000.00                 |      | 9,375.00                 | 3,000,000.00  | 2,982,620.00  | 09/03/19           |
| U.S. TREASURY                             | 1.375                          | 11/05/15 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 20,625.00                | 3,000,000.00  | 2,987,100.00  | 09/03/19           |
| U.S. TREASURY                             | 1.375                          | 03/29/18 | 3,000,000.00            | 09/03/19 | 1,500,000.00             | 08/31/20 | 3,000,000.00                 |      | 20,625.00                | 3,000,000.00  | 2,986,170.00  | 04/20/19           |
| U.S. TREASURY                             | 1.375                          | 05/12/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 2,983,910.00  | 05/31/19           |
| U.S. TREASURY                             | 1.625                          | 12/15/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 16,875.00                | 3,000,000.00  | 2,973,630.00  | 09/03/19           |
| U.S. TREASURY                             | 1.125                          | 01/25/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 18,750.00                | 3,000,000.00  | 2,978,190.00  | 09/30/19           |
| U.S. TREASURY                             | 2.250                          | 03/30/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 33,750.00                | 3,000,000.00  | 3,022,020.00  | 09/30/19           |
| U.S. TREASURY                             | 2.250                          | 12/15/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 2,970,240.00  | 07/01/19           |
| U.S. TREASURY                             | 2.000                          | 02/16/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 30,000.00                | 3,000,000.00  | 3,030,360.00  | 07/31/19           |
| U.S. TREASURY                             | 2.000                          | 12/01/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,018,510.00  | 02/26/19           |
| U.S. TREASURY                             | 1.750                          | 09/21/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 26,250.00                | 3,000,000.00  | 3,020,870.00  | 04/30/19           |
| U.S. TREASURY                             | 2.125                          | 07/07/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,011,490.00  | 09/30/19           |
| U.S. TREASURY                             | 1.750                          | 10/06/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,043,470.00  | 07/01/19           |
| U.S. TREASURY                             | 2.000                          |          | 3,000,000.00            | 09/27/19 | 3,000,000.00             | 11/30/22 | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,022,380.00  | 07/31/19           |
|   |                                |          | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,037,740.00  |                    |
|   |                                |          | 43,500,000.00           |          | 1,500,000.00             |          | 45,000,000.00                |      | 176,250.00               | 45,000,000.00 | 45,088,800.00 |                    |
| FEDERAL HOME LOAN BANKS                   |                                |          |                         |          |                          |          |                              |      |                          |               |               |                    |
| FHLB                                      | 2.000                          | 05/07/15 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 30,000.00                | 3,000,000.00  | 2,997,870.00  | 09/13/19           |
| FHLB                                      | 1.750                          | 06/30/15 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 2,994,780.00  | 06/12/19           |
| FHLB                                      | 1.625                          | 07/27/17 | 3,000,000.00            | 09/13/19 | 3,000,000.00             | 06/12/20 | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,021,150.00  | 07/29/19           |
| FHLB                                      | 2.625                          | 09/12/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 2,993,460.00  | 08/21/19           |
| FHLB                                      | 1.625                          | 10/06/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 24,375.00                | 3,000,000.00  | 3,028,410.00  | 09/11/19           |
| FHLB                                      | 2.875                          | 09/29/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 43,125.00                | 3,000,000.00  | 3,066,660.00  | 09/11/19           |
| FHLB                                      | 1.875                          | 05/17/17 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 35,625.00                | 3,000,000.00  | 3,040,710.00  | 06/11/19           |
| FHLB                                      | 2.375                          | 10/07/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,271,537.50  | 09/10/19           |
| FHLB                                      | 2.000                          | 11/10/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,012,210.00  | 05/29/19           |
| FHLB                                      | 1.875                          | 12/13/16 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 39,375.00                | 3,000,000.00  | 3,089,990.00  | 09/11/19           |
| FHLB                                      | 2.625                          | 03/21/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 30,000.00                | 3,000,000.00  | 3,031,170.00  | 09/10/19           |
| FHLB                                      | 2.000                          | 05/24/19 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 46,875.00                | 3,000,000.00  | 3,124,770.00  | 09/03/19           |
| FHLB                                      | 3.125                          | 08/02/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 41,250.00                | 3,000,000.00  | 3,105,300.00  | 09/10/19           |
| FHLB                                      | 2.650                          | 02/28/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,056,970.00  | 09/10/19           |
| FHLB                                      | 2.750                          | 03/21/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,056,970.00  | 09/10/19           |
| FHLB                                      | 2.125                          | 06/10/19 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 6,195,220.00  | 09/09/19           |
| FHLB                                      | 3.375                          | 12/07/18 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 101,250.00               | 3,000,000.00  | 3,041,760.00  | 09/09/19           |
| FHLB                                      | 3.375                          | 02/13/19 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      |                          | 3,000,000.00  | 3,099,180.00  | 09/09/19           |
| FHLB                                      | 1.875                          | 08/21/19 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 28,125.00                | 3,000,000.00  | 3,171,630.00  |                    |
| FHLB                                      | 2.375                          | 04/26/19 | 3,000,000.00            |          |                          |          | 3,000,000.00                 |      | 35,625.00                | 3,000,000.00  |               |                    |
| FHLB                                      | 2.875                          |          | 3,000,000.00            | 09/25/19 | 3,000,000.00             | 09/13/24 | 3,000,000.00                 |      |                          | 3,000,000.00  |               |                    |
|   |                                |          | 60,250,000.00           |          | 3,000,000.00             |          | 60,250,000.00                |      | 455,625.00               | 60,250,000.00 | 61,473,437.50 |                    |



CASH AND INVESTMENT REPORT  
PERIOD ENDING SEPTEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |          | CURRENT MONTH<br>PURCHASES |          | CURRENT MONTH<br>MATURITIES |              | ENDING INVESTMENT<br>MATURITIES |          | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE   | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|-----------------------------------|----------|----------------------------|----------|-----------------------------|--------------|---------------------------------|----------|--------------------------------|----------------|-----------------|---------------------------|
|   | RATE %                            | DATE     | AMOUNT                     | DATE     | AMOUNT                      | DATE         | AMOUNT                          | DATE     |                                |                |                 |                           |
| FEDERAL FARM CREDIT BANKS                 |                                   |          |                            |          |                             |              |                                 |          |                                |                |                 |                           |
| FFCB                                      | 1.520                             | 03/02/17 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 03/02/20 | 22,800.00                      | 3,000,000.00   | 2,995,160.00    | 09/03/19                  |
| FFCB                                      | 2.550                             | 06/20/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 06/11/20 |                                | 3,000,000.00   | 3,015,060.00    | 06/11/19                  |
| FFCB                                      | 2.350                             | 03/01/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 02/12/21 |                                | 3,000,000.00   | 3,021,210.00    | 08/12/19                  |
| FFCB                                      | 1.280                             | 10/06/16 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 09/29/21 | 19,200.00                      | 3,000,000.00   | 2,972,310.00    | 08/29/19                  |
| FFCB                                      | 2.200                             | 06/05/19 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 02/28/22 |                                | 3,000,000.00   | 3,037,380.00    | 08/28/19                  |
| FFCB                                      | 2.600                             | 03/21/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 03/21/22 | 39,000.00                      | 3,000,000.00   | 3,067,770.00    | 08/23/19                  |
| FFCB                                      | 2.840                             | 07/20/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 06/20/22 |                                | 3,000,000.00   | 3,093,030.00    | 08/23/19                  |
| FFCB                                      | 2.800                             | 07/18/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 07/18/22 |                                | 3,000,000.00   | 3,095,760.00    | 07/18/19                  |
| FFCB                                      | 1.820                             | 08/27/19 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 08/19/22 |                                | 3,000,000.00   | 2,999,430.00    | 08/16/19                  |
| FFCB                                      | 2.570                             | 02/16/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 02/16/23 | 39,750.00                      | 3,000,000.00   | 3,083,990.00    | 09/09/19                  |
| FFCB                                      | 2.650                             | 03/08/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 03/08/23 |                                | 3,000,000.00   | 3,103,740.00    | 08/19/19                  |
| FFCB                                      | 2.890                             | 09/12/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 08/19/23 |                                | 3,000,000.00   | 3,138,210.00    | 08/02/19                  |
| FFCB                                      | 2.560                             | 04/10/19 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 11/09/23 |                                | 3,000,000.00   | 3,104,940.00    | 08/23/19                  |
| FFCB                                      | 2.300                             | 05/13/19 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 02/23/24 |                                | 3,000,000.00   | 3,076,860.00    | 08/23/19                  |
| FFCB                                      | 2.090                             |          | 3,000,000.00               | 09/10/19 |                             |              | 3,000,000.00                    | 09/10/24 |                                | 3,000,000.00   | 3,050,780.00    |                           |
|   |                                   |          | 45,000,000.00              |          | 3,000,000.00                |              | 48,000,000.00                   |          | 120,750.00                     | 48,000,000.00  | 48,958,740.00   |                           |
| FED NAT MORT ASSOC                        |                                   |          |                            |          |                             |              |                                 |          |                                |                |                 |                           |
| FNMA                                      | 1.500                             | 07/15/15 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 09/18/19 | 22,500.00                      | 3,000,000.00   | 2,998,830.00    | 09/18/19                  |
| FNMA                                      | 1.100                             | 10/17/16 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 10/17/19 |                                | 3,000,000.00   | 1,999,230.00    | 04/17/19                  |
| FNMA                                      | 1.320                             | 06/03/15 | 2,000,000.00               |          | 09/18/19                    | 3,000,000.00 | 2,000,000.00                    | 10/23/19 |                                | 2,000,000.00   | 1,999,400.00    | 04/23/19                  |
| FNMA                                      | 1.000                             | 07/13/18 | 1,000,000.00               |          |                             |              | 1,000,000.00                    | 10/24/19 |                                | 1,000,000.00   | 999,400.00      | 04/24/19                  |
| FNMA                                      | 1.750                             | 05/07/15 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 11/29/19 |                                | 3,000,000.00   | 2,999,370.00    | 05/29/19                  |
| FNMA                                      | 1.500                             | 01/29/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 02/28/20 |                                | 3,000,000.00   | 2,994,900.00    | 08/28/19                  |
| FNMA                                      | 1.500                             | 05/04/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 07/30/20 |                                | 3,000,000.00   | 2,991,840.00    | 07/30/19                  |
| FNMA                                      | 1.750                             | 10/26/17 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 10/26/20 |                                | 3,000,000.00   | 2,995,410.00    | 04/26/19                  |
| FNMA                                      | 1.500                             | 12/23/15 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 11/30/20 |                                | 3,000,000.00   | 2,989,380.00    | 05/30/19                  |
| FNMA                                      | 1.520                             | 07/28/16 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 07/28/21 |                                | 3,000,000.00   | 2,990,520.00    | 07/29/19                  |
| FNMA                                      | 1.375                             | 10/07/16 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 10/07/21 |                                | 3,000,000.00   | 2,982,990.00    | 04/08/19                  |
| FNMA                                      | 2.000                             | 05/04/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 10/05/22 |                                | 3,000,000.00   | 3,030,480.00    | 04/05/19                  |
|   |                                   |          | 33,000,000.00              |          | 3,000,000.00                |              | 30,000,000.00                   |          | 22,500.00                      | 30,000,000.00  | 29,972,340.00   |                           |
| FEDERAL HOME LOAN MTG CORP                |                                   |          |                            |          |                             |              |                                 |          |                                |                |                 |                           |
| FHLMC                                     | 3.000                             | 08/17/18 | 3,000,000.00               |          |                             |              | 3,000,000.00                    | 08/17/22 |                                | 3,000,000.00   | 3,026,190.00    | 08/19/19                  |
|   |                                   |          | 3,000,000.00               |          |                             |              | 3,000,000.00                    |          |                                | 3,000,000.00   | 3,026,190.00    |                           |
|   |                                   |          | 236,578,489.50             |          | 12,500,000.00               |              | 233,078,489.50                  |          | 775,125.00                     | 186,250,000.00 | 188,519,507.50  |                           |
| SUBTOTAL                                  |                                   |          |                            |          |                             |              |                                 |          |                                |                |                 |                           |

CASH AND INVESTMENT REPORT  
PERIOD ENDING SEPTEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES<br>RATE % | DATE | AMOUNT | CURRENT MONTH<br>PURCHASES<br>DATE | AMOUNT | DATE | CURRENT MONTH<br>MATURITIES<br>DATE | AMOUNT | DATE | ENDING INVESTMENT<br>MATURITIES<br>AMOUNT | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|---|------|--------|------------------------------------|--------|------|-------------------------------------|--------|------|---|--------------------------------|--------------|-----------------|---------------------------|
|   |   |      |        |                                    |        |      |                                     |        |      |   |                                |              |                 |                           |

CASH WITH FISCAL AGENTS

CITY RESTRICTED INVESTMENTS

|                    |              |          |  |  |  |  |  |  |  |              |  |  |  |  |
|--------------------|--------------|----------|--|--|--|--|--|--|--|--------------|--|--|--|--|
| 2010 WATER         |              | 09/30/19 |  |  |  |  |  |  |  |              |  |  |  |  |
| 2015-04 Refunding  | 701 532 59   | 09/30/19 |  |  |  |  |  |  |  | 701 532 59   |  |  |  |  |
| 2015A-02 Refunding | 108 64       | 09/30/19 |  |  |  |  |  |  |  | 108 64       |  |  |  |  |
| 2017 SEWER REF     | 1 562 955 14 | 09/30/19 |  |  |  |  |  |  |  | 1 562 955 14 |  |  |  |  |
|                    | 654 42       | 09/30/19 |  |  |  |  |  |  |  | 654 42       |  |  |  |  |

SUBTOTAL

2,265,260 79

SUCCESSOR RESTRICTED INVESTMENTS

|                                   |              |          |  |  |  |  |  |  |  |              |  |  |  |  |
|-----------------------------------|--------------|----------|--|--|--|--|--|--|--|--------------|--|--|--|--|
| 2008 Kalella Cottages (VanKampen) |              | 09/30/19 |  |  |  |  |  |  |  |              |  |  |  |  |
| UBOC                              | 319 013 90   | 09/30/19 |  |  |  |  |  |  |  | 319 013 90   |  |  |  |  |
| 2014 TAB                          | 498 722 48   | 09/30/19 |  |  |  |  |  |  |  | 498 722 48   |  |  |  |  |
| 2016 TAB                          | 5 630 975 00 | 09/30/19 |  |  |  |  |  |  |  | 5 630 975 00 |  |  |  |  |
|                                   | 826 253 07   | 09/30/19 |  |  |  |  |  |  |  | 826 253 07   |  |  |  |  |

SUBTOTAL

7,274 964 45

TOTAL INVESTMENTS

9,000,000 00

12,560,000 00

242,618 714 74

CASH AND INVESTMENT REPORT  
PERIOD ENDING SEPTEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |             | ENDING INVESTMENT MATURITIES |          | PERIOD INTEREST RECEIVED | PAR VALUE             | MARKET VALUE          | LAST INT REC'D DATE |
|---|--------------------------------|----------|-------------------------|-------------|------------------------------|----------|--------------------------|-----------------------|-----------------------|---------------------|
|   | RATE %                         | DATE     | AMOUNT                  | DATE        | AMOUNT                       | DATE     |                          |                       |                       |                     |
| <b>CASH IN BANK</b>                       |                                |          |                         |             |                              |          |                          |                       |                       |                     |
| Ending Cash Balance                       |                                |          |                         |             |                              |          |                          |                       |                       |                     |
| UNION BANK OF CALIFORNIA                  |                                |          |                         |             |                              |          |                          |                       |                       |                     |
| Charge Back Account                       |                                | 09/30/19 | 13,042.00               | 2042201638  |                              | 09/30/19 | 13,042.00                |                       |                       |                     |
| General Account                           |                                | 09/30/19 | 10,993,342.52           | 2042850020  |                              | 09/30/19 | 10,993,342.52            |                       |                       |                     |
| Home                                      |                                | 09/30/19 |                         | 2042438354  |                              | 09/30/19 |                          |                       |                       |                     |
| Housing Authority (Accounting)            |                                | 09/30/19 | 0.04                    | 20422114021 |                              | 09/30/19 | 0.04                     |                       |                       |                     |
| Housing Authority Escrow                  |                                | 09/30/19 | 226,495.59              | 2042439436  |                              | 09/30/19 | 226,495.59               |                       |                       |                     |
| Liability                                 |                                | 09/30/19 | 74,967.68               | 2042205571  |                              | 09/30/19 | 74,967.68                |                       |                       |                     |
| Workers Comp                              |                                | 09/30/19 | 453,748.84              | 2042237764  |                              | 09/30/19 | 453,748.84               |                       |                       |                     |
| Successor Agency                          |                                | 09/30/19 | 198,548.83              | 2740032316  |                              | 09/30/19 | 198,548.83               |                       |                       |                     |
| <b>SUBTOTAL</b>                           |                                |          | <b>11,960,146.50</b>    |             |                              |          | <b>11,960,146.50</b>     |                       |                       |                     |
| <b>PETTY CASH ACCOUNTS</b>                |                                |          |                         |             |                              |          |                          |                       |                       |                     |
| Ending Cash Balance                       |                                |          |                         |             |                              |          |                          |                       |                       |                     |
| Change Fund                               |                                | 09/30/19 | 3,680.00                |             |                              | 09/30/19 | 3,680.00                 |                       |                       |                     |
| Finance                                   |                                | 09/30/19 | 3,000.00                |             |                              | 09/30/19 | 3,000.00                 |                       |                       |                     |
| Departments                               |                                | 09/30/19 | 3,550.00                |             |                              | 09/30/19 | 3,550.00                 |                       |                       |                     |
| Police Dept-SIU                           |                                | 09/30/19 | 30,000.00               |             |                              | 09/30/19 | 30,000.00                |                       |                       |                     |
| Police Dept-Drug                          |                                | 09/30/19 | 3,500.00                |             |                              | 09/30/19 | 3,500.00                 |                       |                       |                     |
| Police Dept-Reg                           |                                | 09/30/19 | 200.00                  |             |                              | 09/30/19 | 200.00                   |                       |                       |                     |
| <b>SUBTOTAL</b>                           |                                |          | <b>43,930.00</b>        |             |                              |          | <b>43,930.00</b>         |                       |                       |                     |
| <b>GRAND TOTAL CASH &amp; INVESTMENTS</b> |                                |          | <b>9,000,000.00</b>     |             | <b>12,500,000.00</b>         |          | <b>254,622,791.24</b>    | <b>186,250,000.00</b> | <b>186,519,507.50</b> |                     |

**City of Garden Grove**

**INTER-DEPARTMENT MEMORANDUM**

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR OCTOBER 2019

From: Patricia Song  
Dept: Finance  
Date: January 24, 2020

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Enclosed is the October 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of October 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$54,000,000         | 22.698%         |
| Fed Home Loan Banks     | \$60,250,000         | 25.325%         |
| Fed Farm Credit Banks   | \$48,000,000         | 20.176%         |
| Fed Nat Mort Assoc      | \$24,000,000         | 10.088%         |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.261%          |
| City LAIF               | \$42,138,657         | 17.713%         |
| Cash with Fiscal Agents | \$6,514,568          | 2.738%          |
| <b>Total</b>            | <b>\$237,903,225</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of October 2019, 2.738% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

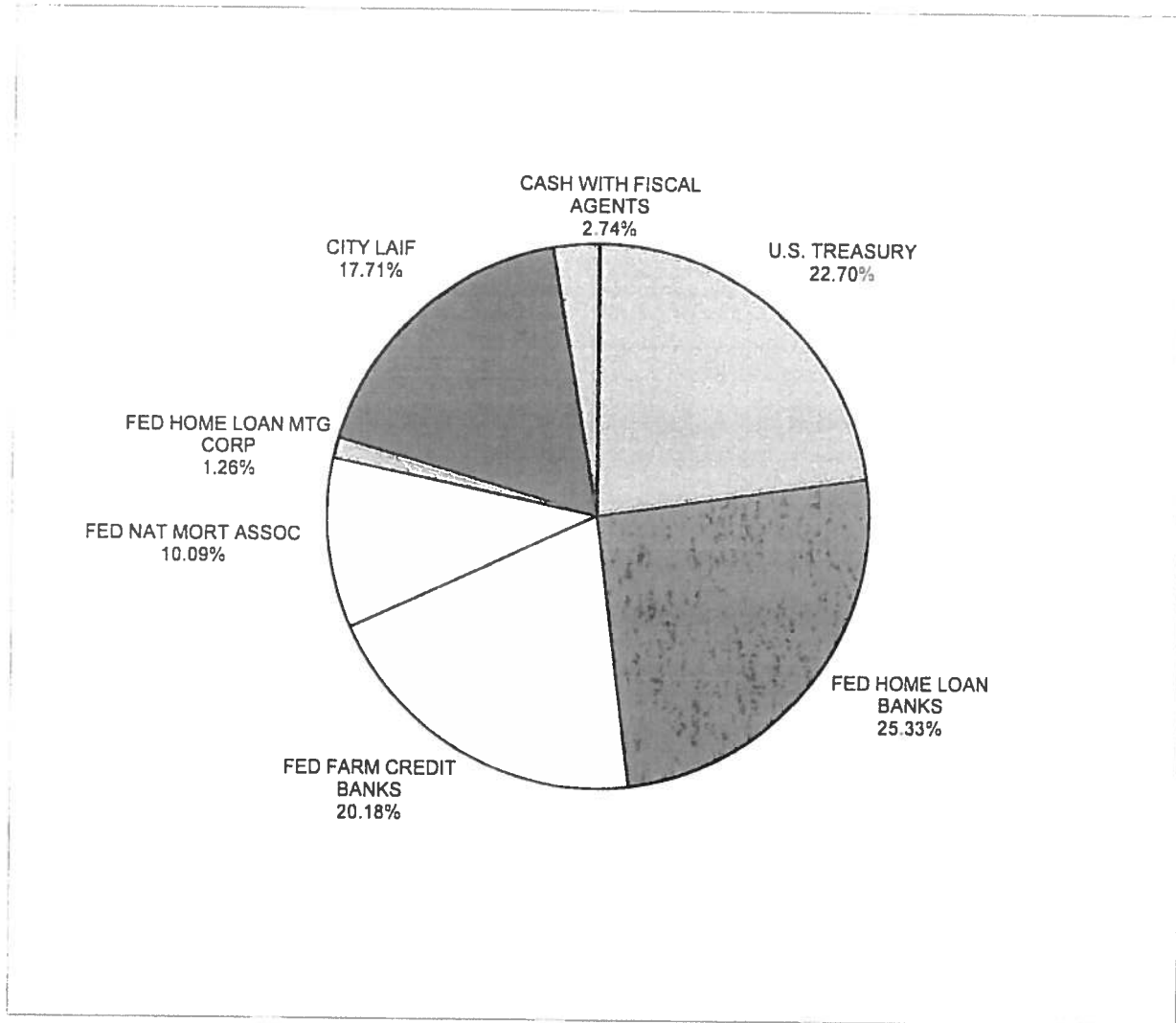
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY October 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> | <u>\$</u>      | <u>%</u> |
|---|----------------|----------|
| U.S. TREASURY                               | \$ 54,000,000  | 22.698%  |
| FED HOME LOAN BANKS                         | \$ 60,250,000  | 25.325%  |
| FED FARM CREDIT BANKS                       | \$ 48,000,000  | 20.176%  |
| FED NAT MORT ASSOC                          | \$ 24,000,000  | 10.088%  |
| FED HOME LOAN MTG CORP                      | \$ 3,000,000   | 1.261%   |
| CITY LAIF                                   | \$ 42,138,657  | 17.713%  |
| CASH WITH FISCAL AGENTS                     | \$ 6,514,568   | 2.738%   |
| TOTAL OF INVESTMENTS                        | \$ 237,903,225 | 100.000% |



**WEIGHTED AVERAGE MATURITIES**  
**October 2019**

| Investment      | Yield (Rate) | UBOC Held | Amount<br>(a) | Date of Maturity | No. Days to Mat. 10/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|--------------|-----------|---------------|------------------|---|---------------------------------------|-------------------------------|
| <b>TREASURY</b> |              |           |               |                  |   |                                       |                               |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 03/31/20         | 152                                     | 1.29652%                              | 1.971                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 08/31/20         | 305                                     | 1.29652%                              | 3.954                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 10/31/20         | 366                                     | 1.29652%                              | 4.745                         |
| U S TREASURY    | 1.625        | *         | 3,000,000     | 11/30/20         | 396                                     | 1.29652%                              | 5.134                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 02/28/21         | 486                                     | 1.29652%                              | 6.301                         |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 03/31/21         | 517                                     | 1.29652%                              | 6.703                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 03/31/21         | 517                                     | 1.29652%                              | 6.703                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 06/30/21         | 608                                     | 1.29652%                              | 7.883                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 07/31/21         | 639                                     | 1.29652%                              | 8.285                         |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 08/31/21         | 670                                     | 1.29652%                              | 8.687                         |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 03/31/22         | 882                                     | 1.29652%                              | 11.435                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 10/31/21         | 731                                     | 1.29652%                              | 9.478                         |
| U S TREASURY    | 2.125        | *         | 3,000,000     | 06/30/22         | 973                                     | 1.29652%                              | 12.615                        |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 07/31/22         | 1,004                                   | 1.29652%                              | 13.017                        |
| U S TREASURY    | 1.875        | *         | 3,000,000     | 10/31/22         | 1,096                                   | 1.29652%                              | 14.210                        |
| U S TREASURY    | 1.625        | *         | 3,000,000     | 11/15/22         | 1,111                                   | 1.29652%                              | 14.404                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 11/30/22         | 1,126                                   | 1.29652%                              | 14.599                        |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 07/31/23         | 1,369                                   | 1.29652%                              | 17.749                        |
| <b>CITY</b>     |              |           |               |                  |   |                                       |                               |
| FHLB            | 1.750        | *         | 3,000,000     | 06/12/20         | 225                                     | 1.29652%                              | 2.917                         |
| FHLB            | 1.625        | *         | 3,000,000     | 07/27/20         | 270                                     | 1.29652%                              | 3.501                         |
| FHLB            | 2.625        | *         | 3,000,000     | 08/21/20         | 295                                     | 1.29652%                              | 3.825                         |
| FHLB            | 1.625        | *         | 3,000,000     | 09/11/20         | 316                                     | 1.29652%                              | 4.097                         |
| FHLB            | 2.875        | *         | 3,000,000     | 09/11/20         | 316                                     | 1.29652%                              | 4.097                         |
| FHLB            | 1.875        | *         | 3,000,000     | 06/11/21         | 589                                     | 1.29652%                              | 7.637                         |
| FHLB            | 2.375        | *         | 3,000,000     | 09/10/21         | 680                                     | 1.29652%                              | 8.816                         |
| FHLB            | 2.000        | *         | 3,250,000     | 11/10/21         | 741                                     | 1.40456%                              | 10.408                        |
| FHLB            | 1.875        | *         | 3,000,000     | 11/29/21         | 760                                     | 1.29652%                              | 9.854                         |
| FHLB            | 2.625        | *         | 3,000,000     | 03/11/22         | 862                                     | 1.29652%                              | 11.176                        |
| FHLB            | 2.000        | *         | 3,000,000     | 09/09/22         | 1,044                                   | 1.29652%                              | 13.536                        |
| FHLB            | 3.125        | *         | 3,000,000     | 09/09/22         | 1,044                                   | 1.29652%                              | 13.536                        |
| FHLB            | 2.650        | *         | 3,000,000     | 02/28/23         | 1,216                                   | 1.29652%                              | 15.766                        |
| FHLB            | 2.750        | *         | 3,000,000     | 03/10/23         | 1,226                                   | 1.29652%                              | 15.895                        |
| FHLB            | 2.125        | *         | 3,000,000     | 06/09/23         | 1,317                                   | 1.29652%                              | 17.075                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,408                                   | 1.29652%                              | 18.255                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,408                                   | 1.29652%                              | 18.255                        |
| FHLB            | 1.875        | *         | 3,000,000     | 03/08/24         | 1,590                                   | 1.29652%                              | 20.615                        |
| FHLB            | 2.375        | *         | 3,000,000     | 03/08/24         | 1,590                                   | 1.29652%                              | 20.615                        |
| FHLB            | 2.875        | *         | 3,000,000     | 09/13/24         | 1,779                                   | 1.29652%                              | 23.065                        |
| FFCB            | 1.520        | *         | 3,000,000     | 03/02/20         | 123                                     | 1.29652%                              | 1.595                         |
| FFCB            | 2.550        | *         | 3,000,000     | 06/11/20         | 224                                     | 1.29652%                              | 2.904                         |
| FFCB            | 2.350        | *         | 3,000,000     | 02/12/21         | 470                                     | 1.29652%                              | 6.094                         |
| FFCB            | 1.280        | *         | 3,000,000     | 09/29/21         | 699                                     | 1.29652%                              | 9.063                         |
| FFCB            | 2.200        | *         | 3,000,000     | 02/28/22         | 851                                     | 1.29652%                              | 11.033                        |
| FFCB            | 2.600        | *         | 3,000,000     | 03/21/22         | 872                                     | 1.29652%                              | 11.306                        |
| FFCB            | 2.840        | *         | 3,000,000     | 06/20/22         | 963                                     | 1.29652%                              | 12.485                        |
| FFCB            | 2.800        | *         | 3,000,000     | 07/18/22         | 991                                     | 1.29652%                              | 12.849                        |
| FFCB            | 1.820        | *         | 3,000,000     | 08/19/22         | 1,023                                   | 1.29652%                              | 13.263                        |
| FFCB            | 2.570        | *         | 3,000,000     | 02/16/23         | 1,204                                   | 1.29652%                              | 15.610                        |
| FFCB            | 2.650        | *         | 3,000,000     | 03/08/23         | 1,224                                   | 1.29652%                              | 15.869                        |
| FFCB            | 2.890        | *         | 3,000,000     | 06/19/23         | 1,327                                   | 1.29652%                              | 17.205                        |
| FFCB            | 2.550        | *         | 3,000,000     | 08/02/23         | 1,371                                   | 1.29652%                              | 17.775                        |
| FFCB            | 2.300        | *         | 3,000,000     | 11/08/23         | 1,469                                   | 1.29652%                              | 19.046                        |
| FFCB            | 2.230        | *         | 3,000,000     | 02/23/24         | 1,576                                   | 1.29652%                              | 20.433                        |
| FFCB            | 2.080        | *         | 3,000,000     | 09/10/24         | 1,776                                   | 1.29652%                              | 23.026                        |
| FNMA            | 1.750        | *         | 3,000,000     | 11/26/19         | 26                                      | 1.29652%                              | 0.337                         |
| FNMA            | 1.500        | *         | 3,000,000     | 02/28/20         | 120                                     | 1.29652%                              | 1.556                         |
| FNMA            | 1.500        | *         | 3,000,000     | 07/30/20         | 273                                     | 1.29652%                              | 3.539                         |
| FNMA            | 1.750        | *         | 3,000,000     | 10/26/20         | 361                                     | 1.29652%                              | 4.680                         |
| FNMA            | 1.500        | *         | 3,000,000     | 11/30/20         | 396                                     | 1.29652%                              | 5.134                         |

**WEIGHTED AVERAGE MATURITIES**  
**October 2019**

| Investment                        | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat.<br>10/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------------------------|--------------|-----------|--------------------|------------------|--|---------------------------------------|-------------------------------|
| FNMA                              | 1.520        | *         | 3,000,000          | 07/28/21         | 636  | 1.29652%                              | 8.246                         |
| FNMA                              | 1.375        | *         | 3,000,000          | 10/07/21         | 707  | 1.29652%                              | 9.166                         |
| FNMA                              | 2.000        | *         | 3,000,000          | 10/05/22         | 1,070                                      | 1.29652%                              | 13.873                        |
| FHLMC                             | 3.000        | *         | 3,000,000          | 08/17/22         | 1,021                                      | 1.29652%                              | 13.237                        |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |              |           |                    |                  |  |                                       |                               |
| LAIF                              | 0.500        |           | 42,138,657         | 10/31/19         | -  | 18.21120%                             | 0.000                         |
|                                   |              |           | 231,388,657        |                  | 52,397                                     | 100.00000%                            | <b>680</b>                    |
| <b>RESTRICTED</b>                 |              |           |                    |                  |  |                                       | <b>Wtd. Avg. Maturity</b>     |
| 2008 Katella Cottages             |              |           | 177,428            | 10/31/19         | -  | 2.72356%                              | 0.000                         |
| 2008 UBOC                         |              |           | 159,312            | 10/31/19         | -  | 2.44547%                              | 0.000                         |
| 2010 Water                        |              |           | 701,636            | 10/31/19         | -  | 10.77027%                             | 0.000                         |
| 2014 TARB                         |              |           | 3,912,019          | 10/31/19         | -  | 60.05032%                             | 0.000                         |
| 2015 TARB                         |              |           | 109                | 10/31/19         | -  | 0.00167%                              | 0.000                         |
| 2015A COP's                       |              |           | 1,563,192          | 10/31/19         | -  | 23.99533%                             | 0.000                         |
| 2016 TAB                          |              |           | 206                | 10/31/19         | -  | 0.00317%                              | 0.000                         |
| 2017 SEWER REF                    |              |           | 665                | 10/31/19         | -  | 0.01021%                              | 0.000                         |
|                                   |              |           | 6,514,568          |                  | -  | 100.00000%                            | -                             |
| <b>Investment Total</b>           |              |           | <b>237,903,225</b> |                  |  |                                       |                               |

CASH AND INVESTMENT REPORT  
PERIOD ENDING OCTOBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |          | CURRENT MONTH<br>PURCHASES |          | CURRENT MONTH<br>MATURITIES |      | ENDING INVESTMENT<br>MATURITIES |      | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE  | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|-----------------------------------|----------|----------------------------|----------|-----------------------------|------|---------------------------------|------|--------------------------------|---------------|-----------------|---------------------------|
|   | RATE %                            | DATE     | AMOUNT                     | DATE     | AMOUNT                      | DATE | AMOUNT                          | DATE |                                |               |                 |                           |
| LAF                                       | 0.500                             |          | 45,828,489.50              |          | 310,167.34                  |      | 5,000,000.00                    |      | 42,138,656.84                  | 310,167.34    |                 | 10/15/19                  |
|   |                                   |          | 46,828,489.50              |          | 310,167.34                  |      | 5,000,000.00                    |      | 42,138,656.84                  | 310,167.34    |                 |                           |
| UNITED STATES TREASURY                    |                                   |          | SHARES/UNITS HELD          |          |                             |      |                                 |      |                                |               |                 |                           |
| U.S. TREASURY                             | 1.375                             | 11/05/15 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,996,540.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.375                             | 03/29/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,994,390.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.375                             | 05/12/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,992,860.00    | 10/31/19                  |
| U.S. TREASURY                             | 1.625                             | 12/15/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,000,360.00    | 05/31/19                  |
| U.S. TREASURY                             | 1.125                             | 01/25/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,981,730.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.250                             | 01/05/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,995,690.00    | 09/30/19                  |
| U.S. TREASURY                             | 2.250                             | 03/30/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,027,300.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.125                             | 03/30/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 2,877,380.00    | 07/01/19                  |
| U.S. TREASURY                             | 2.250                             | 12/15/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,034,680.00    | 07/31/19                  |
| U.S. TREASURY                             | 2.000                             | 02/16/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,023,190.00    | 02/28/19                  |
| U.S. TREASURY                             | 2.000                             | 12/01/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,026,010.00    | 10/31/19                  |
| U.S. TREASURY                             | 1.750                             | 09/21/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,015,690.00    | 09/30/19                  |
| U.S. TREASURY                             | 2.125                             | 07/07/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,047,340.00    | 07/01/19                  |
| U.S. TREASURY                             | 1.750                             | 10/06/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,028,020.00    | 07/31/19                  |
| U.S. TREASURY                             | 1.875                             |          | 3,000,000.00               | 10/03/19 | 3,000,000.00                |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,042,540.00    | 10/31/19                  |
| U.S. TREASURY                             | 1.625                             |          | 3,000,000.00               | 10/16/19 | 3,000,000.00                |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,009,840.00    | 10/31/19                  |
| U.S. TREASURY                             | 2.000                             | 09/27/19 | 3,000,000.00               | 10/22/19 | 3,000,000.00                |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,031,250.00    | 10/31/19                  |
| U.S. TREASURY                             | 1.250                             |          | 45,000,000.00              |          | 9,000,000.00                |      |                                 |      | 54,000,000.00                  | 54,000,000.00 | 54,186,060.00   |                           |
| FEDERAL HOME LOAN BANKS                   |                                   |          |                            |          |                             |      |                                 |      |                                |               |                 |                           |
| FHLB                                      | 1.750                             | 06/30/15 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,003,450.00    | 08/12/19                  |
| FHLB                                      | 1.625                             | 07/27/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,000,480.00    | 07/29/19                  |
| FHLB                                      | 2.625                             | 09/12/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,024,570.00    | 08/21/19                  |
| FHLB                                      | 1.625                             | 10/06/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,001,580.00    | 09/11/19                  |
| FHLB                                      | 2.875                             | 09/22/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,032,040.00    | 09/11/19                  |
| FHLB                                      | 1.875                             | 05/17/17 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,013,890.00    | 08/11/19                  |
| FHLB                                      | 2.375                             | 10/07/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,042,690.00    | 09/10/19                  |
| FHLB                                      | 2.000                             | 11/10/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,276,162.50    | 05/10/19                  |
| FHLB                                      | 1.875                             | 12/13/16 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,020,040.00    | 05/29/18                  |
| FHLB                                      | 2.625                             | 03/21/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,074,400.00    | 09/11/19                  |
| FHLB                                      | 2.000                             | 05/24/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,036,370.00    | 09/09/19                  |
| FHLB                                      | 3.125                             | 08/02/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,128,600.00    | 09/09/19                  |
| FHLB                                      | 2.650                             | 02/28/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,008,400.00    | 06/28/19                  |
| FHLB                                      | 2.750                             | 03/21/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,114,510.00    | 09/10/19                  |
| FHLB                                      | 2.125                             | 06/10/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,058,260.00    | 09/10/19                  |
| FHLB                                      | 3.375                             | 12/07/18 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,394,440.00    | 09/09/19                  |
| FHLB                                      | 3.375                             | 02/13/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,045,720.00    | 09/09/19                  |
| FHLB                                      | 1.875                             | 08/21/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,102,150.00    | 09/09/19                  |
| FHLB                                      | 2.375                             | 04/26/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  | 3,171,360.00    | 09/09/19                  |
| FHLB                                      | 2.875                             | 09/25/19 | 3,000,000.00               |          |                             |      |                                 |      | 3,000,000.00                   | 3,000,000.00  |                 |                           |
|   |                                   |          | 60,250,000.00              |          |                             |      |                                 |      | 60,250,000.00                  | 60,250,000.00 | 61,552,122.50   |                           |



CASH AND INVESTMENT REPORT  
PERIOD ENDING OCTOBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |          | CURRENT MONTH<br>PURCHASES |      | CURRENT MONTH<br>MATURITIES |              | ENDING INVESTMENT<br>MATURITIES |          | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE   | MARKET<br>VALUE | LAST<br>INT RECD<br>DATE |
|---|-----------------------------------|----------|----------------------------|------|-----------------------------|--------------|---------------------------------|----------|--------------------------------|----------------|-----------------|--------------------------|
|   | RATE %                            | DATE     | AMOUNT                     | DATE | AMOUNT                      | DATE         | AMOUNT                          | DATE     |                                |                |                 |                          |
| FEDERAL FARM CREDIT BANKS                 |                                   |          |                            |      |                             |              |                                 |          |                                |                |                 |                          |
| FFCB                                      | 1.520                             | 03/02/17 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 03/02/20 |                                | 3,000,000.00   | 2,999,550.00    | 09/03/19                 |
| FFCB                                      | 2.550                             | 06/20/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 06/11/20 |                                | 3,000,000.00   | 3,014,780.00    | 06/11/19                 |
| FFCB                                      | 2.350                             | 03/01/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 02/12/21 |                                | 3,000,000.00   | 3,023,880.00    | 08/12/19                 |
| FFCB                                      | 1.280                             | 10/06/16 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 09/29/21 |                                | 3,000,000.00   | 2,981,780.00    | 09/30/19                 |
| FFCB                                      | 2.200                             | 06/05/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 02/18/22 |                                | 3,000,000.00   | 3,042,390.00    | 08/28/19                 |
| FFCB                                      | 2.600                             | 03/21/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 03/21/22 |                                | 3,000,000.00   | 3,071,890.00    | 09/23/19                 |
| FFCB                                      | 2.840                             | 07/20/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 06/20/22 |                                | 3,000,000.00   | 3,105,300.00    | 06/20/19                 |
| FFCB                                      | 2.800                             | 07/18/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 07/18/22 |                                | 3,000,000.00   | 3,104,340.00    | 07/18/19                 |
| FFCB                                      | 1.820                             | 08/27/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 08/19/22 |                                | 3,000,000.00   | 3,000,980.00    | 06/20/19                 |
| FFCB                                      | 2.650                             | 03/08/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 02/16/23 |                                | 3,000,000.00   | 3,103,440.00    | 08/16/19                 |
| FFCB                                      | 2.880                             | 09/12/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 03/08/23 |                                | 3,000,000.00   | 3,112,850.00    | 06/09/19                 |
| FFCB                                      | 2.550                             | 04/10/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 06/19/23 |                                | 3,000,000.00   | 3,145,990.00    | 06/19/19                 |
| FFCB                                      | 2.300                             | 05/13/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 08/02/23 |                                | 3,000,000.00   | 3,112,860.00    | 08/02/19                 |
| FFCB                                      | 2.230                             | 05/23/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 11/08/23 |                                | 3,000,000.00   | 3,089,910.00    | 08/02/19                 |
| FFCB                                      | 2.080                             | 09/10/19 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 02/23/24 |                                | 3,000,000.00   | 3,085,560.00    | 08/23/19                 |
|   |                                   |          | 48,000,000.00              |      |                             |              | 48,000,000.00                   | 09/10/24 |                                | 48,000,000.00  | 49,867,280.00   |                          |
| FED NAT MORT ASSOC                        |                                   |          |                            |      |                             |              |                                 |          |                                |                |                 |                          |
| FNMA                                      | 1.100                             | 10/17/16 | 3,000,000.00               |      | 10/17/19                    | 3,000,000.00 |                                 | 10/17/19 | 16,500.00                      |                |                 | 10/17/19                 |
| FNMA                                      | 1.320                             | 06/03/15 | 2,000,000.00               |      | 10/22/19                    | 2,000,000.00 |                                 | 10/22/19 | 13,200.00                      |                |                 | 10/22/19                 |
| FNMA                                      | 1.000                             | 07/13/18 | 1,000,000.00               |      | 10/24/19                    | 1,000,000.00 |                                 | 10/24/19 | 5,000.00                       |                |                 | 10/24/19                 |
| FNMA                                      | 1.750                             | 05/07/15 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 1/26/19  |                                | 3,000,000.00   | 3,000,270.00    | 05/28/19                 |
| FNMA                                      | 1.500                             | 01/29/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 02/28/20 |                                | 3,000,000.00   | 2,999,010.00    | 08/28/19                 |
| FNMA                                      | 1.500                             | 05/04/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 07/30/20 |                                | 3,000,000.00   | 2,997,240.00    | 07/30/19                 |
| FNMA                                      | 1.750                             | 10/26/17 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 10/26/20 |                                | 3,000,000.00   | 3,002,180.00    | 10/28/19                 |
| FNMA                                      | 1.500                             | 12/23/15 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 11/30/20 |                                | 3,000,000.00   | 2,996,970.00    | 05/30/19                 |
| FNMA                                      | 1.520                             | 07/26/16 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 07/28/21 |                                | 3,000,000.00   | 2,995,020.00    | 07/29/19                 |
| FNMA                                      | 1.375                             | 10/07/16 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 10/07/21 |                                | 3,000,000.00   | 2,989,050.00    | 10/07/19                 |
| FNMA                                      | 2.000                             | 05/04/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 10/05/22 |                                | 3,000,000.00   | 3,039,720.00    | 10/07/19                 |
|   |                                   |          | 30,000,000.00              |      | 6,000,000.00                |              | 24,000,000.00                   |          | 111,575.00                     | 24,000,000.00  | 24,019,440.00   |                          |
| FEDERAL HOME LOAN MTG CORP                |                                   |          |                            |      |                             |              |                                 |          |                                |                |                 |                          |
| FHLMC                                     | 3.000                             | 08/17/18 | 3,000,000.00               |      |                             |              | 3,000,000.00                    | 08/17/22 |                                | 3,000,000.00   | 3,024,990.00    | 08/19/19                 |
|   |                                   |          | 3,000,000.00               |      |                             |              | 3,000,000.00                    |          |                                | 3,000,000.00   | 3,024,990.00    |                          |
|   |                                   |          | 233,078,489.50             |      | 11,000,000.00               |              | 231,388,656.84                  |          | 500,492.34                     | 189,250,000.00 | 191,849,892.50  |                          |
| <b>SUBTOTAL</b>                           |                                   |          |                            |      |                             |              |                                 |          |                                |                |                 |                          |

CASH AND INVESTMENT REPORT  
PERIOD ENDING OCTOBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |      | CURRENT MONTH<br>PURCHASES |      | CURRENT MONTH<br>MATURITIES |      | ENDING INVESTMENT<br>MATURITIES |      | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|-----------------------------------|------|----------------------------|------|-----------------------------|------|---------------------------------|------|--------------------------------|--------------|-----------------|---------------------------|
|   | RATE %                            | DATE | AMOUNT                     | DATE | AMOUNT                      | DATE | AMOUNT                          | DATE |                                |              |                 |                           |

CASH WITH FISCAL AGENTS

|   |  |          |                       |  |  |  |          |                       |  |  |  |  |
|---|--|----------|-----------------------|--|--|--|----------|-----------------------|--|--|--|--|
| <b>CITY RESTRICTED INVESTMENTS</b>      |  |          |                       |  |  |  |          |                       |  |  |  |  |
| 2010 WATER                              |  | 10/31/19 | 701,636.37            |  |  |  | 10/31/19 | 701,636.37            |  |  |  |  |
| 2015-04 Refunding                       |  | 10/31/19 | 108.66                |  |  |  | 10/31/19 | 108.66                |  |  |  |  |
| 2015A-02 Refunding                      |  | 10/31/19 | 1,563,192.07          |  |  |  | 10/31/19 | 1,563,192.07          |  |  |  |  |
| 2017 SEWER REF                          |  | 10/31/19 | 665.35                |  |  |  | 10/31/19 | 665.35                |  |  |  |  |
| <b>SUBTOTAL</b>                         |  |          | <b>2,265,602.45</b>   |  |  |  |          | <b>2,265,602.45</b>   |  |  |  |  |
| <b>SUCCESSOR RESTRICTED INVESTMENTS</b> |  |          |                       |  |  |  |          |                       |  |  |  |  |
| 2008 Karella Cottages (VanKampan)       |  | 10/31/19 | 177,428.42            |  |  |  | 10/31/19 | 177,428.42            |  |  |  |  |
| LIBOC                                   |  | 10/31/19 | 159,311.94            |  |  |  | 10/31/19 | 159,311.94            |  |  |  |  |
| 2014 TARB                               |  | 10/31/19 | 3,912,019.18          |  |  |  | 10/31/19 | 3,912,019.18          |  |  |  |  |
| 2016 TAB                                |  | 10/31/19 | 206.36                |  |  |  | 10/31/19 | 206.36                |  |  |  |  |
| <b>SUBTOTAL</b>                         |  |          | <b>4,248,965.90</b>   |  |  |  |          | <b>4,248,965.90</b>   |  |  |  |  |
| <b>TOTAL INVESTMENTS</b>                |  |          | <b>239,593,057.85</b> |  |  |  |          | <b>237,903,225.19</b> |  |  |  |  |
|   |  |          | <b>9,310,167.34</b>   |  |  |  |          | <b>11,000,000.00</b>  |  |  |  |  |

CASH AND INVESTMENT REPORT  
PERIOD ENDING OCTOBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |            | CURRENT MONTH MATURITIES |          | ENDING INVESTMENT MATURITIES |      | PERIOD INTEREST RECEIVED | PAR VALUE             | MARKET VALUE | LAST INT REC'D DATE   |
|---|--------------------------------|----------|-------------------------|------------|--------------------------|----------|------------------------------|------|--------------------------|-----------------------|--------------|-----------------------|
|   | RATE %                         | DATE     | AMOUNT                  | DATE       | AMOUNT                   | DATE     | AMOUNT                       | DATE |                          |                       |              |                       |
| <b>CASH IN BANK</b>                       |                                |          |                         |            |                          |          |                              |      |                          |                       |              |                       |
| <b>UNION BANK OF CALIFORNIA</b>           |                                |          |                         |            |                          |          |                              |      |                          |                       |              |                       |
| Charge Back Account                       |                                | 10/31/19 | 9,875.24                | 2042201638 |                          | 10/31/19 |                              |      |                          |                       |              |                       |
| General Account                           |                                | 10/31/19 | 9,972,921.88            | 2042850020 |                          | 10/31/19 | 9,972,921.88                 |      |                          |                       |              |                       |
| Home                                      |                                | 10/31/19 | -                       | 2042436354 |                          | 10/31/19 | -                            |      |                          |                       |              |                       |
| Housing Authority (Accounting)            |                                | 10/31/19 | -                       | 2042114021 |                          | 10/31/19 | -                            |      |                          |                       |              |                       |
| Housing Authority Escrow                  |                                | 10/31/19 | 235,689.59              | 2042439436 |                          | 10/31/19 | 235,689.59                   |      |                          |                       |              |                       |
| Liability                                 |                                | 10/31/19 | 80,355.46               | 2042205571 |                          | 10/31/19 | 80,355.46                    |      |                          |                       |              |                       |
| Workers Comp                              |                                | 10/31/19 | 680,843.13              | 2042237764 |                          | 10/31/19 | 680,843.13                   |      |                          |                       |              |                       |
| Successor Agency                          |                                | 10/31/19 | 75,764.76               | 2740032316 |                          | 10/31/19 | 75,764.76                    |      |                          |                       |              |                       |
| <b>SUBTOTAL</b>                           |                                |          | <b>11,055,450.06</b>    |            |                          |          | <b>11,055,450.06</b>         |      |                          |                       |              |                       |
| <b>PETTY CASH ACCOUNTS</b>                |                                |          |                         |            |                          |          |                              |      |                          |                       |              |                       |
| Change Fund                               |                                | 10/31/19 | 3,680.00                |            |                          | 10/31/19 | 3,680.00                     |      |                          |                       |              |                       |
| Finance                                   |                                | 10/31/19 | 3,000.00                |            |                          | 10/31/19 | 3,000.00                     |      |                          |                       |              |                       |
| Departments                               |                                | 10/31/19 | 3,550.00                |            |                          | 10/31/19 | 3,550.00                     |      |                          |                       |              |                       |
| Police Dept-SIU                           |                                | 10/31/19 | 30,000.00               |            |                          | 10/31/19 | 30,000.00                    |      |                          |                       |              |                       |
| Police Dept-Drug                          |                                | 10/31/19 | 3,500.00                |            |                          | 10/31/19 | 3,500.00                     |      |                          |                       |              |                       |
| Police Dept-Reg                           |                                | 10/31/19 | 200.00                  |            |                          | 10/31/19 | 200.00                       |      |                          |                       |              |                       |
| <b>SUBTOTAL</b>                           |                                |          | <b>43,930.00</b>        |            |                          |          | <b>43,930.00</b>             |      |                          |                       |              |                       |
| <b>GRAND TOTAL CASH &amp; INVESTMENTS</b> |                                |          | <b>9,310,167.34</b>     |            | <b>11,000,000.00</b>     |          | <b>249,002,605.25</b>        |      | <b>500,492.34</b>        | <b>189,250,000.00</b> |              | <b>181,649,892.50</b> |

## City of Garden Grove

### INTER-DEPARTMENT MEMORANDUM

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR NOVEMBER 2019

From: Patricia Song  
Dept: Finance  
Date: January 27, 2020

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Enclosed is the November 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of November 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$60,000,000         | 25.115%         |
| Fed Home Loan Banks     | \$60,250,000         | 25.219%         |
| Fed Farm Credit Banks   | \$51,000,000         | 21.347%         |
| Fed Nat Mort Assoc      | \$21,000,000         | 8.790%          |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.256%          |
| City LAIF               | \$37,138,657         | 15.545%         |
| Cash with Fiscal Agents | \$6,515,617          | 2.727%          |
| <b>Total</b>            | <b>\$238,904,274</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of November 2019, 2.727% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

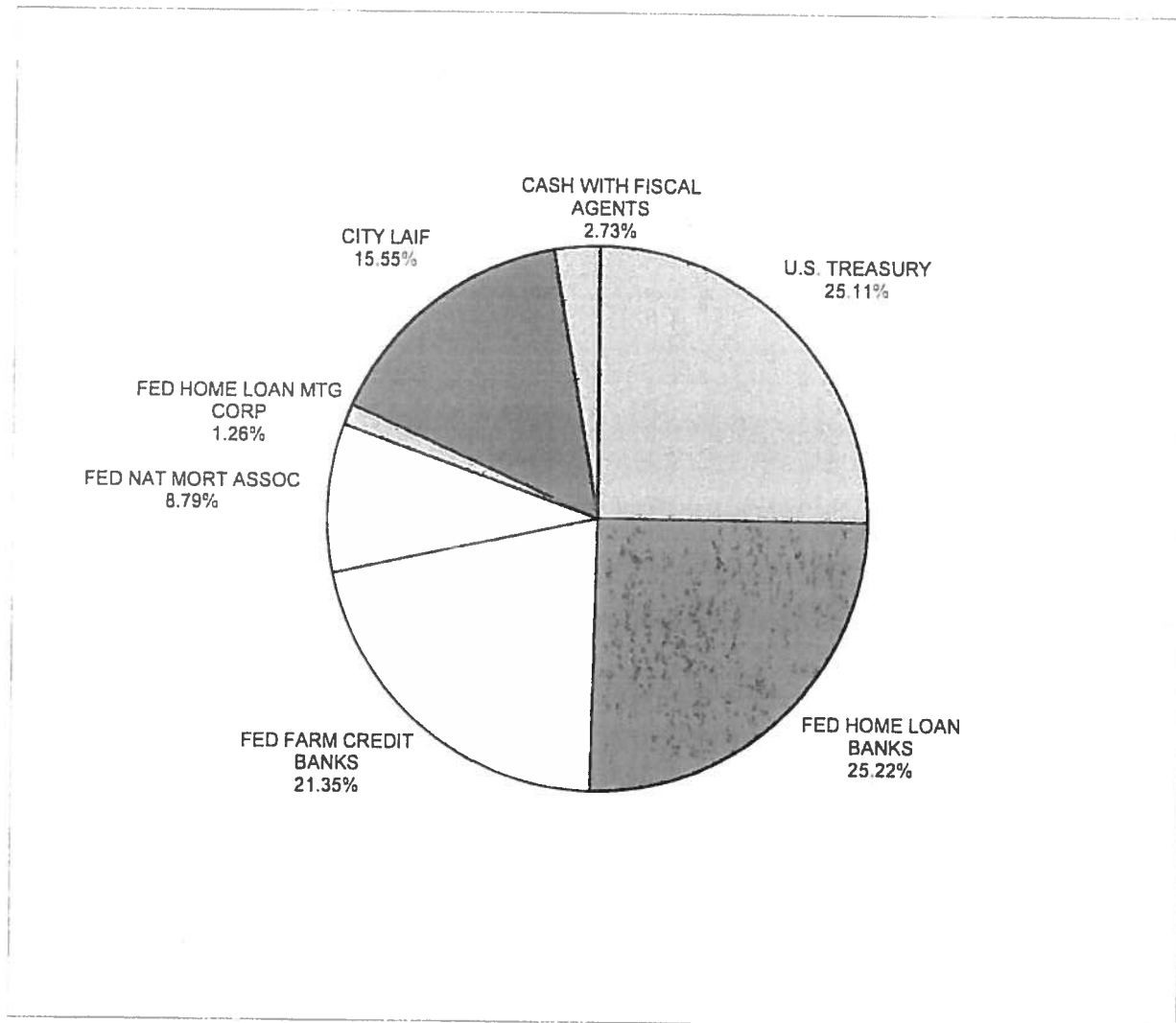
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY November 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> | <u>\$</u>      | <u>%</u> |
|---|----------------|----------|
| U.S. TREASURY                               | \$ 60,000,000  | 25.115%  |
| FED HOME LOAN BANKS                         | \$ 60,250,000  | 25.219%  |
| FED FARM CREDIT BANKS                       | \$ 51,000,000  | 21.347%  |
| FED NAT MORT ASSOC                          | \$ 21,000,000  | 8.790%   |
| FED HOME LOAN MTG CORP                      | \$ 3,000,000   | 1.256%   |
| CITY LAIF                                   | \$ 37,138,657  | 15.545%  |
| CASH WITH FISCAL AGENTS                     | \$ 6,515,617   | 2.727%   |
| TOTAL OF INVESTMENTS                        | \$ 238,904,274 | 100.000% |



**WEIGHTED AVERAGE MATURITIES**  
**November 2019**

| Investment      | Yield (Rate) | UBOC Held | Amount<br>(a) | Date of Maturity | No. Days to Mat.<br>11/30/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|--------------|-----------|---------------|------------------|--|---------------------------------------|-------------------------------|
| <b>TREASURY</b> |              |           |               |                  |  |                                       |                               |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 03/31/20         | 122  | 1.29094%                              | 1.575                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 08/31/20         | 275  | 1.29094%                              | 3.550                         |
| U S TREASURY    | 1.375        | *         | 3,000,000     | 10/31/20         | 336  | 1.29094%                              | 4.338                         |
| U S TREASURY    | 1.625        | *         | 3,000,000     | 11/30/20         | 366  | 1.29094%                              | 4.725                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 02/28/21         | 456  | 1.29094%                              | 5.887                         |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 03/31/21         | 487  | 1.29094%                              | 6.287                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 03/31/21         | 487  | 1.29094%                              | 6.287                         |
| U S TREASURY    | 1.125        | *         | 3,000,000     | 06/30/21         | 578  | 1.29094%                              | 7.462                         |
| U S TREASURY    | 2.250        | *         | 3,000,000     | 07/31/21         | 609  | 1.29094%                              | 7.862                         |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 08/31/21         | 640  | 1.29094%                              | 8.262                         |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 03/31/22         | 852  | 1.29094%                              | 10.999                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 10/31/21         | 701  | 1.29094%                              | 9.049                         |
| U S TREASURY    | 2.125        | *         | 3,000,000     | 06/30/22         | 943  | 1.29094%                              | 12.174                        |
| U S TREASURY    | 1.750        | *         | 3,000,000     | 07/31/22         | 974  | 1.29094%                              | 12.574                        |
| U S TREASURY    | 1.875        | *         | 3,000,000     | 10/31/22         | 1,066                                      | 1.29094%                              | 13.761                        |
| U S TREASURY    | 1.625        | *         | 3,000,000     | 11/15/22         | 1,081                                      | 1.29094%                              | 13.955                        |
| U S TREASURY    | 2.000        | *         | 3,000,000     | 11/30/22         | 1,096                                      | 1.29094%                              | 14.149                        |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 07/31/23         | 1,339                                      | 1.29094%                              | 17.286                        |
| U S TREASURY    | 1.250        | *         | 3,000,000     | 07/31/23         | 1,339                                      | 1.29094%                              | 17.286                        |
| U S TREASURY    | 2.875        | *         | 3,000,000     | 10/31/23         | 1,431                                      | 1.29094%                              | 18.473                        |
| <b>CITY</b>     |              |           |               |                  |  |                                       |                               |
| FHLB            | 1.750        | *         | 3,000,000     | 06/12/20         | 195  | 1.29094%                              | 2.517                         |
| FHLB            | 1.625        | *         | 3,000,000     | 07/27/20         | 240  | 1.29094%                              | 3.098                         |
| FHLB            | 2.625        | *         | 3,000,000     | 08/21/20         | 265  | 1.29094%                              | 3.421                         |
| FHLB            | 1.625        | *         | 3,000,000     | 09/11/20         | 286  | 1.29094%                              | 3.692                         |
| FHLB            | 2.875        | *         | 3,000,000     | 09/11/20         | 286  | 1.29094%                              | 3.692                         |
| FHLB            | 1.875        | *         | 3,000,000     | 06/11/21         | 559  | 1.29094%                              | 7.216                         |
| FHLB            | 2.375        | *         | 3,000,000     | 09/10/21         | 650  | 1.29094%                              | 8.391                         |
| FHLB            | 2.000        | *         | 3,250,000     | 11/10/21         | 711  | 1.39852%                              | 9.943                         |
| FHLB            | 1.875        | *         | 3,000,000     | 11/29/21         | 730  | 1.29094%                              | 9.424                         |
| FHLB            | 2.625        | *         | 3,000,000     | 03/11/22         | 832  | 1.29094%                              | 10.741                        |
| FHLB            | 2.000        | *         | 3,000,000     | 09/09/22         | 1,014                                      | 1.29094%                              | 13.090                        |
| FHLB            | 3.125        | *         | 3,000,000     | 09/09/22         | 1,014                                      | 1.29094%                              | 13.090                        |
| FHLB            | 2.650        | *         | 3,000,000     | 02/28/23         | 1,186                                      | 1.29094%                              | 15.311                        |
| FHLB            | 2.750        | *         | 3,000,000     | 03/10/23         | 1,196                                      | 1.29094%                              | 15.440                        |
| FHLB            | 2.125        | *         | 3,000,000     | 06/09/23         | 1,287                                      | 1.29094%                              | 16.614                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,378                                      | 1.29094%                              | 17.789                        |
| FHLB            | 3.375        | *         | 3,000,000     | 09/08/23         | 1,378                                      | 1.29094%                              | 17.789                        |
| FHLB            | 1.875        | *         | 3,000,000     | 03/08/24         | 1,560                                      | 1.29094%                              | 20.139                        |
| FHLB            | 2.375        | *         | 3,000,000     | 03/08/24         | 1,560                                      | 1.29094%                              | 20.139                        |
| FHLB            | 2.875        | *         | 3,000,000     | 09/13/24         | 1,749                                      | 1.29094%                              | 22.579                        |
| <b>FFCB</b>     |              |           |               |                  |  |                                       |                               |
| FFCB            | 1.520        | *         | 3,000,000     | 03/02/20         | 93   | 1.29094%                              | 1.201                         |
| FFCB            | 2.550        | *         | 3,000,000     | 06/11/20         | 194  | 1.29094%                              | 2.504                         |
| FFCB            | 2.350        | *         | 3,000,000     | 02/12/21         | 440  | 1.29094%                              | 5.680                         |
| FFCB            | 1.280        | *         | 3,000,000     | 09/29/21         | 669  | 1.29094%                              | 8.636                         |
| FFCB            | 2.200        | *         | 3,000,000     | 02/28/22         | 821  | 1.29094%                              | 10.599                        |
| FFCB            | 2.600        | *         | 3,000,000     | 03/21/22         | 842  | 1.29094%                              | 10.870                        |
| FFCB            | 2.840        | *         | 3,000,000     | 06/20/22         | 933  | 1.29094%                              | 12.044                        |
| FFCB            | 2.800        | *         | 3,000,000     | 07/18/22         | 961  | 1.29094%                              | 12.406                        |
| FFCB            | 1.820        | *         | 3,000,000     | 08/19/22         | 993  | 1.29094%                              | 12.819                        |
| FFCB            | 2.570        | *         | 3,000,000     | 02/16/23         | 1,174                                      | 1.29094%                              | 15.156                        |
| FFCB            | 2.650        | *         | 3,000,000     | 03/08/23         | 1,194                                      | 1.29094%                              | 15.414                        |
| FFCB            | 2.890        | *         | 3,000,000     | 06/19/23         | 1,297                                      | 1.29094%                              | 16.744                        |
| FFCB            | 2.550        | *         | 3,000,000     | 08/02/23         | 1,341                                      | 1.29094%                              | 17.312                        |
| FFCB            | 2.300        | *         | 3,000,000     | 11/08/23         | 1,439                                      | 1.29094%                              | 18.577                        |
| FFCB            | 2.230        | *         | 3,000,000     | 02/23/24         | 1,546                                      | 1.29094%                              | 19.958                        |
| FFCB            | 2.080        | *         | 3,000,000     | 09/10/24         | 1,746                                      | 1.29094%                              | 22.540                        |
| FFCB            | 1.625        | *         | 3,000,000     | 11/08/24         | 1,805                                      | 1.29094%                              | 23.301                        |
| <b>FNMA</b>     |              |           |               |                  |  |                                       |                               |
| FNMA            | 1.500        | *         | 3,000,000     | 02/28/20         | 90   | 1.29094%                              | 1.162                         |
| FNMA            | 1.500        | *         | 3,000,000     | 07/30/20         | 243  | 1.29094%                              | 3.137                         |

**WEIGHTED AVERAGE MATURITIES**  
November 2019

| Investment                        | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat.<br>11/30/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------------------------|--------------|-----------|--------------------|------------------|--|---------------------------------------|-------------------------------|
| FNMA                              | 1.750        | *         | 3,000,000          | 10/26/20         | 331  | 1.29094%                              | 4.273                         |
| FNMA                              | 1.500        | *         | 3,000,000          | 11/30/20         | 366  | 1.29094%                              | 4.725                         |
| FNMA                              | 1.520        | *         | 3,000,000          | 07/28/21         | 606  | 1.29094%                              | 7.823                         |
| FNMA                              | 1.375        | *         | 3,000,000          | 10/07/21         | 677  | 1.29094%                              | 8.740                         |
| FNMA                              | 2.000        | *         | 3,000,000          | 10/05/22         | 1,040                                      | 1.29094%                              | 13.426                        |
| FHLMC                             | 3.000        | *         | 3,000,000          | 08/17/22         | 991  | 1.29094%                              | 12.793                        |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |              |           |                    |                  |  |                                       |                               |
| LAIF                              | 0.500        |           | 37,138,657         | 11/30/19         | -  | 15.98127%                             | 0.000                         |
|                                   |              |           | 232,388,657        |                  | 55,086                                     | 100.00000%                            | 712                           |
| <b>RESTRICTED</b>                 |              |           |                    |                  |  |                                       | <b>Wtd. Avg. Maturity</b>     |
| 2008 Katella Cottages             |              |           | 177,627            | 11/30/19         | -  | 2.72618%                              | 0.000                         |
| 2008 UBOC                         |              |           | 159,374            | 11/30/19         | -  | 2.44603%                              | 0.000                         |
| 2010 Water                        |              |           | 701,726            | 11/30/19         | -  | 10.76990%                             | 0.000                         |
| 2014 TARB                         |              |           | 3,912,518          | 11/30/19         | -  | 60.04830%                             | 0.000                         |
| 2015 TARB                         |              |           | 109                | 11/30/19         | -  | 0.00167%                              | 0.000                         |
| 2015A COP's                       |              |           | 1,563,391          | 11/30/19         | -  | 23.99452%                             | 0.000                         |
| 2016 TAB                          |              |           | 206                | 11/30/19         | -  | 0.00317%                              | 0.000                         |
| 2017 SEWER REF                    |              |           | 666                | 11/30/19         | -  | 0.01022%                              | 0.000                         |
|                                   |              |           | 6,515,617          |                  | -  | 100.00000%                            | -                             |
| <b>Investment Total</b>           |              |           | <b>238,904,274</b> |                  |  |                                       |                               |

CASH AND INVESTMENT REPORT  
PERIOD ENDING NOVEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES<br>RATE % | DATE     | AMOUNT            | CURRENT MONTH<br>PURCHASES |              | CURRENT MONTH<br>MATURITIES |              | DATE     | ENDING INVESTMENT<br>MATURITIES<br>AMOUNT | PERIOD<br>INTEREST<br>RECEIVED | FAR<br>VALUE  | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|---|----------|-------------------|----------------------------|--------------|-----------------------------|--------------|----------|---|--------------------------------|---------------|-----------------|---------------------------|
|   |   |          |                   | DATE                       | AMOUNT       | DATE                        | AMOUNT       |          |   |                                |               |                 |                           |
| LAIF                                      | 0.500                                       |          | 42,138,656.84     |                            | 5,000,000.00 |                             | 5,000,000.00 |          | 37,138,656.84                             |                                |               |                 | 10/15/19                  |
|   |   |          | 42,138,656.84     |                            | 5,000,000.00 |                             | 5,000,000.00 |          | 37,138,656.84                             |                                |               |                 |                           |
|   |   |          |                   |                            |              |                             |              |          |   |                                |               |                 |                           |
| UNITED STATES TREASURY                    |   |          | SHARES/UNITS HELD |                            |              |                             |              |          |   |                                |               |                 |                           |
| U.S. TREASURY                             | 1.375                                       | 11/05/15 | 3,000,000.00      |                            |              |                             |              | 03/31/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,995,940.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.375                                       | 03/29/18 | 3,000,000.00      |                            |              |                             |              | 08/31/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,993,190.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.375                                       | 05/12/17 | 3,000,000.00      |                            |              |                             |              | 10/31/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,981,210.00    | 10/31/19                  |
| U.S. TREASURY                             | 1.625                                       | 12/15/16 | 3,000,000.00      |                            |              |                             |              | 11/30/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,977,790.00    | 05/31/19                  |
| U.S. TREASURY                             | 1.125                                       | 01/25/17 | 3,000,000.00      |                            |              |                             |              | 02/28/21 | 3,000,000.00                              |                                | 3,000,000.00  | 2,978,790.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.250                                       | 01/05/18 | 3,000,000.00      |                            |              |                             |              | 03/31/21 | 3,000,000.00                              |                                | 3,000,000.00  | 2,982,540.00    | 09/30/19                  |
| U.S. TREASURY                             | 2.250                                       | 03/30/17 | 3,000,000.00      |                            |              |                             |              | 06/30/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,022,020.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.125                                       | 03/30/17 | 3,000,000.00      |                            |              |                             |              | 07/31/21 | 3,000,000.00                              |                                | 3,000,000.00  | 2,974,560.00    | 07/01/19                  |
| U.S. TREASURY                             | 2.250                                       | 12/15/16 | 3,000,000.00      |                            |              |                             |              | 08/31/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,028,140.00    | 07/31/19                  |
| U.S. TREASURY                             | 2.000                                       | 07/18/17 | 3,000,000.00      |                            |              |                             |              | 10/31/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,017,460.00    | 02/28/19                  |
| U.S. TREASURY                             | 1.750                                       | 08/21/17 | 3,000,000.00      |                            |              |                             |              | 10/31/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,020,160.00    | 10/31/19                  |
| U.S. TREASURY                             | 2.125                                       | 07/07/17 | 3,000,000.00      |                            |              |                             |              | 06/30/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,010,200.00    | 09/30/19                  |
| U.S. TREASURY                             | 1.750                                       | 10/06/17 | 3,000,000.00      |                            |              |                             |              | 07/31/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,039,720.00    | 07/01/19                  |
| U.S. TREASURY                             | 1.875                                       | 10/03/19 | 3,000,000.00      |                            |              |                             |              | 10/31/22 | 3,000,000.00                              | 24,375.00                      | 3,000,000.00  | 3,020,860.00    | 07/31/19                  |
| U.S. TREASURY                             | 1.625                                       | 10/16/19 | 3,000,000.00      |                            |              |                             |              | 11/15/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,001,880.00    | 10/31/18                  |
| U.S. TREASURY                             | 2.000                                       | 09/27/19 | 3,000,000.00      |                            |              |                             |              | 11/30/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,034,230.00    | 11/16/19                  |
| U.S. TREASURY                             | 1.250                                       | 10/22/19 | 3,000,000.00      |                            |              |                             |              | 07/31/23 | 3,000,000.00                              |                                | 3,000,000.00  | 5,923,140.00    |                           |
| U.S. TREASURY                             | 1.250                                       |          | 3,000,000.00      | 11/26/19                   |              |                             |              | 07/31/23 | 3,000,000.00                              |                                | 3,000,000.00  | 3,141,330.00    |                           |
| U.S. TREASURY                             | 2.875                                       |          | 3,000,000.00      | 11/20/19                   |              |                             |              | 10/31/23 | 3,000,000.00                              |                                | 3,000,000.00  |                 |                           |
|   |   |          | 54,000,000.00     |                            |              |                             |              |          | 60,000,000.00                             | 24,375.00                      | 60,000,000.00 | 60,197,010.00   |                           |
| FEDERAL HOME LOAN BANKS                   |   |          |                   |                            |              |                             |              |          |   |                                |               |                 |                           |
| FHLB                                      | 1.750                                       | 06/30/15 | 3,000,000.00      |                            |              |                             |              | 06/12/20 | 3,000,000.00                              |                                | 3,000,000.00  | 3,002,190.00    | 06/12/19                  |
| FHLB                                      | 1.625                                       | 07/27/17 | 3,000,000.00      |                            |              |                             |              | 07/27/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,999,550.00    | 07/29/19                  |
| FHLB                                      | 2.625                                       | 09/12/18 | 3,000,000.00      |                            |              |                             |              | 08/21/20 | 3,000,000.00                              |                                | 3,000,000.00  | 3,021,090.00    | 08/21/19                  |
| FHLB                                      | 1.625                                       | 10/06/17 | 3,000,000.00      |                            |              |                             |              | 09/11/20 | 3,000,000.00                              |                                | 3,000,000.00  | 2,997,570.00    | 09/11/19                  |
| FHLB                                      | 2.875                                       | 09/22/17 | 3,000,000.00      |                            |              |                             |              | 09/11/20 | 3,000,000.00                              |                                | 3,000,000.00  | 3,027,930.00    | 09/11/19                  |
| FHLB                                      | 1.875                                       | 05/17/17 | 3,000,000.00      |                            |              |                             |              | 06/11/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,009,510.00    | 06/11/19                  |
| FHLB                                      | 2.375                                       | 10/07/16 | 3,000,000.00      |                            |              |                             |              | 09/10/21 | 3,000,000.00                              |                                | 3,000,000.00  | 3,289,467.50    | 08/10/19                  |
| FHLB                                      | 2.000                                       | 11/10/16 | 3,000,000.00      |                            |              |                             |              | 11/10/21 | 3,000,000.00                              | 32,500.00                      | 3,250,000.00  | 3,036,130.00    | 06/11/19                  |
| FHLB                                      | 1.875                                       | 12/13/16 | 3,000,000.00      |                            |              |                             |              | 03/11/22 | 3,000,000.00                              | 28,125.00                      | 3,000,000.00  | 3,015,060.00    | 11/21/19                  |
| FHLB                                      | 2.625                                       | 03/21/18 | 3,000,000.00      |                            |              |                             |              | 09/09/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,067,230.00    | 11/29/19                  |
| FHLB                                      | 2.000                                       | 05/24/19 | 3,000,000.00      |                            |              |                             |              | 09/09/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,026,430.00    | 09/09/19                  |
| FHLB                                      | 3.125                                       | 08/02/18 | 3,000,000.00      |                            |              |                             |              | 09/09/22 | 3,000,000.00                              |                                | 3,000,000.00  | 3,120,000.00    | 09/09/19                  |
| FHLB                                      | 2.650                                       | 02/28/18 | 3,000,000.00      |                            |              |                             |              | 02/28/23 | 3,000,000.00                              |                                | 3,000,000.00  | 3,005,370.00    | 06/28/19                  |
| FHLB                                      | 2.750                                       | 03/21/18 | 3,000,000.00      |                            |              |                             |              | 03/10/23 | 3,000,000.00                              |                                | 3,000,000.00  | 3,101,780.00    | 09/10/19                  |
| FHLB                                      | 2.125                                       | 06/10/19 | 3,000,000.00      |                            |              |                             |              | 06/09/23 | 3,000,000.00                              |                                | 3,000,000.00  | 3,048,540.00    | 09/10/19                  |
| FHLB                                      | 3.375                                       | 12/07/18 | 3,000,000.00      |                            |              |                             |              | 09/08/23 | 3,000,000.00                              |                                | 3,000,000.00  | 6,375,000.00    | 09/09/19                  |
| FHLB                                      | 3.375                                       | 02/13/19 | 3,000,000.00      |                            |              |                             |              | 09/08/23 | 3,000,000.00                              |                                | 3,000,000.00  |                 |                           |
| FHLB                                      | 1.875                                       | 08/21/19 | 3,000,000.00      |                            |              |                             |              | 03/08/24 | 3,000,000.00                              |                                | 3,000,000.00  | 3,025,200.00    | 08/09/19                  |
| FHLB                                      | 2.375                                       | 04/26/19 | 3,000,000.00      |                            |              |                             |              | 03/08/24 | 3,000,000.00                              |                                | 3,000,000.00  | 3,089,010.00    | 08/09/19                  |
| FHLB                                      | 2.875                                       | 09/25/19 | 3,000,000.00      |                            |              |                             |              | 09/13/24 | 3,000,000.00                              |                                | 3,000,000.00  | 3,160,740.00    |                           |
|   |   |          | 60,250,000.00     |                            |              |                             |              |          | 60,250,000.00                             | 60,625.00                      | 60,250,000.00 | 61,397,737.50   |                           |



CASH AND INVESTMENT REPORT  
PERIOD ENDING NOVEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES |          | CURRENT MONTH<br>PURCHASES |          | CURRENT MONTH<br>MATURITIES |          | ENDING INVESTMENT<br>MATURITIES |               | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE   | MARKET<br>VALUE | LAST<br>INT RECD<br>DATE |
|---|-----------------------------------|----------|----------------------------|----------|-----------------------------|----------|---------------------------------|---------------|--------------------------------|----------------|-----------------|--------------------------|
|   | RATE %                            | DATE     | AMOUNT                     | DATE     | AMOUNT                      | DATE     | AMOUNT                          | DATE          |                                |                |                 |                          |
| <b>FEDERAL FARM CREDIT BANKS</b>          |                                   |          |                            |          |                             |          |                                 |               |                                |                |                 |                          |
| FFCB                                      | 1.520                             | 03/02/17 | 3,000,000.00               |          |                             | 03/02/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,998,460.00    | 09/03/19                 |
| FFCB                                      | 2.550                             | 06/20/18 | 3,000,000.00               |          |                             | 06/11/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,011,880.00    | 06/11/19                 |
| FFCB                                      | 2.350                             | 03/01/18 | 3,000,000.00               |          |                             | 02/12/21 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,024,480.00    | 08/12/19                 |
| FFCB                                      | 1.280                             | 10/06/16 | 3,000,000.00               |          |                             | 08/29/21 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,979,180.00    | 08/30/19                 |
| FFCB                                      | 2.200                             | 06/05/18 | 3,000,000.00               |          |                             | 02/28/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,036,780.00    | 08/29/19                 |
| FFCB                                      | 2.600                             | 03/21/18 | 3,000,000.00               |          |                             | 03/21/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,084,680.00    | 06/23/19                 |
| FFCB                                      | 2.840                             | 07/20/18 | 3,000,000.00               |          |                             | 08/20/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,088,050.00    | 07/18/19                 |
| FFCB                                      | 1.820                             | 08/27/19 | 3,000,000.00               |          |                             | 07/18/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,995,950.00    | 08/15/19                 |
| FFCB                                      | 2.570                             | 02/16/18 | 3,000,000.00               |          |                             | 06/19/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,086,700.00    | 09/09/19                 |
| FFCB                                      | 2.650                             | 03/08/18 | 3,000,000.00               |          |                             | 03/08/23 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,131,370.00    | 06/19/19                 |
| FFCB                                      | 2.890                             | 09/12/18 | 3,000,000.00               |          |                             | 06/19/23 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,099,240.00    | 08/02/19                 |
| FFCB                                      | 2.550                             | 04/10/19 | 3,000,000.00               |          |                             | 08/02/23 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,077,250.00    | 11/08/19                 |
| FFCB                                      | 2.300                             | 05/13/19 | 3,000,000.00               |          |                             | 11/08/23 | 3,000,000.00                    | 3,000,000.00  | 34,500.00                      | 3,000,000.00   | 3,073,590.00    | 08/23/19                 |
| FFCB                                      | 2.230                             | 05/23/19 | 3,000,000.00               |          |                             | 02/23/24 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,060,870.00    |                          |
| FFCB                                      | 2.080                             | 09/10/19 | 3,000,000.00               |          |                             | 09/10/24 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,998,020.00    |                          |
| FFCB                                      | 1.625                             |          |                            | 11/08/19 | 3,000,000.00                | 11/08/24 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   |                 |                          |
|   |                                   |          | 48,000,000.00              |          | 3,000,000.00                |          | 51,000,000.00                   | 51,000,000.00 | 34,500.00                      | 51,000,000.00  | 51,915,000.00   |                          |
| <b>FED NAT MORT ASSOC</b>                 |                                   |          |                            |          |                             |          |                                 |               |                                |                |                 |                          |
| FNMA                                      | 1.750                             | 05/07/15 | 3,000,000.00               |          |                             | 11/26/19 | 3,000,000.00                    | 3,000,000.00  | 26,250.00                      | 3,000,000.00   | 2,998,580.00    | 11/26/19                 |
| FNMA                                      | 1.500                             | 01/29/18 | 3,000,000.00               |          |                             | 02/28/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,998,580.00    | 08/28/19                 |
| FNMA                                      | 1.500                             | 05/04/18 | 3,000,000.00               |          |                             | 07/30/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,001,380.00    | 10/28/19                 |
| FNMA                                      | 1.500                             | 10/26/17 | 3,000,000.00               |          |                             | 10/26/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,984,630.00    | 05/30/19                 |
| FNMA                                      | 1.520                             | 12/23/15 | 3,000,000.00               |          |                             | 11/30/20 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,980,760.00    | 10/07/19                 |
| FNMA                                      | 1.375                             | 07/28/16 | 3,000,000.00               |          |                             | 07/28/21 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 2,985,810.00    | 10/07/19                 |
| FNMA                                      | 2.000                             | 05/04/18 | 3,000,000.00               |          |                             | 10/05/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,035,520.00    | 10/07/19                 |
|   |                                   |          | 24,000,000.00              |          | 3,000,000.00                |          | 21,000,000.00                   | 21,000,000.00 | 26,250.00                      | 21,000,000.00  | 21,003,630.00   |                          |
| <b>FEDERAL HOME LOAN MTG CORP</b>         |                                   |          |                            |          |                             |          |                                 |               |                                |                |                 |                          |
| FHLMC                                     | 3.000                             | 08/17/18 | 3,000,000.00               |          |                             | 08/17/22 | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,020,460.00    | 08/19/19                 |
|   |                                   |          | 3,000,000.00               |          |                             |          | 3,000,000.00                    | 3,000,000.00  |                                | 3,000,000.00   | 3,020,460.00    |                          |
|   |                                   |          | 9,000,000.00               |          | 8,000,000.00                |          | 232,388,656.84                  | 145,750.00    | 185,250,000.00                 | 197,533,037.50 |                 |                          |
| <b>SUBTOTAL</b>                           |                                   |          |                            |          |                             |          |                                 |               |                                |                |                 |                          |

CASH AND INVESTMENT REPORT  
PERIOD ENDING NOVEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |      | CURRENT MONTH MATURITIES |          | ENDING INVESTMENT MATURITIES |      | PERIOD INTEREST RECEIVED | PAR VALUE | MARKET VALUE | LAST INT' REC'D DATE |
|---|--------------------------------|----------|-------------------------|------|--------------------------|----------|------------------------------|------|--------------------------|-----------|--------------|----------------------|
|   | RATE %                         | DATE     | AMOUNT                  | DATE | AMOUNT                   | DATE     | AMOUNT                       | DATE |                          |           |              |                      |
| <b>CITY RESTRICTED INVESTMENTS</b>        |                                |          |                         |      |                          |          |                              |      |                          |           |              |                      |
| 2010 WATER                                |                                | 11/30/19 | 701,725.75              | -    | -                        | 11/30/19 | 701,725.75                   |      |                          |           |              |                      |
| 2015-04 Refunding                         |                                | 11/30/19 | 108.67                  | -    | -                        | 11/30/19 | 108.67                       |      |                          |           |              |                      |
| 2015A-02 Refunding                        |                                | 11/30/19 | 1,563,391.22            | -    | -                        | 11/30/19 | 1,563,391.22                 |      |                          |           |              |                      |
| 2017 SEWER REF                            |                                | 11/30/19 | 666.19                  | -    | -                        | 11/30/19 | 666.19                       |      |                          |           |              |                      |
| <b>SUBTOTAL</b>                           |                                |          | <b>2,265,891.83</b>     |      |                          |          | <b>2,265,891.83</b>          |      |                          |           |              |                      |
| <b>SUCCESSOR RESTRICTED INVESTMENTS</b>   |                                |          |                         |      |                          |          |                              |      |                          |           |              |                      |
| 2008 Kaiela Cottages (VanKampan)          |                                | 11/30/19 | 177,627.13              | -    | -                        | 11/30/19 | 177,627.13                   |      |                          |           |              |                      |
| LUBOC                                     |                                | 11/30/19 | 159,374.10              | -    | -                        | 11/30/19 | 159,374.10                   |      |                          |           |              |                      |
| 2014 TAB                                  |                                | 11/30/19 | 3,912,517.56            | -    | -                        | 11/30/19 | 3,912,517.56                 |      |                          |           |              |                      |
| 2016 TAB                                  |                                | 11/30/19 | 206.39                  | -    | -                        | 11/30/19 | 206.39                       |      |                          |           |              |                      |
| <b>SUBTOTAL</b>                           |                                |          | <b>4,249,725.18</b>     |      |                          |          | <b>4,249,725.18</b>          |      |                          |           |              |                      |
| <b>TOTAL INVESTMENTS</b>                  |                                |          | <b>9,000,000.00</b>     |      | <b>8,000,000.00</b>      |          | <b>238,904,273.85</b>        |      |                          |           |              |                      |

CASH WITH FISCAL AGENTS

CASH AND INVESTMENT REPORT  
PERIOD ENDING NOVEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | BEGINNING INVESTMENT<br>PURCHASES<br>RATE % | DATE | AMOUNT | CURRENT MONTH<br>PURCHASES<br>DATE | AMOUNT | CURRENT MONTH<br>MATURITIES<br>DATE | AMOUNT | ENDING INVESTMENT<br>MATURITIES<br>DATE | AMOUNT | PERIOD<br>INTEREST<br>RECEIVED | FAR<br>VALUE | MARKET<br>VALUE | LAST<br>INT REC'D<br>DATE |
|---|---|------|--------|------------------------------------|--------|-------------------------------------|--------|---|--------|--------------------------------|--------------|-----------------|---------------------------|
|   |   |      |        |                                    |        |                                     |        |   |        |                                |              |                 |                           |

CASH IN BANK

| Ending Cash Balance            |  |          |                      |            |  |  |  |          |                      |  |  |  |  |
|--------------------------------|--|----------|----------------------|------------|--|--|--|----------|----------------------|--|--|--|--|
| UNION BANK OF CALIFORNIA       |  |          |                      |            |  |  |  |          |                      |  |  |  |  |
| Charge Back Account            |  | 11/30/19 | 12,865.20            | 2042201638 |  |  |  | 11/30/19 | 12,865.20            |  |  |  |  |
| General Account                |  | 11/30/19 | 12,078.373.95        | 2042850020 |  |  |  | 11/30/19 | 12,078.373.95        |  |  |  |  |
| Home                           |  | 11/30/19 |                      | 2042438354 |  |  |  | 11/30/19 |                      |  |  |  |  |
| Housing Authority (Accounting) |  | 11/30/19 | 5,396.24             | 2042114021 |  |  |  | 11/30/19 | 5,396.24             |  |  |  |  |
| Housing Authority Escrow       |  | 11/30/19 | 244,348.59           | 2042438438 |  |  |  | 11/30/19 | 244,348.59           |  |  |  |  |
| Liability                      |  | 11/30/19 | 75,000.00            | 2042205571 |  |  |  | 11/30/19 | 75,000.00            |  |  |  |  |
| Workers Comp                   |  | 11/30/19 | 658,693.67           | 2042237764 |  |  |  | 11/30/19 | 658,693.67           |  |  |  |  |
| Successor Agency               |  | 11/30/19 | 314,064.89           | 2740032316 |  |  |  | 11/30/19 | 314,064.89           |  |  |  |  |
| <b>SUBTOTAL</b>                |  |          | <b>13,388,742.54</b> |            |  |  |  |          | <b>13,388,742.54</b> |  |  |  |  |

PETTY CASH ACCOUNTS

|                  |  |          |                  |  |  |  |  |          |                  |  |  |  |  |
|------------------|--|----------|------------------|--|--|--|--|----------|------------------|--|--|--|--|
| Change Fund      |  | 11/30/19 | 3,680.00         |  |  |  |  | 11/30/19 | 3,680.00         |  |  |  |  |
| Finance          |  | 11/30/19 | 3,000.00         |  |  |  |  | 11/30/19 | 3,000.00         |  |  |  |  |
| Departments      |  | 11/30/19 | 3,550.00         |  |  |  |  | 11/30/19 | 3,550.00         |  |  |  |  |
| Police Dept-SIU  |  | 11/30/19 | 30,000.00        |  |  |  |  | 11/30/19 | 30,000.00        |  |  |  |  |
| Police Dept-Drug |  | 11/30/19 | 3,500.00         |  |  |  |  | 11/30/19 | 3,500.00         |  |  |  |  |
| Police Dept-Reg  |  | 11/30/19 | 200.00           |  |  |  |  | 11/30/19 | 200.00           |  |  |  |  |
| <b>SUBTOTAL</b>  |  |          | <b>43,930.00</b> |  |  |  |  |          | <b>43,930.00</b> |  |  |  |  |

GRAND TOTAL CASH & INVESTMENTS

|  |  |  |              |  |  |  |              |  |                |            |                |                |  |
|--|--|--|--------------|--|--|--|--------------|--|----------------|------------|----------------|----------------|--|
|  |  |  | 9,000,000.00 |  |  |  | 8,000,000.00 |  | 252,336,946.39 | 145,750.00 | 195,550,000.00 | 197,533,837.50 |  |
|  |  |  |              |  |  |  |              |  |                |            |                |                |  |

**City of Garden Grove**

**INTER-DEPARTMENT MEMORANDUM**

To: Scott Stiles  
Dept: City Manager  
Subject: INVESTMENT REPORT  
FOR DECEMBER 2019

From: Patricia Song  
Dept: Finance  
Date: January 27, 2020

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Enclosed is the December 2019 Investment Report which shows the financial institutions, types of investment instruments, monthly transactions, current month interest received, and the par and fair market value of investments held. The month-end cash in the bank and petty cash balances are also listed on the Cash and Investment Report. The pie chart (please see chart on attachment A) reflects the investment instruments as a percentage of the total portfolio.

This investment portfolio meets State guidelines and adheres to the City's investment policy. As of December 2019, the City's total portfolio is invested in:

| Type of Investment      | Total Investment     | % of Investment |
|-------------------------|----------------------|-----------------|
| US Treasury             | \$69,000,000         | 27.495%         |
| Fed Home Loan Banks     | \$63,250,000         | 25.204%         |
| Fed Farm Credit Banks   | \$51,000,000         | 20.323%         |
| Fed Nat Mort Assoc      | \$21,000,000         | 8.368%          |
| Fed Home Loan Mtg Corp  | \$3,000,000          | 1.195%          |
| City LAIF               | \$37,138,656         | 14.799%         |
| Cash with Fiscal Agents | \$6,562,226          | 2.615%          |
| <b>Total</b>            | <b>\$250,950,882</b> | <b>100.000%</b> |

The cash with fiscal agents is restricted as they are funds held and invested by an outside fiscal agent. The restrictions were set forth in the related bond indentures. As of December 2019, 2.615% of the portfolio is restricted.

In summary, the investment portfolio is secured and the City has the necessary cash to pay its bills for six months in a timely manner. Please call me at extension #5060 if you have any questions.



Patricia Song  
Finance Director

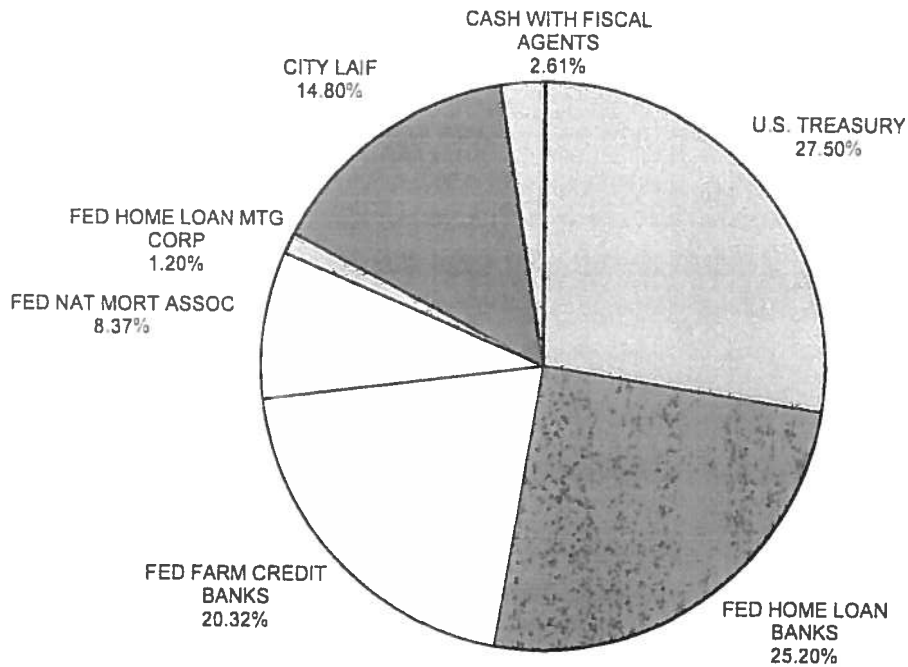
PS/HM/RM

Attachments  
cc: Jeff Kuramoto

# ATTACHMENT A

## INVESTMENT SUMMARY December 2019

| <u>TYPE OF INVESTMENT / FIN INSTITUTION</u> |           | <u>\$</u>          | <u>%</u>        |
|---|-----------|--------------------|-----------------|
| U.S. TREASURY                               | \$        | 69,000,000         | 27.495%         |
| FED HOME LOAN BANKS                         | \$        | 63,250,000         | 25.204%         |
| FED FARM CREDIT BANKS                       | \$        | 51,000,000         | 20.323%         |
| FED NAT MORT ASSOC                          | \$        | 21,000,000         | 8.368%          |
| FED HOME LOAN MTG CORP                      | \$        | 3,000,000          | 1.195%          |
| CITY LAIF                                   | \$        | 37,138,656         | 14.799%         |
| CASH WITH FISCAL AGENTS                     | \$        | 6,562,226          | 2.615%          |
| <b>TOTAL OF INVESTMENTS</b>                 | <b>\$</b> | <b>250,950,882</b> | <b>100.000%</b> |



**WEIGHTED AVERAGE MATURITIES**  
December 2019

| Investment      | CUSIP     | Yield (Rate) | UBOC Held | Amount<br>(a) | Date of Maturity | No. Days to Mat.<br>12/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------|-----------|--------------|-----------|---------------|------------------|--|---------------------------------------|-------------------------------|
| <b>TREASURY</b> |           |              |           |               |                  |  |                                       |                               |
| U S TREASURY    | 912828J84 | 1.375        | *         | 3,000,000     | 03/31/20         | 91   | 1.22755%                              | 1,117                         |
| U S TREASURY    | 912828L32 | 1.375        | *         | 3,000,000     | 08/31/20         | 244  | 1.22755%                              | 2,995                         |
| U S TREASURY    | 912828L99 | 1.375        | *         | 3,000,000     | 10/31/20         | 305  | 1.22755%                              | 3,744                         |
| U S TREASURY    | 912828M98 | 1.625        | *         | 3,000,000     | 11/30/20         | 335  | 1.22755%                              | 4,112                         |
| U S TREASURY    | 912828P87 | 1.125        | *         | 3,000,000     | 02/28/21         | 425  | 1.22755%                              | 5,217                         |
| U S TREASURY    | 912828Q37 | 1.250        | *         | 3,000,000     | 03/31/21         | 456  | 1.22755%                              | 5,598                         |
| U S TREASURY    | 912828C57 | 2.250        | *         | 3,000,000     | 03/31/21         | 456  | 1.22755%                              | 5,598                         |
| U S TREASURY    | 912828S27 | 1.125        | *         | 3,000,000     | 06/30/21         | 547  | 1.22755%                              | 6,715                         |
| U S TREASURY    | 912828WY2 | 2.250        | *         | 3,000,000     | 07/31/21         | 578  | 1.22755%                              | 7,095                         |
| U S TREASURY    | 912828D72 | 2.000        | *         | 3,000,000     | 08/31/21         | 609  | 1.22755%                              | 7,476                         |
| U S TREASURY    | 912828J76 | 1.750        | *         | 3,000,000     | 03/31/22         | 821  | 1.22755%                              | 10,078                        |
| U S TREASURY    | 912828F96 | 2.000        | *         | 3,000,000     | 10/31/21         | 670  | 1.22755%                              | 8,225                         |
| U S TREASURY    | 912828XG0 | 2.125        | *         | 3,000,000     | 06/30/22         | 912  | 1.22755%                              | 11,195                        |
| U S TREASURY    | 912828P4  | 1.750        | *         | 3,000,000     | 07/31/22         | 943  | 1.22755%                              | 11,576                        |
| U S TREASURY    | 912828M49 | 1.875        | *         | 3,000,000     | 10/31/22         | 1,035                                      | 1.22755%                              | 12,705                        |
| U S TREASURY    | 912828TY6 | 1.625        | *         | 3,000,000     | 11/15/22         | 1,050                                      | 1.22755%                              | 12,889                        |
| U S TREASURY    | 912828M80 | 2.000        | *         | 3,000,000     | 11/30/22         | 1,065                                      | 1.22755%                              | 13,073                        |
| U S TREASURY    | 912828S92 | 1.250        | *         | 3,000,000     | 07/31/23         | 1,308                                      | 1.22755%                              | 16,056                        |
| U S TREASURY    | 912828S92 | 1.250        | *         | 3,000,000     | 07/31/23         | 1,308                                      | 1.22755%                              | 16,056                        |
| U S TREASURY    | 912828D1  | 1.375        | *         | 3,000,000     | 08/31/23         | 1,339                                      | 1.22755%                              | 16,437                        |
| U S TREASURY    | 9128285K2 | 2.875        | *         | 3,000,000     | 10/31/23         | 1,400                                      | 1.22755%                              | 17,186                        |
| U S TREASURY    | 9128285K2 | 2.875        | *         | 3,000,000     | 10/31/23         | 1,400                                      | 1.22755%                              | 17,186                        |
| U S TREASURY    | 9128286Z8 | 1.750        | *         | 3,000,000     | 06/30/24         | 1,643                                      | 1.22755%                              | 20,169                        |
| <b>CITY</b>     |           |              |           |               |                  |  |                                       |                               |
| FHLB            | 313383HU8 | 1.750        | *         | 3,000,000     | 06/12/20         | 164  | 1.22755%                              | 2,013                         |
| FHLB            | 3130ABVU7 | 1.625        | *         | 3,000,000     | 07/27/20         | 209  | 1.22755%                              | 2,566                         |
| FHLB            | 3130AEU65 | 2.625        | *         | 3,000,000     | 08/21/20         | 234  | 1.22755%                              | 2,872                         |
| FHLB            | 3130A66T9 | 1.625        | *         | 3,000,000     | 09/11/20         | 255  | 1.22755%                              | 3,130                         |
| FHLB            | 31337OUS5 | 2.875        | *         | 3,000,000     | 09/11/20         | 255  | 1.22755%                              | 3,130                         |
| FHLB            | 313379RB7 | 1.875        | *         | 3,000,000     | 06/11/21         | 528  | 1.22755%                              | 6,481                         |
| FHLB            | 313378JP7 | 2.375        | *         | 3,000,000     | 09/10/21         | 619  | 1.22755%                              | 7,599                         |
| FHLB            | 3130A9S44 | 2.000        | *         | 3,250,000     | 11/10/21         | 680  | 1.32985%                              | 9,043                         |
| FHLB            | 3130AABG2 | 1.875        | *         | 3,000,000     | 11/29/21         | 699  | 1.22755%                              | 8,581                         |
| FHLB            | 3130A1B80 | 2.625        | *         | 3,000,000     | 03/11/22         | 801  | 1.22755%                              | 9,833                         |
| FHLB            | 313380GJ0 | 2.000        | *         | 3,000,000     | 09/09/22         | 983  | 1.22755%                              | 12,067                        |
| FHLB            | 313383WD9 | 3.125        | *         | 3,000,000     | 09/09/22         | 983  | 1.22755%                              | 12,067                        |
| FHLB            | 3130ADM66 | 2.650        | *         | 3,000,000     | 02/28/23         | 1,155                                      | 1.22755%                              | 14,178                        |
| FHLB            | 3130ADRG9 | 2.750        | *         | 3,000,000     | 03/10/23         | 1,165                                      | 1.22755%                              | 14,301                        |
| FHLB            | 3133834G3 | 2.125        | *         | 3,000,000     | 06/09/23         | 1,256                                      | 1.22755%                              | 15,418                        |
| FHLB            | 313383YJ4 | 3.375        | *         | 3,000,000     | 09/08/23         | 1,347                                      | 1.22755%                              | 16,535                        |
| FHLB            | 313383YJ4 | 3.375        | *         | 3,000,000     | 09/08/23         | 1,347                                      | 1.22755%                              | 16,535                        |
| FHLB            | 3130AHRF2 | 1.680        | *         | 3,000,000     | 11/15/23         | 1,415                                      | 1.22755%                              | 17,370                        |
| FHLB            | 3130A7PH2 | 1.875        | *         | 3,000,000     | 03/08/24         | 1,529                                      | 1.22755%                              | 18,769                        |
| FHLB            | 3130AB3H7 | 2.375        | *         | 3,000,000     | 03/08/24         | 1,529                                      | 1.22755%                              | 18,769                        |
| FHLB            | 3130A2UW4 | 2.875        | *         | 3,000,000     | 09/13/24         | 1,718                                      | 1.22755%                              | 21,089                        |
| FFCB            | 3133EHBA0 | 1.520        | *         | 3,000,000     | 03/02/20         | 62   | 1.22755%                              | 0,761                         |
| FFCB            | 3133EJRL5 | 2.550        | *         | 3,000,000     | 06/11/20         | 163  | 1.22755%                              | 2,001                         |
| FFCB            | 3133EJCE7 | 2.350        | *         | 3,000,000     | 02/12/21         | 409  | 1.22755%                              | 5,021                         |
| FFCB            | 3133EGWH4 | 1.280        | *         | 3,000,000     | 09/29/21         | 638  | 1.22755%                              | 7,832                         |
| FFCB            | 3133EKNM4 | 2.200        | *         | 3,000,000     | 02/28/22         | 790  | 1.22755%                              | 9,698                         |
| FFCB            | 3133EJHC6 | 2.600        | *         | 3,000,000     | 03/21/22         | 811  | 1.22755%                              | 9,955                         |
| FFCB            | 3133EJSB6 | 2.840        | *         | 3,000,000     | 06/20/22         | 902  | 1.22755%                              | 11,073                        |
| FFCB            | 3133EJUJ6 | 2.800        | *         | 3,000,000     | 07/18/22         | 930  | 1.22755%                              | 11,416                        |
| FFCB            | 3133EKC20 | 1.820        | *         | 3,000,000     | 08/19/22         | 962  | 1.22755%                              | 11,809                        |
| FFCB            | 3133EJDE6 | 2.570        | *         | 3,000,000     | 02/16/23         | 1,143                                      | 1.22755%                              | 14,031                        |
| FFCB            | 3133EJFK0 | 2.650        | *         | 3,000,000     | 03/08/23         | 1,163                                      | 1.22755%                              | 14,276                        |
| FFCB            | 3133EJSD2 | 2.890        | *         | 3,000,000     | 06/19/23         | 1,266                                      | 1.22755%                              | 15,541                        |
| FFCB            | 3133EJBQ1 | 2.550        | *         | 3,000,000     | 08/02/23         | 1,310                                      | 1.22755%                              | 16,081                        |
| FFCB            | 3133EKKU9 | 2.300        | *         | 3,000,000     | 11/08/23         | 1,408                                      | 1.22755%                              | 17,284                        |
| FFCB            | 3133EKM1  | 2.230        | *         | 3,000,000     | 02/23/24         | 1,515                                      | 1.22755%                              | 18,597                        |
| FFCB            | 3133EKQA7 | 2.080        | *         | 3,000,000     | 09/10/24         | 1,715                                      | 1.22755%                              | 21,053                        |
| FFCB            | 3133EK6J0 | 1.625        | *         | 3,000,000     | 11/08/24         | 1,774                                      | 1.22755%                              | 21,777                        |
| FNMA            | 3135G0T29 | 1.500        | *         | 3,000,000     | 02/28/20         | 59   | 1.22755%                              | 0,724                         |
| FNMA            | 3135G0T60 | 1.500        | *         | 3,000,000     | 07/30/20         | 212  | 1.22755%                              | 2,602                         |
| FNMA            | 3136G4PP2 | 1.750        | *         | 3,000,000     | 10/26/20         | 300  | 1.22755%                              | 3,683                         |
| FNMA            | 3135G0F73 | 1.500        | *         | 3,000,000     | 11/30/20         | 335  | 1.22755%                              | 4,112                         |
| FNMA            | 3136G3S55 | 1.520        | *         | 3,000,000     | 07/28/21         | 575  | 1.22755%                              | 7,058                         |
| FNMA            | 3135G0Q89 | 1.375        | *         | 3,000,000     | 10/07/21         | 646  | 1.22755%                              | 7,930                         |

**WEIGHTED AVERAGE MATURITIES**  
**December 2019**

| Investment                        | CUSIP     | Yield (Rate) | UBOC Held | Amount<br>(a)      | Date of Maturity | No. Days to Mat.<br>12/31/2019<br>x<br>(b) | Weighted Average<br>(a / total a = c) | Weighted # of Days<br>(b x c) |
|-----------------------------------|-----------|--------------|-----------|--------------------|------------------|--|---------------------------------------|-------------------------------|
| FNMA                              | 3135G0T78 | 2.000        | *         | 3,000,000          | 10/05/22         | 1,009                                      | 1.22755%                              | 12,386                        |
| FHLMC                             | 3134GSTN5 | 3.000        | *         | 3,000,000          | 08/17/22         | 960  | 1.22755%                              | 11,785                        |
| <b>LAIF/REPO/COMMERCIAL PAPER</b> |           |              |           |                    |                  |  |                                       |                               |
| LAIF                              |           | 0.500        |           | 37,138,657         | 12/31/19         | -  | 15.19656%                             | 0.000                         |
|                                   |           |              |           | 244,388,657        |                  | 58,868                                     | 100.00000%                            | <b>723</b>                    |
| <b>RESTRICTED</b>                 |           |              |           |                    |                  |  |                                       | <b>Wtd. Avg. Maturity</b>     |
| 2008 Katella Cottages             |           |              |           | 177,794            | 12/31/19         | -  | 2.70936%                              | 0.000                         |
| 2008 UBOC                         |           |              |           | 205,338            | 12/31/19         | -  | 3.12910%                              | 0.000                         |
| 2010 Water                        |           |              |           | 701,785            | 12/31/19         | -  | 10.69432%                             | 0.000                         |
| 2014 TARB                         |           |              |           | 3,913,000          | 12/31/19         | -  | 59.62916%                             | 0.000                         |
| 2015 TARB                         |           |              |           | 103                | 12/31/19         | -  | 0.00157%                              | 0.000                         |
| 2015A COP's                       |           |              |           | 1,563,584          | 12/31/19         | -  | 23.82704%                             | 0.000                         |
| 2016 TAB                          |           |              |           | 206                | 12/31/19         | -  | 0.00315%                              | 0.000                         |
| 2017 SEWER REF                    |           |              |           | 414                | 12/31/19         | -  | 0.00631%                              | 0.000                         |
|                                   |           |              |           | 6,562,226          |                  | -  | 100.00000%                            | -                             |
| <b>Investment Total</b>           |           |              |           | <b>250,950,882</b> |                  |  |                                       |                               |

CASH AND INVESTMENT REPORT  
PERIOD ENDING DECEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | CUSIP     | BEGINNING INVESTMENT<br>PURCHASES<br>RATE % | DATE     | AMOUNT       | CURRENT MONTH<br>PURCHASES<br>DATE | AMOUNT | CURRENT MONTH<br>MATURITIES<br>DATE | AMOUNT       | ENDING INVESTMENT<br>MATURITIES<br>DATE | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE  | MARKET<br>VALUE | LAST<br>INT RECD<br>DATE |
|---|-----------|---|----------|--------------|------------------------------------|--------|-------------------------------------|--------------|---|--------------------------------|---------------|-----------------|--------------------------|
| LAF                                       |           |   |          |              |                                    |        |                                     |              |   |                                |               |                 |                          |
| UNITED STATES TREASURY                    |           |   |          |              |                                    |        |                                     |              |   |                                |               |                 |                          |
| U.S. TREASURY                             | 912828J84 | 1.375                                       | 11/05/15 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/01/20                                |                                | 3,000,000.00  | 2,890,020.00    | 09/20/19                 |
| U.S. TREASURY                             | 912828L32 | 1.375                                       | 03/29/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 08/31/20                                |                                | 3,000,000.00  | 2,994,980.00    | 08/30/19                 |
| U.S. TREASURY                             | 912828L98 | 1.375                                       | 05/12/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 10/31/20                                |                                | 3,000,000.00  | 2,993,100.00    | 10/31/19                 |
| U.S. TREASURY                             | 912828M98 | 1.625                                       | 12/15/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 11/30/20                                | 24,175.00                      | 3,000,000.00  | 2,989,310.00    | 12/02/19                 |
| U.S. TREASURY                             | 912828P87 | 1.125                                       | 01/25/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 02/28/21                                |                                | 3,000,000.00  | 2,962,420.00    | 09/03/19                 |
| U.S. TREASURY                             | 912828Q37 | 1.250                                       | 03/03/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/31/21                                |                                | 3,000,000.00  | 2,986,260.00    | 09/30/19                 |
| U.S. TREASURY                             | 912828S57 | 1.125                                       | 03/04/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/30/21                                | 18,875.00                      | 3,000,000.00  | 3,022,860.00    | 09/30/19                 |
| U.S. TREASURY                             | 912828WY2 | 2.250                                       | 12/15/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 07/31/21                                |                                | 3,000,000.00  | 2,879,030.00    | 12/31/19                 |
| U.S. TREASURY                             | 912828D72 | 2.000                                       | 02/16/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 05/31/21                                |                                | 3,000,000.00  | 3,030,240.00    | 07/31/19                 |
| U.S. TREASURY                             | 912828F56 | 2.000                                       | 12/01/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 10/31/21                                |                                | 3,000,000.00  | 3,019,800.00    | 02/28/19                 |
| U.S. TREASURY                             | 912828K50 | 1.750                                       | 09/21/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/31/22                                |                                | 3,000,000.00  | 3,022,360.00    | 10/31/19                 |
| U.S. TREASURY                             | 912828ZP4 | 2.125                                       | 07/07/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/30/22                                | 31,875.00                      | 3,000,000.00  | 3,011,250.00    | 09/30/19                 |
| U.S. TREASURY                             | 912828M49 | 1.875                                       | 10/03/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 07/31/23                                |                                | 3,000,000.00  | 3,023,360.00    | 12/31/19                 |
| U.S. TREASURY                             | 912828T76 | 1.625                                       | 10/16/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 10/31/22                                |                                | 3,000,000.00  | 3,022,220.00    | 10/31/19                 |
| U.S. TREASURY                             | 912828M88 | 2.000                                       | 09/27/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 11/15/22                                |                                | 3,000,000.00  | 3,002,220.00    | 11/15/19                 |
| U.S. TREASURY                             | 912828S82 | 1.250                                       | 10/22/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 07/30/22                                | 30,000.00                      | 3,000,000.00  | 3,033,870.00    | 12/02/19                 |
| U.S. TREASURY                             | 912828S92 | 1.250                                       | 11/26/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 07/31/23                                |                                | 3,000,000.00  | 3,018,800.00    |                          |
| U.S. TREASURY                             | 912828D21 | 1.375                                       | 12/19/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 08/31/23                                |                                | 3,000,000.00  | 2,912,340.00    |                          |
| U.S. TREASURY                             | 912828S62 | 2.875                                       | 11/28/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 10/31/23                                |                                | 3,000,000.00  | 6,271,200.00    |                          |
| U.S. TREASURY                             | 912828Z68 | 1.750                                       | 12/27/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/30/24                                | 26,250.00                      | 3,000,000.00  | 3,009,480.00    | 12/31/19                 |
| FEDERAL HOME LOAN BANKS                   |           |   |          |              |                                    |        |                                     |              |   |                                |               |                 |                          |
| FHLB                                      | 313383HJ8 | 1.750                                       | 06/20/15 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/12/20                                | 26,250.00                      | 3,000,000.00  | 3,000,000.00    | 12/12/19                 |
| FHLB                                      | 3130ABVL7 | 1.625                                       | 07/27/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 07/27/20                                |                                | 3,000,000.00  | 2,999,880.00    | 07/29/19                 |
| FHLB                                      | 3130AELB5 | 2.625                                       | 06/12/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 08/21/20                                |                                | 3,000,000.00  | 3,016,510.00    | 06/21/19                 |
| FHLB                                      | 3130A6B79 | 1.925                                       | 10/06/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/11/20                                |                                | 3,000,000.00  | 2,989,340.00    | 09/11/19                 |
| FHLB                                      | 313370J55 | 2.875                                       | 09/22/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/11/20                                |                                | 3,000,000.00  | 3,024,780.00    | 09/11/19                 |
| FHLB                                      | 313379R87 | 1.875                                       | 05/17/17 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/11/21                                | 28,125.00                      | 3,000,000.00  | 3,009,630.00    | 09/11/19                 |
| FHLB                                      | 313376JPT | 2.375                                       | 10/07/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/10/21                                |                                | 3,000,000.00  | 3,038,850.00    | 09/10/19                 |
| FHLB                                      | 3130A8S44 | 2.000                                       | 11/10/16 | 3,000,000.00 |                                    |        |                                     | 3,250,000.00 | 11/10/21                                |                                | 3,250,000.00  | 3,271,845.00    | 11/12/19                 |
| FHLB                                      | 3130AABG2 | 1.875                                       | 12/13/16 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 11/29/21                                |                                | 3,000,000.00  | 3,016,110.00    | 11/29/19                 |
| FHLB                                      | 3130A1B80 | 2.625                                       | 03/21/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/11/22                                |                                | 3,000,000.00  | 3,067,950.00    | 09/11/19                 |
| FHLB                                      | 313380GJ0 | 2.000                                       | 05/24/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/09/22                                |                                | 3,000,000.00  | 3,032,780.00    | 09/09/19                 |
| FHLB                                      | 313383WQ9 | 3.125                                       | 06/02/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/09/22                                |                                | 3,000,000.00  | 3,119,840.00    | 09/09/19                 |
| FHLB                                      | 3130ADM86 | 2.650                                       | 02/28/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 02/28/23                                |                                | 3,000,000.00  | 3,003,300.00    | 08/28/19                 |
| FHLB                                      | 3130ADRG9 | 2.750                                       | 03/21/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/10/23                                |                                | 3,000,000.00  | 3,108,080.00    | 09/10/19                 |
| FHLB                                      | 313383AG3 | 2.125                                       | 06/10/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 06/09/23                                | 31,875.00                      | 3,000,000.00  | 3,048,570.00    | 12/09/19                 |
| FHLB                                      | 313383J74 | 3.375                                       | 12/07/18 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/04/23                                |                                | 3,000,000.00  | 6,385,480.00    | 09/09/19                 |
| FHLB                                      | 3130AHRF2 | 1.680                                       | 02/13/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 11/15/23                                |                                | 3,000,000.00  | 3,006,420.00    | 09/09/19                 |
| FHLB                                      | 3130A7PH2 | 1.875                                       | 06/21/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/06/24                                |                                | 3,000,000.00  | 3,025,680.00    | 09/09/19                 |
| FHLB                                      | 3130AB3H7 | 2.375                                       | 04/26/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 03/06/24                                |                                | 3,000,000.00  | 3,058,260.00    | 09/09/19                 |
| FHLB                                      | 3130A2UW4 | 2.875                                       | 09/25/19 | 3,000,000.00 |                                    |        |                                     | 3,000,000.00 | 09/13/24                                |                                | 3,000,000.00  | 3,158,880.00    | 09/09/19                 |
| 63,250,000.00                             |           |   |          |              |                                    |        |                                     |              |   | 86,250.00                      | 63,250,000.00 | 64,391,995.00   |                          |



CASH AND INVESTMENT REPORT  
PERIOD ENDING DECEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | CUSIP      | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |      | ENDING INVESTMENT MATURITIES |                | PERIOD INTEREST RECEIVED | PAR VALUE      | MARKET VALUE   | LAST INT REC'D DATE |
|---|------------|--------------------------------|----------|-------------------------|------|------------------------------|----------------|--------------------------|----------------|----------------|---------------------|
|   |            | RATE %                         | DATE     | DATE                    | DATE | DATE                         | DATE           |                          |                |                |                     |
| FEDERAL FARM CREDIT BANKS                 |            |                                |          |                         |      |                              |                |                          |                |                |                     |
| FFCB                                      | 3133EHB00  | 1.520                          | 03/02/17 |                         |      | 03/02/20                     | 3,000,000.00   |                          | 3,000,000.00   | 3,000,120.00   | 09/03/18            |
| FFCB                                      | 3133EJRL5  | 2.550                          | 06/20/18 |                         |      | 06/11/20                     | 3,000,000.00   |                          | 3,000,000.00   | 3,013,090.00   | 12/11/19            |
| FFCB                                      | 3133EJCE7  | 2.350                          | 03/01/18 |                         |      | 02/17/21                     | 3,000,000.00   |                          | 3,000,000.00   | 3,025,830.00   | 08/12/19            |
| FFCB                                      | 3133EGM44  | 1.280                          | 10/06/16 |                         |      | 09/29/21                     | 3,000,000.00   |                          | 3,000,000.00   | 2,985,450.00   | 09/30/19            |
| FFCB                                      | 3133EKNM4  | 2.200                          | 06/05/19 |                         |      | 02/26/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,038,310.00   | 09/20/19            |
| FFCB                                      | 3133EJHC6  | 2.800                          | 03/21/18 |                         |      | 03/21/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,085,370.00   | 09/23/19            |
| FFCB                                      | 3133EJSB6  | 2.840                          | 07/20/18 |                         |      | 06/20/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,089,460.00   | 12/20/19            |
| FFCB                                      | 3133EJUL8  | 2.800                          | 07/18/18 |                         |      | 07/18/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,049,100.00   | 07/18/19            |
| FFCB                                      | 3133EJDE8  | 1.820                          | 08/27/19 |                         |      | 06/19/22                     | 3,000,000.00   |                          | 3,000,000.00   | 2,993,490.00   | 08/16/19            |
| FFCB                                      | 3133EJFK0  | 2.570                          | 02/18/18 |                         |      | 02/16/23                     | 3,000,000.00   |                          | 3,000,000.00   | 3,085,560.00   | 09/09/19            |
| FFCB                                      | 3133EJSD2  | 2.650                          | 03/08/18 |                         |      | 08/19/23                     | 3,000,000.00   |                          | 3,000,000.00   | 3,094,530.00   | 12/19/19            |
| FFCB                                      | 3133EJBC1  | 2.890                          | 09/12/18 |                         |      | 08/02/23                     | 3,000,000.00   |                          | 3,000,000.00   | 3,126,420.00   | 09/02/19            |
| FFCB                                      | 3133EJJK9  | 2.550                          | 04/10/19 |                         |      | 11/08/23                     | 3,000,000.00   |                          | 3,000,000.00   | 3,095,130.00   | 11/08/19            |
| FFCB                                      | 3133EKKU9  | 2.300                          | 05/13/19 |                         |      | 02/23/24                     | 3,000,000.00   |                          | 3,000,000.00   | 3,073,710.00   | 08/23/19            |
| FFCB                                      | 3133EKWAX1 | 2.230                          | 05/23/19 |                         |      | 09/10/24                     | 3,000,000.00   |                          | 3,000,000.00   | 3,047,220.00   | 10/07/19            |
| FFCB                                      | 3133EKOAT7 | 2.080                          | 09/10/19 |                         |      | 11/06/24                     | 3,000,000.00   |                          | 3,000,000.00   | 2,978,850.00   | 10/07/19            |
| FFCB                                      | 3133EKGJ0  | 1.625                          | 11/08/19 |                         |      |                              | 3,000,000.00   |                          | 3,000,000.00   |                |                     |
|   |            |                                |          |                         |      |                              | 51,000,000.00  |                          | 51,000,000.00  | 51,862,020.00  |                     |
| FED NAT MORT ASSOC                        |            |                                |          |                         |      |                              |                |                          |                |                |                     |
| FNMA                                      | 3135G0T29  | 1.500                          | 01/29/18 |                         |      | 02/28/20                     | 3,000,000.00   |                          | 3,000,000.00   | 2,999,430.00   | 08/28/19            |
| FNMA                                      | 3135G0T60  | 1.500                          | 05/04/18 |                         |      | 07/30/20                     | 3,000,000.00   |                          | 3,000,000.00   | 2,997,210.00   | 07/30/19            |
| FNMA                                      | 3136G4FP2  | 1.750                          | 10/26/17 |                         |      | 10/26/20                     | 3,000,000.00   |                          | 3,000,000.00   | 3,001,650.00   | 10/26/19            |
| FNMA                                      | 3135G0F73  | 1.500                          | 12/23/15 |                         |      | 11/30/20                     | 3,000,000.00   |                          | 3,000,000.00   | 2,998,050.00   | 12/23/19            |
| FNMA                                      | 3136G3S35  | 1.520                          | 07/28/16 |                         |      | 07/28/21                     | 3,000,000.00   |                          | 3,000,000.00   | 2,993,190.00   | 07/29/19            |
| FNMA                                      | 3135G0O89  | 1.375                          | 10/07/16 |                         |      | 10/07/21                     | 3,000,000.00   |                          | 3,000,000.00   | 2,988,600.00   | 10/07/19            |
| FNMA                                      | 3135G0I78  | 2.000                          | 05/04/18 |                         |      | 10/05/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,032,250.00   | 10/07/19            |
|   |            |                                |          |                         |      |                              | 21,000,000.00  |                          | 21,000,000.00  | 21,011,280.00  |                     |
| FEDERAL HOME LOAN MTC CORP                |            |                                |          |                         |      |                              |                |                          |                |                |                     |
| FLMTC                                     | 3134G0TNS  | 3.000                          | 08/17/18 |                         |      | 08/17/22                     | 3,000,000.00   |                          | 3,000,000.00   | 3,018,210.00   | 08/19/19            |
|   |            |                                |          |                         |      |                              | 3,000,000.00   |                          | 3,000,000.00   | 3,018,210.00   |                     |
| SUBTOTAL                                  |            |                                |          |                         |      |                              |                |                          |                |                |                     |
|   |            |                                |          |                         |      |                              | 244,308,656.84 |                          | 207,250,000.00 | 209,815,065.00 |                     |
|   |            |                                |          |                         |      |                              | 244,308,656.84 |                          | 207,250,000.00 | 209,815,065.00 |                     |
|   |            |                                |          |                         |      |                              |                |                          |                |                | 9.0 = 0             |

check total  
F/Bwith Limits  
11210 FUND ACCT DESC TOT YTD BAL ID SUPP

CASH AND INVESTMENT REPORT  
 PERIOD ENDING DECEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | CUSIP | BEGINNING INVESTMENT PURCHASES |          | CURRENT MONTH PURCHASES |      | CURRENT MONTH MATURITIES |      | ENDING INVESTMENT MATURITIES |      | PERIOD INTEREST RECEIVED | PAR VALUE | MARKET VALUE | LAST INT REC'D DATE |
|---|-------|--------------------------------|----------|-------------------------|------|--------------------------|------|------------------------------|------|--------------------------|-----------|--------------|---------------------|
|   |       | RATE %                         | DATE     | AMOUNT                  | DATE | AMOUNT                   | DATE | AMOUNT                       | DATE |                          |           |              |                     |
| <b>CITY RESTRICTED INVESTMENTS</b>        |       |                                |          |                         |      |                          |      |                              |      |                          |           |              |                     |
| 2010 WATER                                |       |                                | 12/31/19 | 701,785.23              |      |                          |      | 12/31/19                     |      | 701,785.23               |           |              |                     |
| 2015-04 Relending                         |       |                                | 12/31/19 | 103.24                  |      |                          |      | 12/31/19                     |      | 103.24                   |           |              |                     |
| 2015A-02 Relending                        |       |                                | 12/31/19 | 1,563,563.97            |      |                          |      | 12/31/19                     |      | 1,563,563.97             |           |              |                     |
| 2017 SEWER REF                            |       |                                | 12/31/19 | 413.84                  |      |                          |      | 12/31/19                     |      | 413.84                   |           |              |                     |
| <b>SUBTOTAL</b>                           |       |                                |          | <b>2,265,866.28</b>     |      |                          |      |                              |      | <b>2,265,866.28</b>      |           |              |                     |
| <b>SUCCESSOR RESTRICTED INVESTMENTS</b>   |       |                                |          |                         |      |                          |      |                              |      |                          |           |              |                     |
| 2008 Kelella Collague (VanKampen)         |       |                                | 12/31/19 | 177,794.45              |      |                          |      | 12/31/19                     |      | 177,794.45               |           |              |                     |
| UBOC                                      |       |                                | 12/31/19 | 205,338.48              |      |                          |      | 12/31/19                     |      | 205,338.48               |           |              |                     |
| 2014 TARB                                 |       |                                | 12/31/19 | 3,912,999.92            |      |                          |      | 12/31/19                     |      | 3,912,999.92             |           |              |                     |
| 2018 TAB                                  |       |                                | 12/31/19 | 206.42                  |      |                          |      | 12/31/19                     |      | 206.42                   |           |              |                     |
| <b>SUBTOTAL</b>                           |       |                                |          | <b>4,296,339.27</b>     |      |                          |      |                              |      | <b>4,296,339.27</b>      |           |              |                     |
| <b>TOTAL INVESTMENTS</b>                  |       |                                |          | <b>12,000,000.00</b>    |      |                          |      |                              |      | <b>250,950,882.39</b>    |           |              |                     |

CASH WITH FISCAL AGENTS

CASH AND INVESTMENT REPORT  
 PERIOD ENDING DECEMBER, 2019

| TYPE INVESTMENT/<br>FINANCIAL INSTITUTION | CUSIP | BEGINNING INVESTMENT<br>PURCHASES |      | CURRENT MONTH<br>PURCHASES |      | CURRENT MONTH<br>MATURITIES |      | ENDING INVESTMENT<br>MATURITIES |      | PERIOD<br>INTEREST<br>RECEIVED | PAR<br>VALUE | MARKET<br>VALUE | LAST<br>INT RECD<br>DATE |
|---|-------|-----------------------------------|------|----------------------------|------|-----------------------------|------|---------------------------------|------|--------------------------------|--------------|-----------------|--------------------------|
|   |       | RATE %                            | DATE | AMOUNT                     | DATE | AMOUNT                      | DATE | AMOUNT                          | DATE |                                |              |                 |                          |

CASH IN BANK

UNION BANK OF CALIFORNIA

|                                |           |      |          |              |             |  |          |              |           |  |  |  |  |
|--------------------------------|-----------|------|----------|--------------|-------------|--|----------|--------------|-----------|--|--|--|--|
| Charge Back Account            | xxx-10001 |      | 12/31/19 |              | 2042201638  |  | 12/31/19 |              | 13,938.55 |  |  |  |  |
| General Account                | xxx-10001 |      | 12/31/19 | 9,961,391.31 | 2042850020  |  | 12/31/19 | 9,961,391.31 |           |  |  |  |  |
| Hemo                           | 162-10004 |      | 12/31/19 |              | 2042438354  |  | 12/31/19 |              |           |  |  |  |  |
| Housing Authority (Accounting) | 598-10066 | 0.01 | 12/31/19 |              | 2042114021  |  | 12/31/19 | 0.01         |           |  |  |  |  |
| Housing Authority Escrow       | 508-10005 |      | 12/31/19 | 253,581.74   | 2042439436  |  | 12/31/19 | 253,581.74   |           |  |  |  |  |
| Liability                      | 789-16001 |      | 12/31/19 | 68,302.18    | 2042205571  |  | 12/31/19 | 68,302.18    |           |  |  |  |  |
| Workers Comp                   | 784-16004 |      | 12/31/19 | 601,443.51   | 2042237764  |  | 12/31/19 | 601,443.51   |           |  |  |  |  |
| Successor Agency               | 022-10001 |      | 12/31/19 | 230,381.56   | 27400032316 |  | 12/31/19 | 230,381.56   |           |  |  |  |  |

SUBTOTAL

11,129,038.66

Ending Cash Balance

Ending Cash Balance

11,129,038.66

PETTY CASH ACCOUNTS

Change Fund

|                  |  |  |          |           |  |  |          |           |  |  |  |  |  |
|------------------|--|--|----------|-----------|--|--|----------|-----------|--|--|--|--|--|
| Finance          |  |  | 12/31/19 | 3,660.00  |  |  | 12/31/19 | 3,660.00  |  |  |  |  |  |
| Departments      |  |  | 12/31/19 | 3,000.00  |  |  | 12/31/19 | 3,000.00  |  |  |  |  |  |
| Police Dept-SIU  |  |  | 12/31/19 | 3,550.00  |  |  | 12/31/19 | 3,550.00  |  |  |  |  |  |
| Police Dept Drug |  |  | 12/31/19 | 30,000.00 |  |  | 12/31/19 | 30,000.00 |  |  |  |  |  |
| Police Dept Rog  |  |  | 12/31/19 | 3,500.00  |  |  | 12/31/19 | 3,500.00  |  |  |  |  |  |
|                  |  |  | 12/31/19 | 200.00    |  |  | 12/31/19 | 200.00    |  |  |  |  |  |
|                  |  |  | 12/31/19 | 43,930.00 |  |  | 12/31/19 | 43,930.00 |  |  |  |  |  |

SUBTOTAL

43,930.00

GRAND TOTAL CASH & INVESTMENTS

250,123,851.25

12,000,000.00

262,123,851.25

207,250,000.00

209,615,085.00



RECEIVED

JAN 22 2020

BY: City Clerk's Office

United States Senate

January 15, 2020

The Honorable Steven R. Jones  
Mayor, City of Garden Grove  
11222 Acacia Parkway  
Post Office Box 3070  
Garden Grove, California 92842

Dear Mayor Jones:

Thank you for writing to me to express your support for the Housing Voucher Program. I appreciate hearing from you on this important matter, and I welcome the opportunity to respond.

I share your support for increased funding for the Section 8 Housing Choice Voucher program—also known as Tenant-Based Rental Assistance—which provides federal government assistance to low-income families, the elderly, and the disabled to help them afford safe housing in the private market. This program is an essential tool for combatting homelessness, as well as lifting people out of poverty and giving low-income families an opportunity to move to neighborhoods that offer a greater quality of life.

Unfortunately, this program has been chronically underfunded for years relative to the ongoing need for housing assistance. That is why I was pleased to join my Senate colleagues in approving the FY 2020 funding bill (Public Law 116-94), which the President signed into law on December 20, 2019. This law provides \$23.87 billion for the Section 8 Housing Choice Voucher program in FY 2020, which is an increase of \$1.27 billion from the FY 2019 funding allocation.

While this additional funding is an important step toward increasing the federal investment in vouchers, I believe more must be done to help the more than 150,000 Californians experiencing homelessness on any given night. That is why on December 12, 2019, I sent a letter to Housing and Urban Development (HUD) Secretary Ben Carson urging him to grant California Governor Gavin Newsom's request for 50,000 additional housing vouchers. Additionally, the letter requested an increase in the value of vouchers in order to cover higher rents and urges HUD to incentivize landlords to accept more vouchers. You can read my press release

on this issue, as well as my letter to Secretary Carson, at the following website:  
<https://sen.gov/K458>.

As a member of the Senate Appropriations Committee, which has jurisdiction over federal funding, I will continue to make it a top priority to fully fund federal programs that address California's need for more affordable housing.

Again, thank you for writing. If I can be of further assistance to you or your office, please do not hesitate to contact Brent Palmer in my Washington, D.C. office at (202) 224-2287. Best regards.

Sincerely,

A handwritten signature in blue ink, appearing to read "Dianne Feinstein". The signature is fluid and cursive, with a large initial "D" and a long horizontal stroke at the end.

Dianne Feinstein  
United States Senator

DF:bp

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**League of California Cities 2019 Annual Report**

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**From :** Tony Cardenas <tcardenas@cacities.org>  
**Subject :** League of California Cities 2019 Annual Report  
**To :** Tony Cardenas <tcardenas@cacities.org>

Mon, Jan 27, 2020 08:58 AM

2 attachments



We are very excited to present the League of California Cities [2019 Annual Report](#), which captures in writing, in pictures, and by the numbers the organization's achievements in support of California's 482 cities last year.

The League also worked side by side with local officials throughout the year to make a real difference at the state policy-making level.

Our joint efforts resulted in securing more than \$2.5 billion in the state budget to help cities address housing and homelessness, and more than \$300 million for disaster preparedness, emergency response, disaster-related planning, improving communications, purchasing additional equipment, and pre-positioning first responder resources.

We formed important new relationships with public and private partners and served as a resource and information channel to cities as they dealt with a multitude of disasters and emergencies throughout the year.

We increased the accessibility of our educational and advocacy-based resources, and continued to facilitate best-in-class conferences, seminars, academies, forums and institutes statewide to meet the training and educational needs of thousands of city officials.

The League implemented many strategies mapped out in its 2018-2021 strategic plan, including providing the highest level of education and training with more mobile opportunities, increasing organizational effectiveness by welcoming new staff directors, continuing to foster and build partnerships with stakeholders to advance legislation of importance to cities, developing consistent brand guidelines for the organization, and raising awareness for city priorities.

It was an exciting and productive year advocating on behalf of cities. We invite you to read the 2019 Annual Report and encourage you to let us know what you think. Your feedback is important as we move forward as an organization.

You can also check out the [2019 League by the Numbers](#) video for a review of the last year in less than 2 minutes.

Please distribute this report to your city elected officials and all interested city staff members, and let me know if you have any questions.

**Tony Cardenas**  
Public Affairs Regional Manager  
Orange County Division  
League of California Cities  
(714) 944-4023  
[TCardenas@cacities.org](mailto:TCardenas@cacities.org) | [www.cacities.org](http://www.cacities.org)



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LEAGUE OF CALIFORNIA CITIES

# 2019 ANNUAL REPORT





# 2019 ANNUAL REPORT



## FROM THE EXECUTIVE DIRECTOR

The League of California Cities dedicated the last 12 months to supporting California's 482 cities and towns in their efforts to develop local solutions to local challenges facing their communities – just as the League has done every year for the past 121 years.

We did this by building new relationships, strengthening existing partnerships, launching new programs, securing new funding, providing valuable information, and delivering high quality training, education, and peer-to-peer sharing opportunities to benefit our cities and the quality of life for residents throughout the state.

In 2019, the League worked side by side with local officials to make a real difference at the state policy making level. Our joint efforts resulted in securing more than \$2.5 billion in the state budget to help cities address housing and homelessness, and more than \$300 million for disaster preparedness, emergency response, disaster-related planning, improving communications, purchasing additional equipment, and pre-positioning first responder resources. We formed important new relationships with the Newsom Administration and key legislators and served as a resource and information channel to cities as they dealt with a multitude of disasters and emergencies throughout the year. We increased the accessibility of our educational and advocacy-based resources, and continued to facilitate best-in-class conferences, seminars, academies, forums and institutes statewide to meet the training and educational needs of thousands of city officials.

None of these accomplishments would have been possible without the 71 talented and dedicated League staff who come to work each day committed to the League's mission to expand and protect local control for cities through education and advocacy to enhance the quality of life for all Californians. I would like to take this opportunity to thank our employees for their dedication and commitment to supporting California cities. Together, with our members and partners, we are driving state and federal policy that reflects the needs of California cities and the residents who call our cities home.

I would also like to thank the 2018-19 League officers and board members for their leadership and our League Partners for their generous support of the organization. We are stronger when we work together, and working together with a unified voice will be incredibly important as we enter a new decade. It is an honor to join forces with our outstanding partners around the state, and to all of you, I extend my deepest thanks.

With that, I proudly present to you the League's 2019 Annual Report, which captures our collective achievements in support of California cities over the past year. I look forward to all we will accomplish together in 2020.

A handwritten signature in black ink that reads "Carolyn M. Coleman". The signature is fluid and cursive, written in a professional style.

**Carolyn M. Coleman**  
*Executive Director*  
League of California Cities

The League launched its three-year Strategic Growth Plan over a year ago, and it has been an invaluable blueprint to guide our growth and development over the past year as we strive to fulfill the League's mission and vision. The plan includes six key actionable goals that are designed to ensure the League is strategically positioned to help California cities lead the way in building resilient communities that are able to adapt and innovate, delivering high quality services to residents, and serving as effective and dedicated city governments. Many of the strategies to achieve the goals were implemented in the first year. This annual report is structured to highlight the League's progress on each of these goals in 2019.

1. Strengthen California cities through proactive advocacy on common priority issues.
2. Increase the capacity of city officials and staff in California to provide the highest level of service to their communities.
3. Maintain high relevance and facilitate meaningful member engagement.
4. Raise the League's visibility as the preeminent voice for cities in California.
5. Enhance the League's governance to achieve even higher levels of engagement and effectiveness.
6. Expand the League's organizational capacity to fulfill its mission and implement its strategic priorities.

## 1. Strengthen Cities through Proactive Advocacy

The State Capitol experienced a significant amount of change in 2019, as it ushered in a new Administration as well as the beginning of a two-year legislative session. During this transition, the focus of the League's advocacy efforts were centered on building new relationships with Gov. Gavin Newsom and his Administration and leveraging existing partnerships to advance the League's priorities.

This included a meeting between board officers and leaders in the Governor's Administration within the first few weeks of 2019 and followed with the Governor attending the League Board of Directors meeting in Grass Valley in February, where they discussed priority issues for California cities, including housing, homelessness, disaster preparedness, and pensions. Conversations and progress on these issues continued in meetings with the Newsom Administration throughout the year, including a phone call between the Governor and board officers in October regarding support for cities following the utility-initiated power shutoffs.

Throughout the year, the League continued to build new relationships and strengthen existing ones in the Legislature, Administration, and with key partners, which led to multiple League-sponsored or hosted events that yielded value for

League members and helped advance the League's advocacy efforts:

- An educational webinar in partnership with the California Department of Housing and Community Development (HCD), Governor's Office of Planning and Research, Senate President pro Tempore Toni Atkins, the Institute for Local Government, and Placeworks to educate cities on new funding opportunities from the passage of SB 2 (2017). This bill gives cities greater access to funding to streamline housing project approvals, update land use plans, and zone or rezone to meet the housing needs in their communities — April 17, 2019



- An educational webinar on Renewing State Investment in Tax Increment Financing with the League, Sen. Jim Beall, Sen. Mike McGuire, and Assembly Member David Chiu — **April 22, 2019**
- Gov. Gavin Newsom’s California for All: Emergency Management and Preparedness Summit — **June 3, 2019**
- Avoiding Total Disaster: The Law and Emergencies — Disaster Preparedness Training for Local Government Legal Advisors with the League, the California Governor’s Office of Emergency Services (Cal OES), and County Counsels’ Association of California — **June 24, 2019**
- A webinar in partnership with the California Department of General Services and HCD to unveil state-owned parcels in cities across the state that may be viable for housing development and the process by which such development would occur — **Oct. 23, 2019**
- Homelessness Policy Workshop by the League and California State Association of Counties — **Nov. 4, 2019**

In an effort to provide local leaders with a greater understanding of critical issues affecting the state and help strengthen our future network of legisla-

tive partners, the League hosted 17 city leaders for a state office boot camp designed for local officials interested in or exploring running for the state Legislature. The California Civic Leadership Institute sessions helped leaders build knowledge of major state policy issues, gain insights into what it takes to be an effective state legislator, and cultivate a network of peers and supporters.

The League also recognized state legislators with its Distinguished Legislative Leadership Award for their role as key partners to local governments, introducing and supporting legislation throughout the year that would help strengthen cities. The League honored Assembly Member Cecilia Aguilar-Curry, Sen. Jim Beall, Sen. Mike McGuire, and Sen. Anthony Portantino.

Powered by a strong grassroots network of city officials, the League scored notable victories for cities on the four member-driven and board-approved 2019 strategic advocacy priorities.

- **Provide cities additional funding and tools and preserve local authority to address housing production, affordability, and homelessness challenges.**

After more than seven months of negotiation and compromises, the League helped to secure \$2.5 billion in funding to address California’s housing and homelessness crisis in





the FY 2019-20 State Budget. The final budget established incentives to encourage cities and counties to increase housing production, and secured new safeguards to protect cities in their efforts to comply with the housing element law. The details of this budget reflect the collaboration between the League, the Governor, the Legislature, and stakeholders.

The League worked for over a decade building the case for increased transportation funding to address declining local street and road conditions, which culminated in the passage of SB 1 (Beall) in 2017 and, in 2018, the defeat of Proposition 6, which sought to repeal it. Consequently, the League was determined to fight proposals that would undermine this funding stream. With the support of a broad coalition of transportation stakeholders the League helped organize efforts by the Governor and legislators to shift local streets and roads money from cities on the basis of housing production were rejected.

The League also worked collaboratively with the Legislature and key stakeholders to develop measures that equipped local governments with the tools needed to help boost affordable housing production in their communities. With the support of a robust and diverse coalition of cities, labor, business, housing, and other ad-

vocates, the Legislature passed SB 5 (Beall, McGuire, Portantino), a measure that would authorize a state partnership with cities and counties to provide an ongoing and accountable source of funding to build affordable housing and infrastructure.

The Governor vetoed the bill, stating that legislation with such significant fiscal impact needs to be part of budget deliberations, and the League stands ready to continue to work with him and SB 5 supporters in 2020 to advance a version of the bill next year.

- **Improve disaster preparedness, recovery and climate resiliency.**

Cities across the state were forced to address disasters and emergencies in their communities in 2019. On the legislative front, the League supported a number of proposals that were signed into law that provide resources, assistance, and planning to cities, to help them better prepare for and respond to disasters and emergencies in their communities. The League secured \$300 million for disaster preparedness, emergency response, disaster-related planning, improving communications, purchasing additional equipment, and pre-positioning first responder resources in the FY 2019-20 State Budget. The League supported the Administration and



Legislature's allocation of emergency cash assistance to the Town of Paradise to help maintain operations and jumpstart recovery following the 2018 Camp Fire.

The League also sprang into action when utilities announced they would shutoff electrical power to millions of Californians in an effort to prevent wildfires starting from their own equipment. The League collaborated with the Governor's Office and Cal OES to ensure the most up-to-date information on community resource centers and safety guidelines reached cities and residents impacted by the shut-downs. To amplify these efforts, the League created a page on its website to serve as clearinghouse for key resources for cities, which increased access and awareness to emergency management training seminars and a \$10 million grant program for cities.

- **Promote sustainability of public pension and retirement health benefits.**

Despite our state's strong economy, pension costs for cities are approaching unsustainable levels. With costs outstripping revenue

growth, cities are facing difficult choices that will be compounded in an economic downturn. Because this is of increasing concern to city leaders, the League continued to work closely through 2019 with the California Public Employees Retirement System (CalPERS) on meaningful options for cities to address increasing pension liabilities.

The League also protected city interests in the Legislature on pension-related bills. In response to fierce opposition from the League, SB 266 (Leyva), which proposed that cities pay out of their general funds to retirees for compensation that CalPERS determined was not allowed, was withdrawn before it reached the Governor's desk and sent back to the Senate floor for further consideration.

- **Address public safety concerns of California cities.**

AB 1356 (Ting) was the most contentious cannabis proposal considered this year. It would require local agencies to issue a minimum ratio of cannabis licenses to existing liquor licenses. The bill stalled after the League strongly opposed the measure due to its clear violation of key provisions in Proposition 64, which promised local decision-making when voters passed the measure.

The League supported (once amended) SB 438 (Hertzberg), which provided a benefit to cities by ensuring that residents and local businesses — whose tax dollars already pay for available public emergency medical service agencies — can rely on local public agencies to respond during times of need. The bill, which the Governor signed into law, prohibits a public agency from privately contracting for 9-1-1 emergency call processing or notification duties. The measure also restricts counties from dictating when city fire department or fire district units are dispatched to respond to a 9-1-1 call in their own jurisdictions.

## Legal Advocacy

The League also continued its advocacy for cities in the courts because laws affecting cities are made in the courts, as well as in the Legislature.

This year, the League filed 36 friend-of-the-court briefs and letters in the California and federal appellate courts and with the state Attorney General on cases that affect city authority and local decision making.

Appellate courts at both the state and federal level issued decisions favorable to cities in several of these cases. A few highlights include:

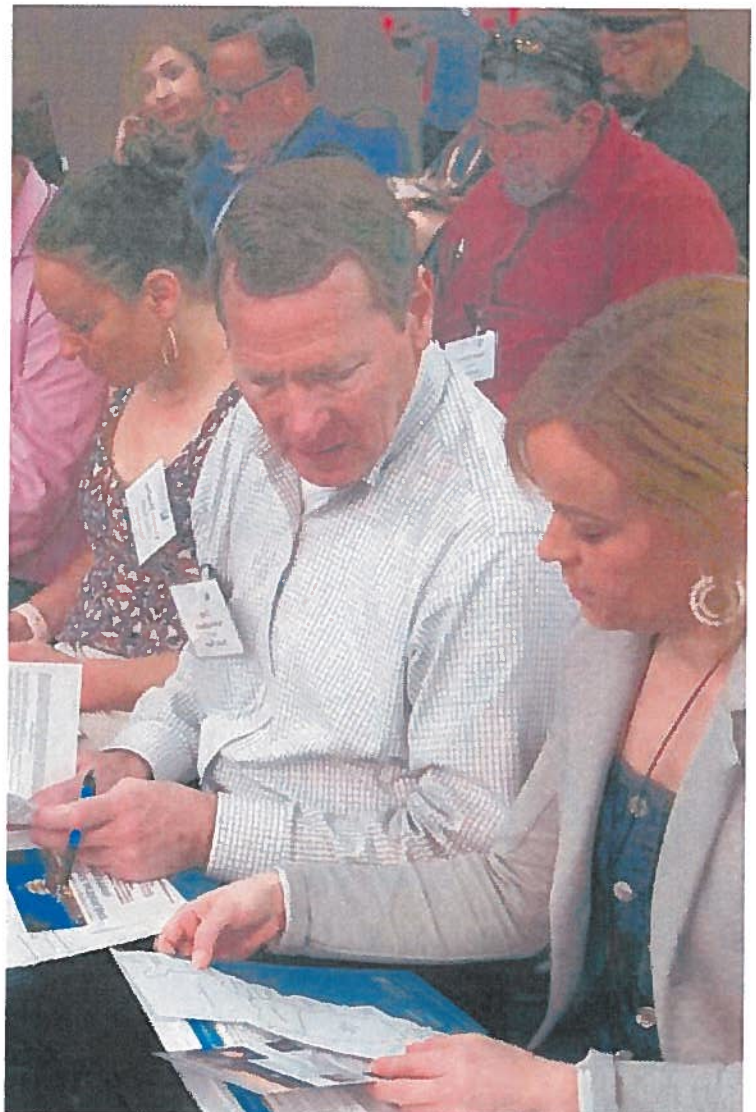
- In *Cal Fire Local 2881 v. CalPERS*, the California Supreme Court upheld the Legislature's elimination of the option to purchase up to five years of service credit (commonly known as "airtime") as part of the Public Employees' Pension Reform Act (PEPRA). As urged by the League in its friend-of-the-court brief, the Court concluded the airtime benefit was not a "vested" pension benefit.
- In *Homeaway.com v. City of Santa Monica*, the federal Court of Appeals for the Ninth Circuit agreed with the League's position and rejected claims that Santa Monica's short-term rental ordinance violated the First Amendment and the Communications Decency Act, a federal law that immunizes "publishers" of third party web content from liability. The court found there was no "significant expressive element" of processing a short-term rental booking for purposes of the First Amendment and that the Communications Decency Act did not apply because the ordinance simply prohibited the online platforms from proceeding with unlicensed bookings; it did not seek to impose any liability on the platforms for the contents of the listings.
- In *City of Oroville v. Superior Court*, the California Supreme Court again reached a conclusion consistent with the League's amicus brief when it found that the city was not liable in inverse condemnation for property damage caused by a sewer backup where the property owner failed to install a legally required backwater valve.

## 2. Increase the Capacity of City Officials

In 2019, the League developed and hosted conferences, seminars, academies, forums, and institutes

throughout the state strengthening the leadership skills of city officials and offering best practices for city leaders to bring back to their communities to improve the lives of their residents. More than 5,500 city officials attended these events. More than 2,000 registered to attend League-hosted webinars, with each registration often representing multiple individuals participating.

Attendance was at an all-time high for some of the League's cornerstone events with the highest number of city officials in a decade participating in Legislative Action Day, and the most Annual Conference & Expo attendees since the Great Recession. The League also hosted for the first time the annual New Mayors and Council Members Academy in both Southern and Northern California to make it more accessible and convenient for our members to attend. In response, more than 650 city officials participated.



### 3. Maintain High Relevance and Facilitate Meaningful Member Engagement

The League also made progress on efforts to maintain high relevance and facilitate meaningful engagement with our members.

Over the course of the year, the League's regional public affairs managers hosted multiple meetings in the League's 16 regional divisions, which featured educational and networking sessions for division members. The 2018-19 League President Jan Arbuckle, council member, Grass Valley, traveled miles to attend events throughout the state with each regional division, in an effort to not only learn from the state's most unique and remote cities, but to hear firsthand from local leaders about the issues affecting them.

In response to member feedback, in April, the board approved the creation of the Rural City Information Exchange to create targeted educational resources that focus on the issues that uniquely affect rural communities. The League has already increased the number of webinars targeted to rural city officials, who may be located in more remote areas of the state, to take advantage of League education and training opportunities.

The League also produced a fifth edition of the Municipal Revenue Sources Handbook as a resource for cities and policymakers, providing the history and tools for revenue streams available to local governments in California.



### 4. Raise the League's Visibility

The quality of the League's communications is critical to achieving our mission and vision. In 2019, the League developed and began implementing a strategic communications plan that aligns the communications functions with the organization's 2018-21 Strategic Growth Plan.

The results of these efforts included participating in statewide press events and coordinating with major media outlets throughout the state, including the *Los Angeles Times*, *San Francisco Chronicle*, *San Diego Union Tribune*, *Sacramento Bee*, and *CalMatters* to feature stories about the League and important city issues. In addition, the League secured a live interview in November on *CNN International* for League President and Town of Yountville Mayor John F. Dunbar regarding city efforts to provide resources and effective leadership to residents during the October wildfires and utility-initiated power shut-offs affecting millions of Californians in both Northern and Southern California.



The League's executive director and officers attended high profile events that featured speaking opportunities about California's cities before key stakeholders and audiences, including the California Foundation on the Environment and Economy Housing Conference, Yosemite Policymakers Conference, California School Board Association Roundtable Discussion, National Association of Realtors Urban Roundtable on Housing Affordability and Supply, and the Sacramento Bee Priorities Summit.

More digital content is also under development to better engage with members and external stakeholders. During the League's 2019 Annual Conference & Expo, the League created video testimonials from city members that showcased city projects, League value, and innovative ideas, among other topics. The League increased social media engagement and consolidated member outreach tools to better target and serve members who receive the content. To increase the availability and accessibility of League information and stories, content is now being elevated and amplified on additional platforms to maximize the number of people reached and the impact of the content.

## 5. Enhance the League's Governance

As a result of the League's efforts to add even greater value to cities and raise the visibility of those achievements, the League retained existing members and welcomed back the cities of Guadalupe, Loyalton, and Fullerton as members of the League. This means that 478 out of 482 of California's cities are League members, representing more than 33 million California residents.

To continue and further strengthen the League's solid governance, leadership training and orientation was made available to new board members before their first board meeting. The orientation was expanded to include regional division leaders to ensure that all of the League's leaders are well prepared and positioned to lead in 2020.

In order to expand the League's organizational capacity to fulfill our mission and implement our strategic priorities, the League invested in a number of areas to protect the assets of the organization including enhanced network access for regional staff, improved teleconferencing quality and functionality, and tightened building security to protect staff, tenants, visitors, and property.

## 6. Expand the Organizational Capacity

The League created a more structured onboarding process for new employees, helping them understand the League and adapt to their responsibilities more quickly. The League also aligned communications and marketing resources under a single portfolio to enhance cross department efficiencies, services, and strategies.

The League participated in the development of the Institute for Local Government's strategic plan, which will increase the value and strengthen the relationship between the organizations. These changes ensure the League will enhance its value to our members in our efforts to strengthen California cities through advocacy and education.

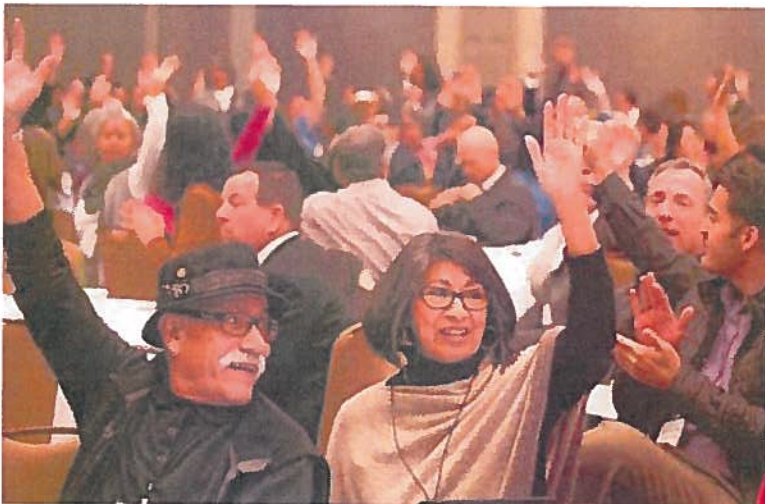




## Looking Ahead to 2020

California's city leaders work hard every day to improve the quality of life for their residents. To help ensure the League's advocacy efforts are focused on solutions to cities' most pressing issues, more than 100 League leaders met in Napa in December to review the progress towards our 2019 strategic advocacy priorities and to develop our 2020 priorities. To help inform the priority-setting process, the League also conducted a membership survey of priority issues. At the conclusion of the meeting and based on the recommendations and input of the League leaders, the League's Board of Directors adopted the following strategic advocacy priorities to guide our state policy efforts in 2020:

- 1. Improve the supply and affordability of housing.** Provide cities with financial tools to increase construction of housing, particularly for vulnerable populations, reform state regulatory barriers, and ensure cities retain flexibility based on the size, geography, demographics, impact mitigation, and land use needs of each community.
- 2. Advocate for increased funding and resources to prevent homelessness and assist individuals experiencing homelessness.** Secure additional resources and flexibility to provide navigation assistance, emergency shelters and permanent supportive housing, and strengthen partnerships with stakeholders to ensure mental health, substance abuse treatment, and wraparound services are available for adults and youth at risk of — or already experiencing — homelessness in our communities.



- 3. Address fiscal sustainability.** Raise awareness among stakeholders about the fiscal challenges cities face and work collaboratively to secure new revenue tools and flexible prudent policies to ensure cities are able to provide essential services to their residents while maintaining their ability to meet pension obligations.
- 4. Strengthen community and disaster preparedness, public safety, and resiliency.** Improve community resiliency to disasters and environmental threats, and strengthen infrastructure stability and control, through expanding partnerships, including state and federal agencies, and securing additional resources and support for climate change adaptation, planning, preparedness, response, recovery, and sustainability in our cities.
- 5. Address public safety concerns of California cities.**
  - Reform recently enacted criminal justice laws — enacted by both statute and initiative — that have eroded public safety protections of California residents through the passage of the California Police Chiefs/California Grocers Association-sponsored criminal justice reform measure eligible for the November 2020 state ballot, or by equivalent reforms achieved through legislative action.
  - Protect public safety by reducing access to firearms by the mentally ill.
  - Support additional tools and resources to address critical community challenges such as homelessness, mental health, domestic violence, drug rehabilitation, human trafficking, and workforce development for ex-offender reentry.

In 2020, the League will be laser focused on these strategic advocacy priorities and continue the progress toward our strategic plan goals. To that end, we stand ready and are excited to work collaboratively with our members, the Governor, the Legislature, and other stakeholders to ensure our communities remain vibrant places to live, work, and play.



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 Council Member, Ontario  
 Mayor, Hughson

# 2019 BY THE NUMBERS

478

**MEMBER**  
Cities

50+

**LEAGUE**  
Board Members

16

**REGIONAL**  
Divisions

10

**PROFESSIONAL**  
Departments

5

**DIVERSITY**  
Caucuses

Residents represented by the  
**LEAGUE'S MEMBER CITIES 33 MILLION**

## ADVOCACY

Monitored, tracked and engaged on

**2,104** **BILLS**  
70% of all bills introduced



**442** members  
served on **POLICY**  
**COMMITTEES**



**36** Friend-of-the-court  
briefs/letters filed

**24**

**ACTION ALERTS** sent  
on high-priority legislation

## EDUCATION

**5,500** Conference and training  
**attendees**



**331** Conference and  
exhibitor **sponsors**



**18** **WEBINARS** with  
2,160 sites registered

## RECOGNITIONS



**3** Cities honored with  
**LOCAL STREETS**  
**AND ROADS AWARDS**

**12** **HELEN PUTNAM**  
award-winning cities  
from 168 submissions

The Institute for Local Government

**11** full **Beacon Awards** **33** **Beacon Spotlight Awards**

## FINANCIAL SOLUTIONS

SCDA

**\$1.5 billion** in issuance of  
tax-exempt bonds and tax credits for  
cities, communities and nonprofits

CaITRUST

**\$2.7 billion** in  
pooled investment of public  
funds at attractive rates



## CONNECTIONS

**155,707**

**WEBSITE VISITORS**  
[www.cacities.org](http://www.cacities.org)



**48** issues of *CA Cities*  
*Advocate* with a  
total of 12,116 subscribers

**15** **LISTSERVS** with  
9,007 subscribers

**3,525** **10,114** Twitter  
**MEDIA** follower  
mentions **3,867** Facebook  
likes

**159** reporter inquiries

**12** issues of *Western City*  
magazine with a total  
of **432** pages of content



**14,271**

average monthly visitors  
[www.westerncity.com](http://www.westerncity.com)



**24,700**

average monthly visitors to [www.ca-ilg.org](http://www.ca-ilg.org)  
with **2,340** ILG resources download



## CALIFORNIA DEPARTMENT OF FOOD AND AGRICULTURE

OFFICIAL NOTICE  
FOR THE COMMUNITIES OF  
ANAHEIM, FULLERTON, GARDEN GROVE, HUNTINGTON BEACH, LA  
HABRA, NORTH TUSTIN, PLACENTIA, ORANGE, SANTA ANA, TUSTIN,  
WESTMINSTER, AND YORBA LINDA IN ORANGE COUNTY  
PLEASE READ IMMEDIATELY

### AMENDMENT TO THE NOTICE OF TREATMENT FOR THE ASIAN CITRUS PSYLLID

Between June 14, 2017 and January 6, 2020, the California Department of Food and Agriculture (CDFA) confirmed the presence of the causative bacterial agent of the citrus disease huanglongbing (HLB) in citrus tree tissue and insect vectors collected in the cities of Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda in Orange County. HLB is a devastating disease of citrus and is spread through feeding action by populations of the Asian citrus psyllid (ACP), *Diaphorina citri* Kuwayama. In order to determine the extent of the infestation, and to define an appropriate response area, additional surveys took place for several days over a one quarter-square mile area, centered on the detection sites. Based on the results of the surveys, implementation of the CDFA's current ACP and HLB response strategies, which include treatment for ACP, are necessary for eradication and control.

A Program Environmental Impact Report (PEIR) has been certified which analyzes the ACP and HLB treatment program in accordance with Public Resources Code, Sections 21000 et seq. The PEIR is available at <http://www.cdfa.ca.gov/plant/peir/>. The treatment activities described below are consistent with the PEIR.

In accordance with integrated pest management principles, CDFA has evaluated possible treatment methods and determined that there are no physical, cultural or biological control methods available to control ACP in this area. Notice of Treatment is valid until January 6, 2021, which is the amount of time necessary to determine that the treatment was successful.

The treatment plan for the ACP infestation will be implemented within a 400-meter radius of each detection site, as follows:

- Tempo® SC Ultra (cyfluthrin), a contact insecticide for controlling the adults and nymphs of ACP, will be applied from the ground using hydraulic spray equipment to the foliage of host plants; and
- Merit® 2F or CoreTect™ (imidacloprid), a systemic insecticide for controlling the immature life stages of ACP, will be applied to the soil underneath host plants. Merit® 2F is applied from the ground using hydraulic spray equipment. CoreTect™, which is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of liquid Merit® 2F, is applied by inserting tablets into the ground and watering the soil beneath the host plants.

#### Public Notification:

Residents of affected properties shall be invited to a public meeting or contacted directly by

CDFA staff. Consultation with the California Department of Pesticide Regulation, the Office of Environmental Health Hazard Assessment, and the county agricultural commissioner's office will be provided at the public meeting or upon request to address residents' questions and concerns.

Residents are notified in writing at least 48 hours in advance of any treatment in accordance with the Food and Agricultural Code sections 5771-5779 and 5421-5436.

Following the treatment, completion notices are left with the residents detailing precautions to take and post-harvest intervals applicable to the citrus fruit on the property.

Treatment information is posted at [http://cdfa.ca.gov/plant/acp/treatment\\_maps.html](http://cdfa.ca.gov/plant/acp/treatment_maps.html). Press releases, if issued, are prepared by the CDFA information officer and the county agricultural commissioner, in close coordination with the program leader responsible for treatment. Either the county agricultural commissioner or the public information officer serves as the primary contact to the media.

Information concerning the HLB/ACP program shall be conveyed directly to local and State political representatives and authorities via letters, emails, and/or faxes.

For any questions related to this program, please contact the CDFA toll-free telephone number at 800-491-1899 for assistance. This telephone number is also listed on all treatment notices.

Enclosed are the findings regarding the treatment plan, a November 22, 2017 University of California and United States Department of Agriculture briefing paper on the increasing detection rate of ACP/HLB, a map of the treatment area, work plan, integrated pest management analysis of alternative treatment methods, and a pest profile.

Attachments

**FINDINGS REGARDING A TREATMENT PLAN FOR  
THE ASIAN CITRUS PSYLLID  
Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia,  
Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, Orange County  
Program AM-0923**

Between June 14, 2017 and January 6, 2020, the California Department of Food and Agriculture (CDFA) confirmed the presence of the causative bacterial agent of the citrus disease huanglongbing (HLB) in citrus tree tissue and insect vectors collected in the cities of Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda in Orange County. HLB is a devastating disease of citrus and is spread through feeding action by populations of the Asian citrus psyllid (ACP), *Diaphorina citri* Kuwayama.

In order to determine the extent of the infestation in Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, and to define an appropriate response area, an additional survey took place for several days over a one quarter-square mile area, centered on the following detections: June 14, 2017, Fullerton; May 25, 2018, Yorba Linda; July 3, 2019, La Habra; July 15, 2019, Westminster; July 19, 2019, North Tustin; December 5, 2019, Huntington Beach, Placentia, and Tustin; December 30, 2019, Garden Grove, and Orange; January 6, 2020, Anaheim, and Santa Ana. Based on this survey, pest biology, findings and recommendations from California's HLB Task Force, the Primary State Entomologist, the Primary State Plant Pathologist, United States Department of Agriculture (USDA) experts on HLB and ACP, county agricultural commissioner representatives who are knowledgeable on HLB and ACP, and experience gained from USDA's control efforts in the southeastern United States, I have determined that an infestation of HLB exists and it poses a statewide imminent danger to the environment and economy.

The results of the additional survey also indicated that the local infestation is amenable to CDFA's ACP and HLB emergency response strategies, which include chemical control treatment. This option was selected based upon minimal impacts to the natural environment, biological effectiveness, minimal public intrusiveness, and cost.

HLB is considered one of the most devastating diseases of citrus in the world. The bacterium that causes the disease, *Candidatus Liberibacter asiaticus*, blocks the flow of nutrients within the tree and causes the tree to starve to death within two to five years of infection. There is no cure. Symptoms of HLB include yellow shoots with mottling and chlorosis of the leaves, misshapen fruit, fruit that does not fully color, and fruit that has a very bitter taste, which makes it inedible for human consumption. These symptoms often do not appear until two years after infection, making this particular disease difficult to contain and suppress. These undesirable symptoms of HLB-infected trees result in the trees' loss of commercial and aesthetic value while at the same time such trees are hosts for spreading HLB.

ACP is an insect pest that is native to Asia. It has appeared in Central and South America. In the United States, ACP has been found in Alabama, Arizona, Florida, Georgia, Hawaii, Louisiana, Mississippi, South Carolina, and Texas. In California, ACP has been found in twenty-six counties.

ACP feeds on members of the plant family Rutaceae, primarily on *Citrus* and *Murraya* species, but is also known to attack several other genera, including over forty species of plant that act as hosts and possible carriers. The most serious damage to the environment and property caused by ACP – the death and loss in value of host plants – is due to its vectoring HLB. In addition, the psyllids also cause injury to their host plants via the withdrawal of large amounts of sap as they feed and via the production

of large amounts of honeydew, which coats the leaves of the tree and encourages the growth of sooty mold. Sooty mold blocks sunlight from reaching the leaves.

These pests present a significant and imminent threat to the natural environment, agriculture, and economy of California. For example, unabated spread of HLB would have severe consequences to both the citrus industry and to the urban landscape via the decline and the death of citrus trees. The value of California citrus production in the 2016-17 marketing year was \$3.389 billion. The total economic impact of the industry on California's economy in 2016-17 was \$7.1 billion. The California citrus industry added \$1.695 billion to California's state GDP in 2016. Estimated full time equivalent jobs in the California citrus industry in 2016-17 totaled 21,674. Estimated wages paid by the California citrus industry income in 2016-17 totaled \$452 million. A 20 percent reduction in California citrus acreage would cause a loss of 7,350 jobs, \$127 million in employee income, and reduce state GDP by \$501 million.

Additionally, if unabated, the establishment of HLB in California would harm the natural environment as commercial and residential citrus growers would be forced to increase pesticide use. And, the establishment of HLB could lead to enforcement of quarantine restrictions by the USDA and our international trading partners. Such restrictions would jeopardize California's citrus exports, which are valued at over \$800 million per year.

The causative bacteria of HLB was first detected in Los Angeles in 2012. It has subsequently been detected in Orange, Riverside, and San Bernardino counties. Prior to November 2017, the level of HLB risk in California was thought to be relatively stable. However, on November 22, 2017, the University of California and the United States Department of Agriculture released a briefing paper that indicates, beginning in June 2017, a sharp increase in HLB and HLB-positive ACP detections, cities containing HLB, and ACP nymphs. With the release of the November 22, 2017 briefing paper, the Department became aware of the exponential intensification of the HLB epidemic, as demonstrated by the indicators contained in the paper.

Infected trees are destroyed as soon as they are discovered. However, due to the length of time it takes for symptoms to appear on infected trees, new infestations continue to be discovered. If the current infestation is not abated immediately, ACP will likely become established in neighboring counties and could pave the way for a statewide HLB infestation.

CDFA has evaluated possible treatment methods in accordance with integrated pest management (IPM) principles. As part of these principles, I have considered the following treatments for control of ACP: 1) physical controls; 2) cultural controls; 3) biological controls; and 4) chemical controls. Upon careful evaluation of each these options, I have determined that it is necessary to address the imminent threat posed by HLB using currently available technology in a manner that is recommended by the HLB Task Force.

Based upon input from the HLB Task Force, the Primary State Entomologist, the Primary State Plant Pathologist, USDA experts on HLB and ACP, and county agricultural commissioner representatives who are knowledgeable on ACP and HLB, I find there are no physical, cultural or biological control methods that are both effective against ACP and allow CDFA to meet its statutory obligations, and therefore it is necessary to conduct chemical treatments to abate this threat. As a result, I am ordering insecticide treatments for ACP using ground-based equipment within a 400-meter radius around each HLB detection site and any subsequent sites.

A Program Environmental Impact Report (PEIR) has been prepared which analyzes the ACP and HLB treatment program in accordance with Public Resources Code (PRC), Sections 21000 et seq. The PEIR was certified in December 2014, and is available at <http://www.cdfa.ca.gov/plant/peir/>. The PEIR addresses the treatment of the ACP and HLB at the program level and provides guidance on future actions against ACP and HLB. It identifies feasible alternatives and possible mitigation measures to be implemented for individual ACP and HLB treatment activities. The ACP and HLB program has incorporated the mitigation measures and integrated pest management techniques as described in the PEIR. In accordance with PRC Section 21105, this PEIR has been filed with the appropriate local planning agency of all affected cities and counties. No local conditions have been detected which would justify or necessitate preparation of a site-specific plan.

### **Sensitive Areas**

CDFA has consulted with the California Department of Fish and Wildlife's California Natural Diversity Database for threatened or endangered species, the United States Fish and Wildlife Service, the National Marine Fisheries Service and the California Department of Fish and Wildlife when rare and endangered species are located within the treatment area. Mitigation measures for rare and endangered species will be implemented as needed. The CDFA shall not apply pesticides to bodies of water or undeveloped areas of native vegetation. All treatment shall be applied to residential properties, common areas within residential development, non-agricultural commercial properties, and rights-of-way.

### **Work Plan**

The proposed treatment area encompasses those portions of Orange County which fall within a 400-meter area around the properties on which the causative agent of HLB has been detected, and any subsequent detection sites within the proposed treatment boundaries. Notice of Treatment is valid until January 6, 2021, which is the amount of time necessary to determine that the treatment was successful. A map of the program boundaries is attached. The work plan consists of the following elements:

1. ACP Monitoring. Visual surveys and detection trapping within a 400-meter radius around each HLB detection site will be conducted to monitor post-treatment ACP populations.
2. ACP and HLB Visual Survey. All host plants will be inspected for ACP and for HLB symptoms within a 400-meter radius around each HLB detection site, at least twice a year. ACP and host plant tissue will be collected and forwarded to a USDA accredited laboratory for identification and analysis.
3. HLB Disease testing. All host tree tissues and ACP life stages shall be tested for the presence of HLB.
4. Treatment. All properties with host plants within a 400-meter radius around each HLB detection site shall be treated according to the following protocol to control ACP:
  - a. Tempo® SC Ultra, containing the contact pyrethroid insecticide cyfluthrin, shall be applied by ground-based hydraulic spray equipment to the foliage of host plants for controlling the adults and nymphs of ACP. Treatment may be reapplied up to three times annually if



additional ACP are detected.

- b. Either Merit® 2F or CoreTect™, containing the systemic insecticide imidacloprid, will be applied to the root zone beneath host plants for controlling developing nymphs and providing long term protection against re-infestation. Merit® 2F is applied as a soil drench, while CoreTect™ tablets are inserted two to five inches below the soil surface and watered in to initiate tablet dissolution. CoreTect™ is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of the liquid Merit® 2F formulation, such as host plants growing next to ponds and other environmentally sensitive areas. Treatment may be re-applied once annually if additional ACPs are detected.

## Public Information

Residents of affected properties shall be invited to a public meeting or contacted directly by CDFA staff. Consultation with the California Department of Pesticide Regulation, the Office of Environmental Health Hazard Assessment, and the county agricultural commissioner's office will be provided at the public meeting or upon request to address residents' questions and concerns.

Residents shall be notified in writing at least 48 hours in advance of any treatment in accordance with the Food and Agricultural Code (FAC), Section 5771 – 5779 and 5421-5436.

After treatment, completion notices are left with the residents detailing precautions to take and post-harvest intervals applicable to the citrus fruit. Treatment information is posted at [http://cdfa.ca.gov/plant/acp/treatment\\_maps.html](http://cdfa.ca.gov/plant/acp/treatment_maps.html).

For any questions related to this program, please contact the CDFA toll-free telephone number at 800-491-1899 for assistance. This telephone number is also listed on all treatment notices. Treatment information is posted at [http://cdfa.ca.gov/plant/acp/treatment\\_maps.html](http://cdfa.ca.gov/plant/acp/treatment_maps.html).

Press releases, if issued, are prepared by the CDFA information officer and the county agricultural commissioner, in close coordination with the program leader responsible for treatment. Either the county agricultural commissioner or the public information officer serves as the primary contact to the media.

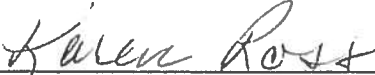
Information concerning the HLB/ACP program will be conveyed directly to local and State political representatives and authorities via letters, emails, and/or faxes.

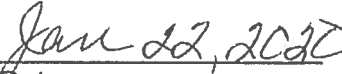
## Findings

HLB and ACP pose a significant and imminent threat to California's natural environment, agriculture, public and private property, and its economy.

The work plan involving chemical control of these pests is necessary to prevent loss and damage to California's natural environment, citrus industry, native wildlife, private and public property, and food supplies.

My decision to adopt findings and take action is based on sections 24.5, 401.5, 403, 407, 408, 5401-5405, and 5761-5764 of the FAC.

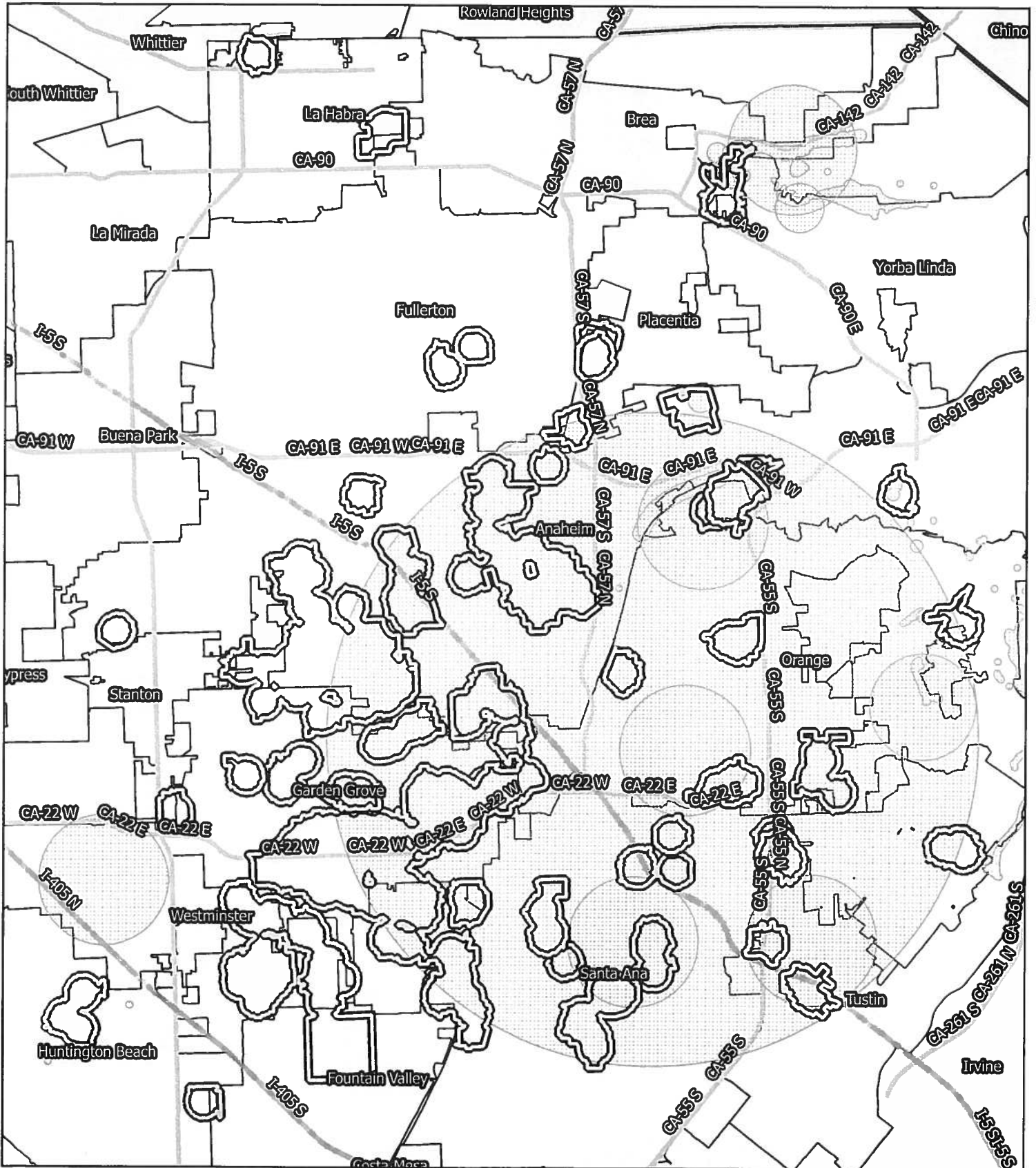
  
\_\_\_\_\_  
Karen Ross, Secretary

  
\_\_\_\_\_  
Date

# Asian Citrus Psyllid Program

Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Orange, Placentia, Santa Ana, Tustin, Westminster, Yorba Linda, Orange County Amendment

2020



|  |                              |  |   |
|--|------------------------------|--|---|
|  | Existing 400m Treatment Area |  | Sensitive Environmental Area/Treatment Mitigations In Place |
|  | New 400m Treatment Area      |  |   |

## I. Trapping and Visual Survey

### A. Urban and Rural Residential Detection Trapping and Visual Survey

This is a cooperative State/County trapping program for the Asian citrus psyllid (ACP) to provide early detection of an infestation in a county. Traps are serviced by agricultural inspectors. The trap used for ACP detection is the yellow panel trap, which is a cardboard panel coated with stickum on each side. ACP becomes entangled on the sticky surface and cannot move off the trap. Yellow panel traps have proven successful at detecting infestations of ACP. At all locations where traps are placed, the host plant is visually inspected for ACP. If ACP is detected, the host will be visually surveyed for additional ACP and symptoms of huanglongbing (HLB).

- Trap Density: Five to 16 traps/square mile.
- Trap Servicing Interval: Every two to four weeks.
- Trap Relocation and Replacement: Traps should be replaced and relocated every four to eight weeks to another host at least 500 feet away, if other hosts are available.
- Visual surveys and/or tap sampling are conducted once at each trapping site when the trap is placed.

### B. Delimitation Trapping and Visual Survey Outside of the Generally Infested Area

The protocols below are the actions in response to the detection of ACP in counties north of Santa Barbara County and the Tehachapi Mountains.

#### 1. Response to the collection one or more ACP

##### a. Trapping

Density will be 50 traps per square mile in a four-square mile delimitation area centered on the detection site. Traps will be serviced weekly for one month. If no additional ACP are detected, the traps will be serviced monthly for one year past the identification date. Additional detections may increase the size of the delimitation survey area and will restart the one-year clock on the trap servicing requirement.

##### b. Visual Survey

All find sites and adjacent properties will be visually surveyed for ACP and HLB. Additional sites may be surveyed as part of the risk-based survey.

### C. Commercial Grove Trapping

In counties with substantial commercial citrus production and are not generally infested with ACP, traps are placed within the groves at the density of one trap per 40 acres. Traps are replaced every month and submitted for screening.

In areas that are generally infested with ACP, agricultural inspectors visually survey commercial groves for plant tissue displaying symptoms of HLB and collect ACP which are tested for HLB.

### D. Transect Survey

If high or scattered ACP populations are found in the initial inspections, a transect survey may be implemented to rapidly determine the extent of the infestation. This involves

Asian Citrus Psyllid/ Huanglongbing Work Plan  
December 2018

inspecting a minimum of 20 properties per square mile and/or placing 20 traps per square mile along eight radii in the cardinal directions (e.g., north, northeast, etc.). Transect surveys extend between five and 20 miles beyond a detection site, depending on the situation.

## **II. Treatment**

CDFA's treatment activities for ACP vary throughout the state and depend on multiple factors. Factors CDFA considers prior to treatment include:

- Determination if suppression of ACP is feasible;
- The proximity of the ACP infestation to commercial citrus;
- Whether growers are conducting coordinated treatment activities;
- The level of HLB risk;
- Consistency with the overall goal of protecting the state's commercial citrus production.

### **Treatment scenarios throughout the state in which treatment will occur:**

- In areas with commercial citrus production that are generally infested with ACP, and where all growers are treating on a coordinated schedule; CDFA may conduct residential buffer treatments to suppress ACP populations.
- In areas with commercial citrus production that are not generally infested with ACP; CDFA will conduct residential treatments in response to ACP detections.
- In areas where HLB is detected, CDFA will conduct residential treatments to suppress ACP populations.
- In areas where ACP has not been previously detected, or where ACP has been detected at low densities, CDFA will conduct residential treatments to prevent ACP establishment or suppress populations.
- In areas where ACP has been detected along the California-Mexico border, CDFA will conduct residential treatments in response to ACP detections to suppress ACP populations.

CDFA's current policy is to not conduct treatments in areas that are generally infested if there is limited or no commercial citrus production in the area, or if all growers in the area are not treating.

### **1. Treatment Protocols**

A Program Environmental Impact Report (PEIR) has been certified which analyzes the ACP treatment program in accordance with Public Resources Code, Sections 21000 et seq. The PEIR is available at <http://www.cdfa.ca.gov/plant/peir>. The treatment activities described below are consistent with the PEIR.

In accordance with the integrated pest management principles, the CDFA has evaluated possible treatment methods and determined that there are no physical, cultural, or biological control available to eliminate ACP from an area.

In general, when treatment has been deemed appropriate, CDFA applies insecticides to host trees in the residential (urban) areas in a 50 to 800-meter radius around each detection site. Only ACP host plants are treated.

#### **a. Within two miles of International Border with Mexico**

Asian Citrus Psyllid/ Huanglongbing Work Plan  
December 2018

- CDFA will treat residential citrus host plants within a 400-meter buffer of the border if ACP have been detected within one mile of the border within one year.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**b. Within a Generally Infested Area with Commercial Citrus Production**

- CDFA will treat residential citrus host plants within a 400-meter buffer surrounding commercial citrus groves if the growers are conducting coordinated treatments in 90 percent of the designated Psyllid Management Area and if ACP have been detected within one mile of the commercial citrus groves within one year.
  - The exception is Imperial County, which has fewer residential properties, and therefore residential citrus host plants will be treated within 800 meters of commercial citrus.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**c. Outside of the Generally Infested Area**

The actions below are in response to the detection of one or more ACP in counties north of Santa Barbara County and the Tehachapi Mountains.

- Detection of one ACP - All properties with hosts within a 50-meter radius of the detection site will be treated.
- Detection of two or more ACP - All properties with hosts within a 400-meter radius of the detection site will be treated.
- A NOT will be issued.
- A public meeting will be held at least once per year.

The actions below are in response to the detection of two or more ACP in Fresno, Madera, Kern, Kings, and Tulare counties.

- Detection of two or more ACP on one trap or one or more ACP detected on separate traps within 400 meters of each other within a six-month period – All properties with hosts within a 400-meter radius will be treated.
- In a commercial citrus environment, where there are few residences in the area, CDFA will treat the residential area within an 800-meter buffer surrounding commercial citrus groves if the growers are conducting coordinated treatments.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**d. In response to an HLB Detection**

- All properties within a 400-meter radius of the detection site will be treated.
- A NOT will be issued.
- All host plants found to be infected with HLB shall be destroyed.

Asian Citrus Psyllid/ Huanglongbing Work Plan  
December 2018

- Infected host plants shall be removed and destroyed by mechanical means.
- A Proclamation of an Emergency Program (PEP) will be issued.
- A public meeting will be held at least once per year.

**2. Treatment Methodology**

The treatment protocol consists of both a foliar and a systemic insecticide. The foliar insecticide is used for immediate reduction of the adult population in order to prevent the adults from dispersal. The systemic insecticide is a soil treatment used to kill the sedentary nymphs and provide long term protection against reinfestation. Treatment frequency is dependent on the insecticide applied and severity of the infestation. Treatments will end no later than two years after the last psyllid detection in the treatment area.

CDFA uses registered pesticides and follows the label directions. The treatment protocol may be adjusted to use only the foliar or the systemic insecticide to allow for mitigations in special situations.

**a. Foliar Treatment**

Tempo® SC Ultra (cyfluthrin) is a pyrethroid contact insecticide. Treatment will initially occur once, and subsequent applications may occur for up to three times annually if additional psyllids are detected. This material will be applied to the foliage of all host plants using hydraulic spray or hand spray equipment.

**b. Soil Treatment**

A systemic soil application will be made using either Merit® 2F or CoreTect™.

- Merit® 2F (imidacloprid), is a neonicotinoid systemic insecticide. Treatment will initially occur once, and a subsequent application may occur once on an annual basis if additional psyllids are detected. This material will be applied to the soil within the root zone of host plants.
- CoreTect™ (imidacloprid) is a neonicotinoid systemic insecticide. It is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of the liquid Merit® 2F formulation, such as host plants growing next to ponds and other environmentally sensitive areas. Treatment will initially occur once, with a subsequent application once on an annual basis if additional psyllids are detected. This material is a pelletized tablet and is inserted into the soil and watered in within the root zone of host plants.

**INTEGRATED PEST MANAGEMENT ANALYSIS OF ALTERNATIVE TREATMENT  
METHODS FOR CONTROL OF THE ASIAN CITRUS PSYLLID AND HUANGLONGBING  
May 2018**

The treatment program used by the California Department of Food and Agriculture (CDFA) for control of the Asian citrus psyllid (ACP), *Diaphorina citri* (Hemiptera: Psyllidae), and the disease it transmits, namely Huanglongbing, *Candidatus* Liberibacter asiaticus, targets multiple life stages. A contact insecticide is used for an immediate control of ACP adults in order to prevent spread, and a systemic insecticide is used to control developing ACP nymphs and to give the plant long term protection from re-infestation. The contact insecticide preferentially used contains the synthetic pyrethroid cyfluthrin, while the systemic insecticide contains the synthetic neonicotinoid imidacloprid. Both products have been shown to be effective against ACP elsewhere, particularly in Florida. In addition, HLB-infected plants are removed in their entirety and destroyed, in order to remove a reservoir for the disease. The California Huanglongbing Task Force, a joint government, university, and industry group formed in 2007 to provide guidance to the CDFA on matters pertaining to ACP and HLB has endorsed the use of these chemicals in the CDFA's treatment program.

Below is an evaluation of alternative treatment methods to control ACP and HLB which have been considered for treatment programs in California.

#### **A. PHYSICAL CONTROL**

**Mass Trapping.** Mass trapping of adults involves placing a high density of traps in an area in an attempt to physically remove them before they can reproduce. The current available trapping system for ACP relies on short distance visual stimulus, and is not considered effective enough to use in a mass trapping program.

**Active Psyllid Removal.** Adult ACPs are mobile daytime fliers, and adults could theoretically be netted or collected off of foliage. However, due to their ability to fly when disturbed, and the laborious and time-prohibitive task of collecting minute insects from several properties by hand, it would be highly unlikely that all adults could be captured and removed. Nymphs attach themselves to developing leaves and stems via their proboscis. Therefore, physical removal of the nymphs would entail removal of the growing shoots which will stunt the tree and reduce fruit production. For these reasons, mechanical control is not considered to be an effective alternative.

**Host Removal.** Removal of host plants for ACP would involve the large-scale destruction of plants and their roots by either physical removal or phytotoxic herbicides. Additionally, host removal could promote dispersal of female psyllids in search of hosts outside of the treatment area, thus spreading the infestation. For these reasons, host removal is considered inefficient and too intrusive to use over the entirety of the treatment areas used for ACP. However, physical host removal of HLB-infected plants in their entirety is used for HLB control, because it is limited in scope to just the infected tree and it is effective at eliminating the disease reservoir, thereby preventing further spread of the disease by ACP.

#### **B. CULTURAL CONTROL**

**Cultural Control.** Cultural controls involve the manipulation of cultivation practices to reduce the prevalence of pest populations. These include crop rotation, using pest-resistant varieties, and intercropping with pest-repellent plants. None of these options are applicable for ACP control in an urban environment, and may only serve to drive the psyllids outside the treatment area, thus spreading the infestation.



### C. BIOLOGICAL CONTROL

**Microorganisms.** No single-celled microorganisms, such as bacteria, are currently available to control ACP.

**Nematodes.** Entomopathogenic nematodes can be effective for control of some soil-inhabiting insects, but are not effective, nor are they used, against above ground insects such as psyllids.

**Parasites and Predators.** There have been two parasites released in Florida against ACP, but only one of these are considered somewhat successful there, namely *Tamarixia radiata* (Hymenoptera: Eulophidae). This insect has been released into the environment in southern California. The CDFA is working with the citrus industry to pursue options for incorporating this parasite into treatment programs statewide. In addition, a second wasp has been recently released by the University of California Riverside, *Diaphorencyrtus aligarhensis*.

**Sterile Insect Technique (SIT).** SIT involves the release of reproductively sterile insects which then mate with the wild population, resulting in the production of infertile eggs. SIT has neither been researched nor developed for ACP, nor has it been developed for any species of psyllids, and is therefore unavailable.

### D. CHEMICAL CONTROL

**Foliar Treatment.** A number of contact insecticides have been researched for use against ACP elsewhere, particularly in Florida. Contact insecticides are more effective against adult ACPs than the sedentary nymphs because adults actively move around on plants, thereby coming into contact with residues, whereas nymphs have to be directly sprayed in order for them to come into contact. The following product has been identified for use by the CDFA, based on a combination of effectiveness against ACP, worker and environmental safety, and California registration status.

Tempo® SC Ultra is a formulation of cyfluthrin which is applied to the foliage of all host plants. Tempo® SC Ultra is a broad-spectrum synthetic pyrethroid insecticide which kills insects on contact. Tempo® SC Ultra has no preharvest interval, which makes it compatible with residential fruit-growing practices.

**Soil Treatment.** A number of systemic insecticides have been researched for use against ACP elsewhere, particularly in Florida. Systemic insecticides are particularly effective against psyllid nymphs because nymphs spend much of their time feeding, thereby acquiring a lethal dose. The following products have been identified for use by the CDFA, based on a combination of effectiveness against ACP, worker and environmental safety, and California registration status.

Merit® 2F is a formulation of imidacloprid which is applied to the root system of all host plants via a soil drench. Imidacloprid is a synthetic neonicotinoid insecticide which controls a number of other phloem feeding pests such as psyllids, aphids, mealybugs, etc.

CoreTect™ is a formulation of imidacloprid which is applied to the root system of all host plants via insertion of a tablet into the soil, followed by watering. It is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of the liquid Merit® 2F formulation, such as host plants growing next to ponds and other environmentally sensitive areas.

## E. RESOURCES

- Grafton-Cardwell, E. E. and M. P. Daugherty. 2013. Asian citrus psyllid and huanglongbing disease. Pest Notes Publication 74155. University of California, Division of Agriculture and Natural Resources Publication 8205. 5 pp.  
<http://www.ipm.ucdavis.edu/PDF/PESTNOTES/pnasiancitruspsyllid.pdf>.
- Grafton-Cardwell, E. E., J. G. Morse, N. V. O'Connell, P. A. Phillips, C. E. Kallsen, and D. R. Haviland. 2013. UC IPM Management Guidelines: Citrus. Asian Citrus Psyllid. Pest Notes Publication 74155. University of California, Division of Agriculture and Natural Resources. <http://www.ipm.ucdavis.edu/PMG/r107304411.html>.

## PEST PROFILE

Common Name: Asian Citrus Psyllid

Scientific Name: *Diaphorina citri* Kuwayama

Order and Family: Hemiptera, Psyllidae

Description: The Asian citrus psyllid (ACP) is 3 to 4 millimeters long with a brown mottled body. The head is light brown. The wings are broadest in the apical half, mottled, and with a dark brown band extending around the periphery of the outer half of the wing. The insect is covered with a whitish waxy secretion, making it appear dusty. Nymphs are generally yellowish orange in color, with large filaments confined to an apical plate of the abdomen. The eggs are approximately 0.3 millimeters long, elongated, and almond-shaped. Fresh eggs are pale in color, then, turn yellow, and finally orange at the time of hatching. Eggs are placed on plant tissue with the long axis vertical to the surface of the plant.

History: Asian citrus psyllid was first found in the United States in Palm Beach County, Florida, in June 1998 in backyard plantings of orange jasmine. By 2001, it had spread to 31 counties in Florida, with much of the spread due to movement of infested nursery plants. In the spring of 2001, Asian citrus psyllid was accidentally introduced into the Rio Grande Valley, Texas on potted nursery stock from Florida. It was subsequently found in Hawaii in 2006, in Alabama, Georgia, Louisiana, Mississippi, and South Carolina in 2008. ACP was first found in California on August 27, 2008 in San Diego County. Subsequent to this initial detection in San Diego County, the ACP has been detected in Fresno, Imperial, Kern, Los Angeles, Orange, Riverside, San Bernardino, San Luis Obispo, Santa Barbara, Tulare, Ventura, Marin, Monterey, San Francisco, and Santa Clara counties. The ACP has the potential to establish itself throughout California wherever citrus is grown.

Distribution: ACP is found in tropical and subtropical Asia, Afghanistan, Saudi Arabia, Reunion, Mauritius, parts of South and Central America, Mexico, the Caribbean, and in the U.S. (Alabama, Arizona, California, Florida, Georgia, Hawaii, Louisiana, Mississippi, South Carolina, and Texas).

Life Cycle: Eggs are laid on tips of growing shoots; on and between unfurling leaves. Females may lay more than 800 eggs during their lives. Nymphs pass through five instars. The total life cycle requires from 15 to 47 days, depending on environmental factors such as temperature and season. The adults may live for several months. There is no diapause, but populations are low in the winter or during dry periods. There are nine to ten generations a year, with up to 16 noted under observation in field cages.

Hosts and Economic Importance: ACP feeds mainly on *Citrus* spp., at least two species of *Murraya*, and at least three other genera, all in the family Rutaceae. Damage from the psyllids occurs in two ways: the first by drawing out of large amounts of sap from the plant as they feed and, secondly, the psyllids produce copious amounts of honeydew. The honeydew then coats the leaves of the tree, encouraging sooty mold to grow which blocks sunlight to the leaves. However, the most serious damage caused by ACP is due to its ability to effectively vector three phloem-inhabiting bacteria in the genus *Candidatus Liberibacter*, the most widespread being *Candidatus Liberibacter asiaticus*. These bacteria cause a disease known as huanglongbing, or citrus greening. In the past, these bacteria have been extremely difficult to detect and

characterize. In recent years, however, DNA probes, electron microscopy, and enzyme-linked immunosorbent assay tests (ELISA) have been developed that have improved detection. Symptoms of huanglongbing include yellow shoots, with mottling and chlorosis of the leaves. The juice of the infected fruit has a bitter taste. Fruit does not color properly, hence the term "greening" is sometimes used in reference to the disease. Huanglongbing is one of the most devastating diseases of citrus in the world. Once infected, there is no cure for disease and infected trees will die within ten years. The once flourishing citrus industry in India is slowly being wiped out by dieback. This dieback has multiple causes, but the major reason is due to HLB.

#### Host List

##### **SCIENTIFIC NAME**

*Aegle marmelos*  
*Aeglopsis chevalieri*  
*Afraegle gabonensis*  
*Afraegle paniculata*  
*Amyris madrensis*  
*Atalantia monophylla*  
*Atalantia* spp.  
*Balsamocitrus dawei*  
*Bergia* (=Murraya) *koenigii*  
*Calodendrum capense*  
*X Citroncirus webberi*  
*Choisya arizonica*  
*Choisya ternate*  
*Citropsis articulata*  
*Citropsis gilletiana*  
*Citropsis schweinfurthii*  
*Citrus aurantiifolia*  
  
*Citrus aurantium*  
  
*Citrus hystrix*  
*Citrus jambhiri*  
*Citrus limon*  
*Citrus madurensis*  
(=X *Citrofortunella microcarpa*)  
*Citrus maxima*  
*Citrus medica*  
*Citrus meyeri*  
*Citrus × nobilis*  
*Citrus × paradisi*  
*Citrus reticulata*  
*Citrus sinensis*  
*Citrus* spp.  
*Clausena anisum-olens*  
*Clausena excavata*  
*Clausena indica*  
*Clausena lansium*

##### **COMMON NAMES**

bael, Bengal quince, golden apple, bela, milva  
Chevalier's aeglopsis  
Gabon powder-flask  
Nigerian powder-flask  
mountain torchwood  
Indian atalantia  
  
Uganda powder-flask  
curry leaf  
Cape chestnut  
  
Arizonia orange  
Mexican or mock orange  
Katimboro, Muboro, West African cherry orange  
cherry-orange  
African cherry-orange  
lime, Key lime, Persian lime, lima, limón agrio, limón ceutí,  
lima mejicana, limero  
sour orange, Seville orange, bigarde, marmalade orange,  
naranja agria, naranja amarga  
Mauritius papeda, Kaffir lime  
rough lemon, jambhiri-orange, limón rugoso, rugoso  
lemon, limón, limonero  
calamondin  
  
pummelo, pomelo, shaddock, pompelmous, toronja  
citron, cidra, cidro, toronja  
Meyer lemon, dwarf lemon  
king mandarin, tangor, Florida orange, King-of-Siam  
grapefruit, pomelo, toronja  
mandarin, tangerine, mandarina  
sweet orange, orange, naranja, naranja dulce  
  
anis  
clausena  
clausena  
wampi, wampee

|                                      |   |
|--------------------------------------|---|
| <i>Clymenia polyandra</i>            | a-mulis   |
| <i>Eremocitrus glauca</i>            | Australian desert lime                                  |
| <i>Eremocitrus hybrid</i>            |   |
| <i>Esenbeckia berlandieri</i>        | Berlandier's jopoy                                      |
| <i>Fortunella crassifolia</i>        | Meiwa kumquat   |
| <i>Fortunella margarita</i>          | Nagami kumquat, oval kumquat                            |
| <i>Fortunella polyandra</i>          | Malayan kumquat   |
| <i>Fortunella spp.</i>               |   |
| <i>Limonia acidissima</i>            | Indian wood apple                                       |
| <i>Merrillia caloxylon</i>           | flowering merrillia                                     |
| <i>Microcitrus australasica</i>      | finger-lime   |
| <i>Microcitrus australis</i>         | Australian round-lime                                   |
| <i>Microcitrus papuana</i>           | desert-lime   |
| <i>X Microcitronella spp.</i>        |   |
| <i>Murraya spp.</i>                  | curry leaf, orange-jasmine, Chinese-box, naranjo jazmín |
| <i>Naringi crenulata</i>             | naringi   |
| <i>Pamburus missionis</i>            |   |
| <i>Poncirus trifoliata</i>           | trifoliolate orange, naranjo trébol                     |
| <i>Severinia buxifolia</i>           | Chinese box-orange                                      |
| <i>Swinglea glutinosa</i>            | tabog   |
| <i>Tetradium ruticarpum</i>          | evodia, wu zhu yu                                       |
| <i>Toddalia asiatica</i>             | orange climber  |
| <i>Triphasia trifolia</i>            | trifoliolate limeberry, triphasia                       |
| <i>Vepris (=Toddalia) lanceolata</i> | white ironwood  |
| <i>Zanthoxylum fagara</i>            | wild lime, lime prickly-ash                             |



 **United States Department of Agriculture**  
Animal and Plant Health Inspection Service

 **United States Department of Agriculture**  
Agricultural Research Service

## **Briefing Paper: Recent changes in the ACP/HLB invasion in California and implications for regional quarantines**

**Date: 11/22/2017**

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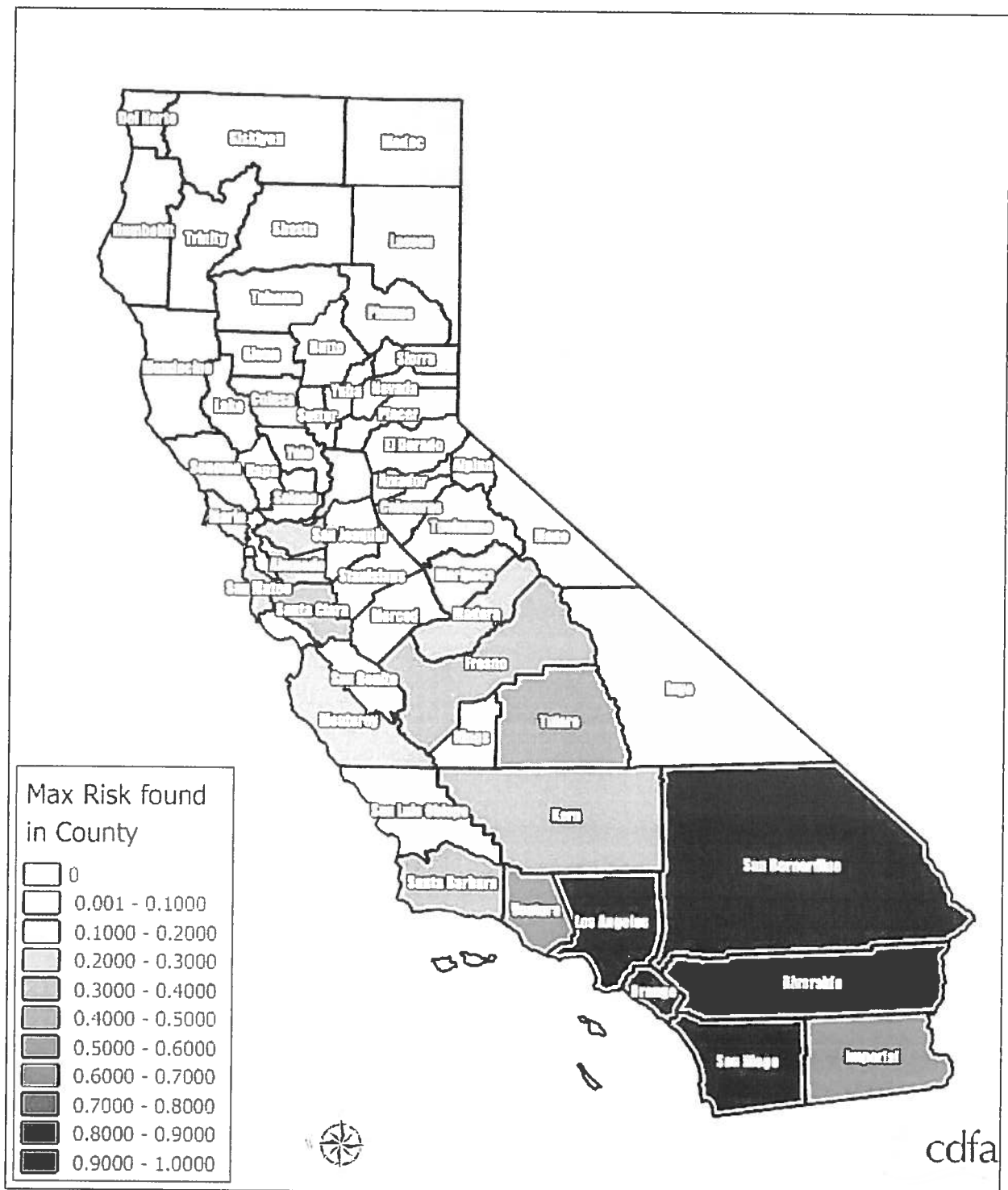
USDA-APHIS-PPQ, Field Operations – Data Analysis, Risk, and Targeting, 2150 Centre Ave., Bldg B., 3E14, Fort Collins. CO 80526

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### **State-wide background risk level for HLB**

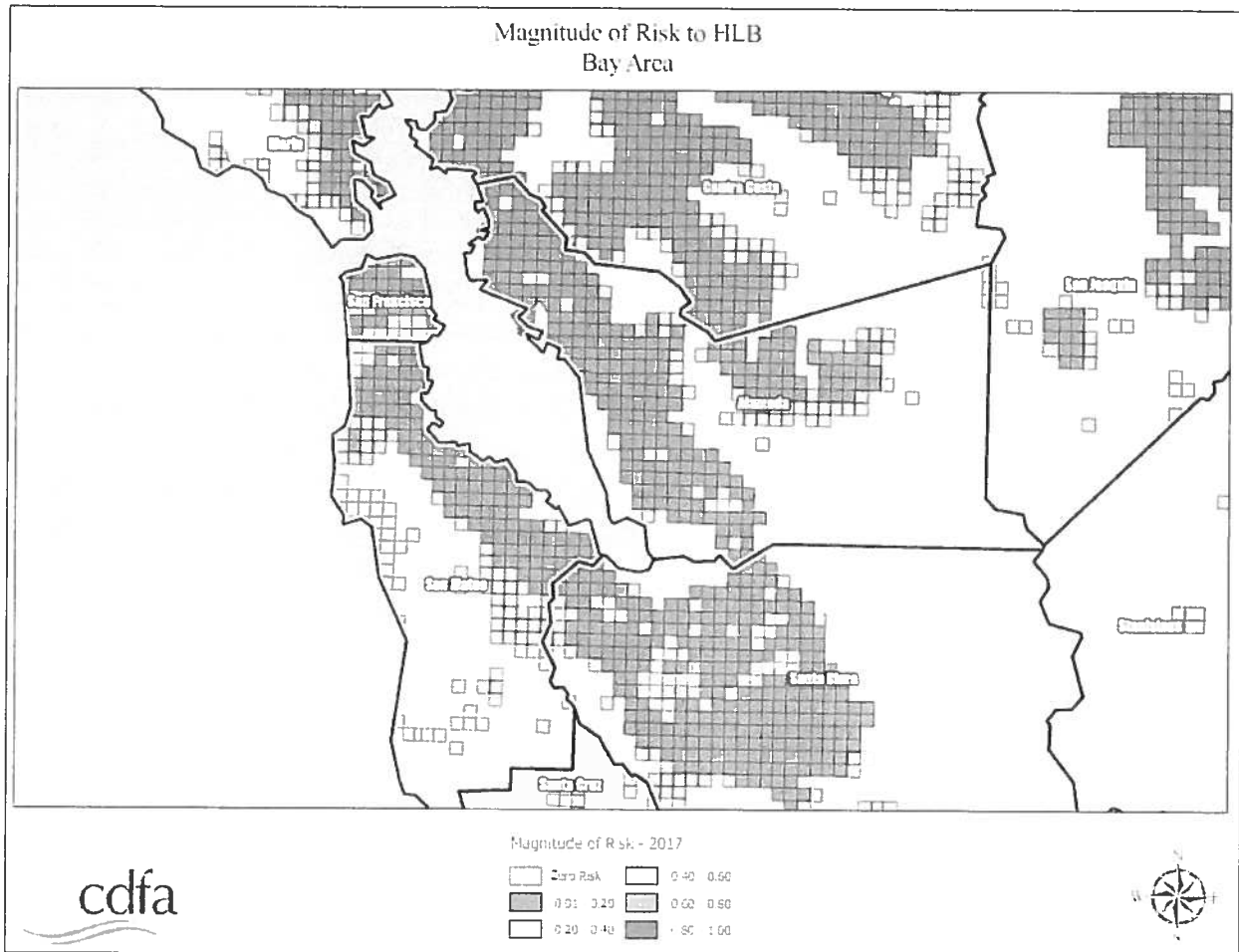
Since 2012, a background risk level for HLB in both residential and commercial citrus in each square mile of interest has been calculated 2-3 times per year using a risk model developed in Florida and adapted for use in California (Gottwald et al., 2014). The model uses a range of risk variables including census data, topography, land use, and known incidence of both HLB and Asian Citrus Psyllid (ACP) to produce a risk value ranging from 0 (extremely low risk) to 1 (very high risk) that applies to each square mile. Figure 1 shows the current risk status across the state at a county level, where the risk level applied to the county is the highest value for any individual square mile within that county



**Figure 1. Maximum HLB risk level by county across California as estimated by the USDA-ARS HLB risk model.**

In Figure 1 note that the risk level is generally higher in the south than north, because of the known presence of HLB and large ACP population in the southern counties. Note also that in northern California even counties with only a few ACP detections – for example Santa Clara County – may still have

relatively high risk levels because of population census data that indicate the background risk of the presence of infected citrus in private yards is relatively high. To illustrate this point further, Figure 2 shows the San Francisco Bay Area in more detail.



**Figure 2. Individual square mile HLB risk levels for the San Francisco Bay Area. Note that the general risk level is low, but there are pockets of moderately high risk in San Francisco itself, and more noticeably in San Jose, associated with population census risk factors; ACP detections in this area is still low and sporadic.**

While the background risk of HLB is strongly dependent on factors which are either static (e.g. topography) or change only slowly (e.g. human socio-economic factors) the presence of the ACP vector of the pathogen introduces a large dynamic component into the risk level across the state. To illustrate the impact of the vector population on changing risk status for HLB Figure 3 shows changes in HLB risk for the proposed quarantine areas 5 (San Diego, Imperial and Eastern Riverside) and 6 (LA, Western Riverside, San Bernardino and Orange). The risk level is shown as a blue-to-red heat map with higher risk indicated by darker red color and lower risk indicated by darker blue color; a time series of six periods is shown for each area.



Zone 6, 2012-13



Zone 6, 2013-14



Zone 6, 2014-15



Zone 6, 2015-16



Zone 6, 2016-17



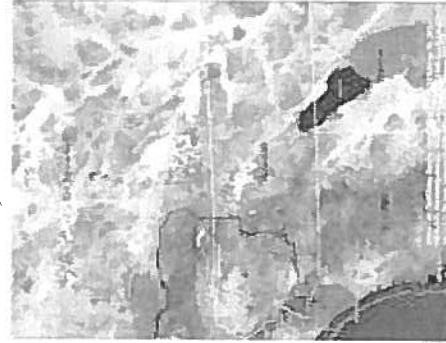
Zone 5, 2012-13



Zone 5, 2013-14



Zone 5, 2014-15



Zone 5, 2015-16



Zone 5, 2016-17



**Figure 3. Changes in background risk of HLB in proposed quarantine areas 5 and 6 from 2012 to present. Red color indicates high risk, blue indicates low risk. Note that the location of the early HLB detections in Hacienda Heights and San Gabriel falls inside the single high-risk area predicted in 2012. The progressive increase in risk in both areas is apparent with the passage of time. All known cases of HLB are in proposed Quarantine Area 6.**

Figure 3 tells us at least two useful things about HLB risk. First, note that in 2012-13 the only area of predicted high risk was centered on Hacienda Heights and San Gabriel, the locations of the first HLB discoveries in California; in other words, the risk model correctly anticipated the presence of HLB. Also note that the model also highlighted the focus of high risk in the city of Riverside as early as 2013-14; this outbreak emerged in 2017. These results are important for interpreting the presence of areas of elevated risk in places such as San Jose. Second, the pattern of change in risk in both areas 5 and 6 is a steady increase, spreading out from the original high risk area in LA, but also with additional foci developing at locations quite distant from the original focus. These changes are associated mainly with the spread of ACP through the region and the patterns of population density of the insect recorded in the risk-based surveys.

Taken together the results presented in this section highlight two important aspects of HLB risk that are relevant to quarantine regulations:

1. Because HLB-affected citrus plant material can be propagated and spread by human activity, the risk of HLB and ACP are to some extent independent, particularly in areas that are not generally infested with ACP.
2. **The risk of HLB can exist before the arrival of the vector** in an area because HLB-affected plant material is often brought to an area by human activities.

After ACP infests an area with pre-existing infected trees present, the vector population eventually comes into contact with the infected trees and foci of disease begin to build around them. This is because ACP acquires the pathogen from the infected trees and establishes a recurring cycle of infection and acquisition. Because trees remain asymptomatic for a long period of time, spread in the absence of detection and tree removal can occur.

### **Reducing disease spread by quarantines**

The basic principle of underlying the use of quarantines is to restrict the spread of disease by sub-dividing an area into smaller regions and limiting the opportunities for disease to spread from one region to another. In the case of invasive and highly mobile diseases, quarantines should be applied early and rigorously to have the largest effect on disease spread. Importantly, quarantines do not have to be 100% effective to be worth imposing. If the incursion of the disease into generally uninfected areas can be limited to a low rate, and psyllid populations can be kept low, local eradications can be achieved when new incursions are detected.

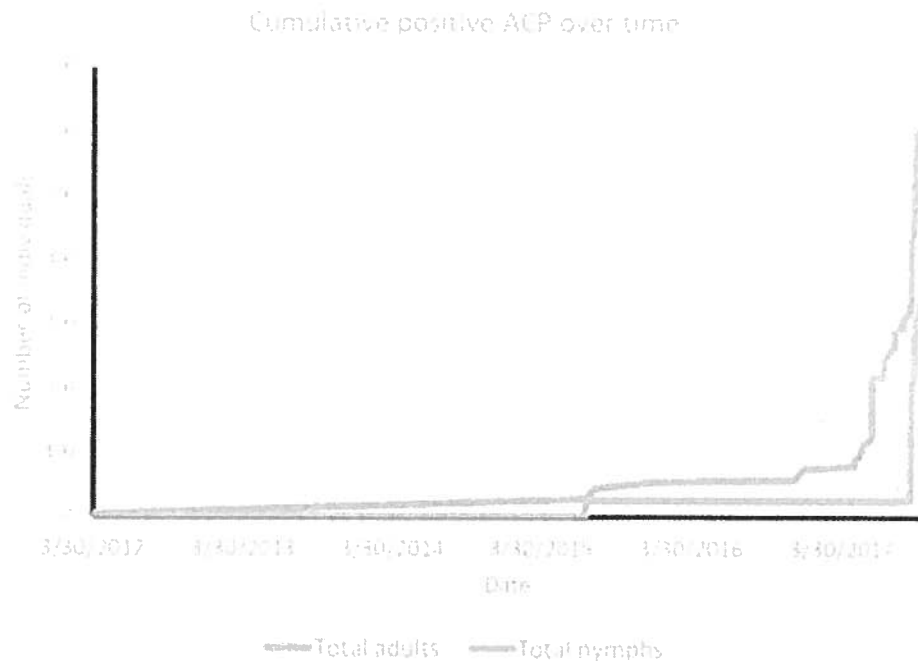
The basic idea of setting up quarantine regions within the state is an ecological analogue of the idea of constructing a ship using multiple watertight compartments; even if one compartment is flooded, as long as the flow of water is negligible to the other compartments the ship won't sink. In instituting a quarantine policy, the aim is to limit the flow of vectors and disease throughout the state and thus safeguard the industry and homeowners as a whole.

### Recent changes in the dynamics of HLB/ACP detections

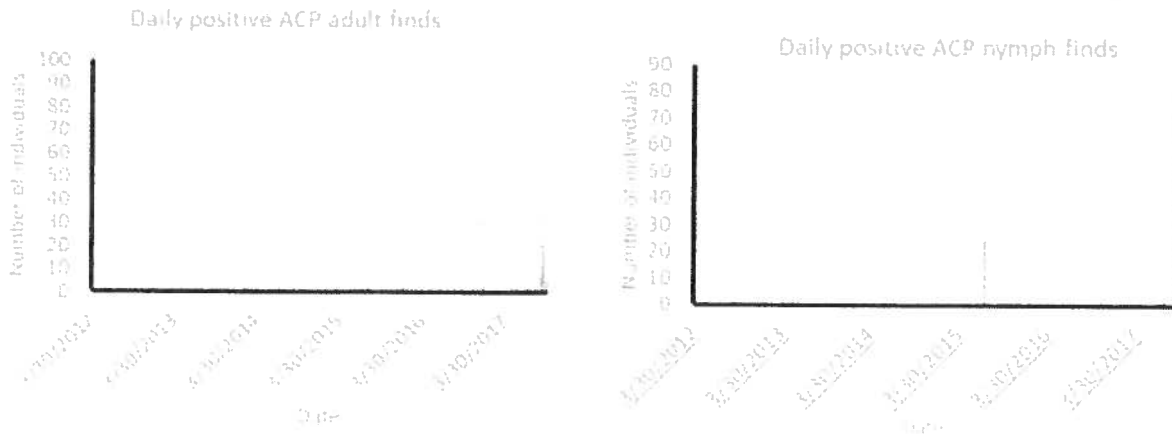
Until recently, the rate of accumulation of new positive ACP and tree detections had been relatively stable. Over the last 6 months there has been a dramatic increase in the rate of new detections of HLB infections in both ACP and citrus trees. In addition, there has been a recent increase in the number of cities in which positive finds have been reported and a sharp increase in the number of ACP nymph detections. These results are summarized in Figures 4 through 7.

Taken together the results indicate an exponential increase in the intensity of the HLB epidemic at multiple scales. The pathogen is becoming more prevalent in the vector population and in the tree population. At the same time, the upswing in nymphal detections indicates that the transmission rate is increasing and the increase in the number of cities with positive detections indicates that the geographic extent of the epidemic is increasing rapidly.

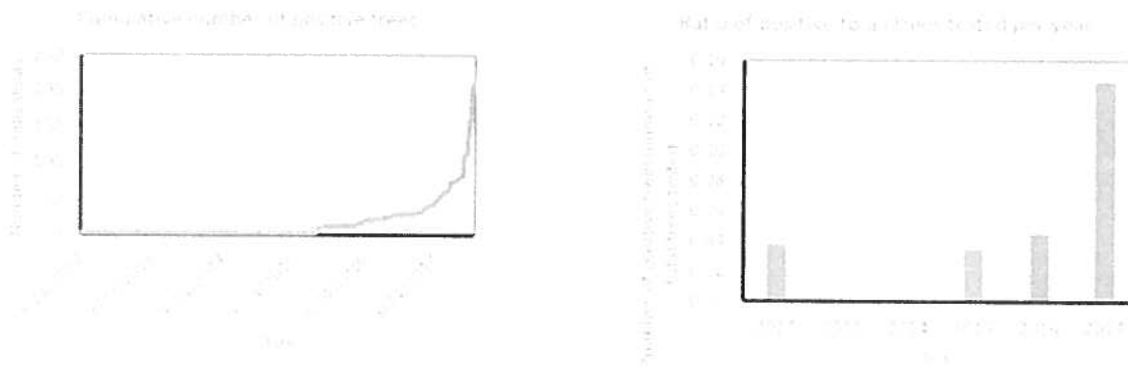
Most of these changes have become apparent only in the last 6 months. Given the very sharp increase in the intensity of the epidemic, a rapid response is needed to implement additional measures to slow the rate of spread of HLB beyond its current range before the opportunity is lost.



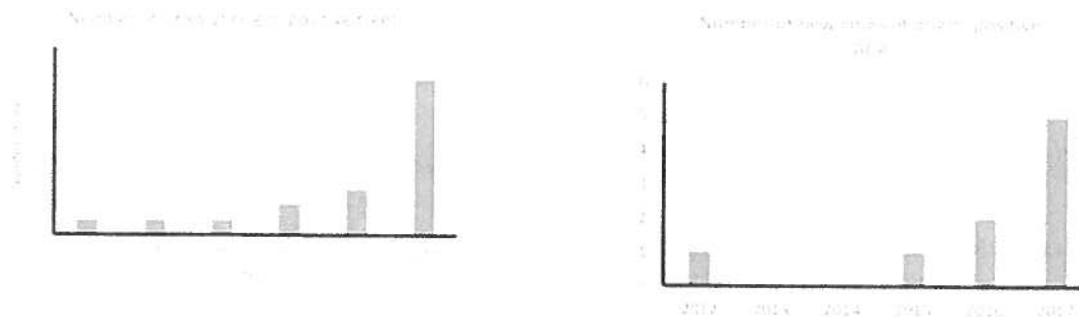
**Figure 4: Cumulative counts of PCR-positive ACP samples collected in California over time since 2012. Note the sharp increase in the rate of accumulation from mid-2017 onwards.**



**Figure 5: Daily discovery rate for PCR-positive ACP (adults and nymphs are shown separately). Note the sharp increase in finds toward the end of 2017, particularly for nymphs which had largely been absent from positive samples until recent detections.**



**Figure 6: PCR-positive tree detections over time. In the left panel the cumulative number of detections is shown, highlighting the exponential increase in 2017. In the right panel the ratio of positive trees to all trees tested per year is shown. Note that until 2017 the ratio had been more or less stable at approximately 5%, but has nearly tripled in 2017 to just under 15%.**



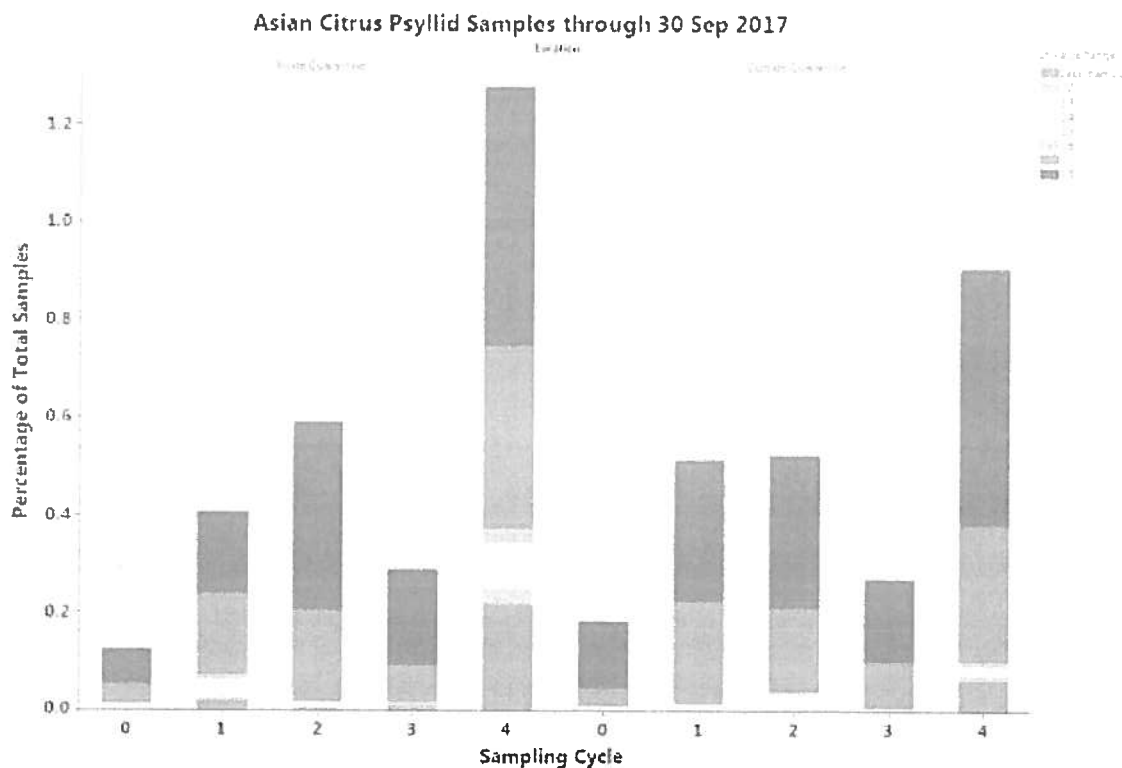
**Figure 7: Numbers of cities with PCR-positive ACP detections over time. The left panel shows the cumulative figure, the right panel shows the number of new cities per year. Mirroring the results for trees and for ACP, note the sharp increase in 2017. These results indicate that the epidemic is intensifying across several spatial scales at a very high rate.**

## Changes in diagnostic results on tested Asian Citrus Psyllids

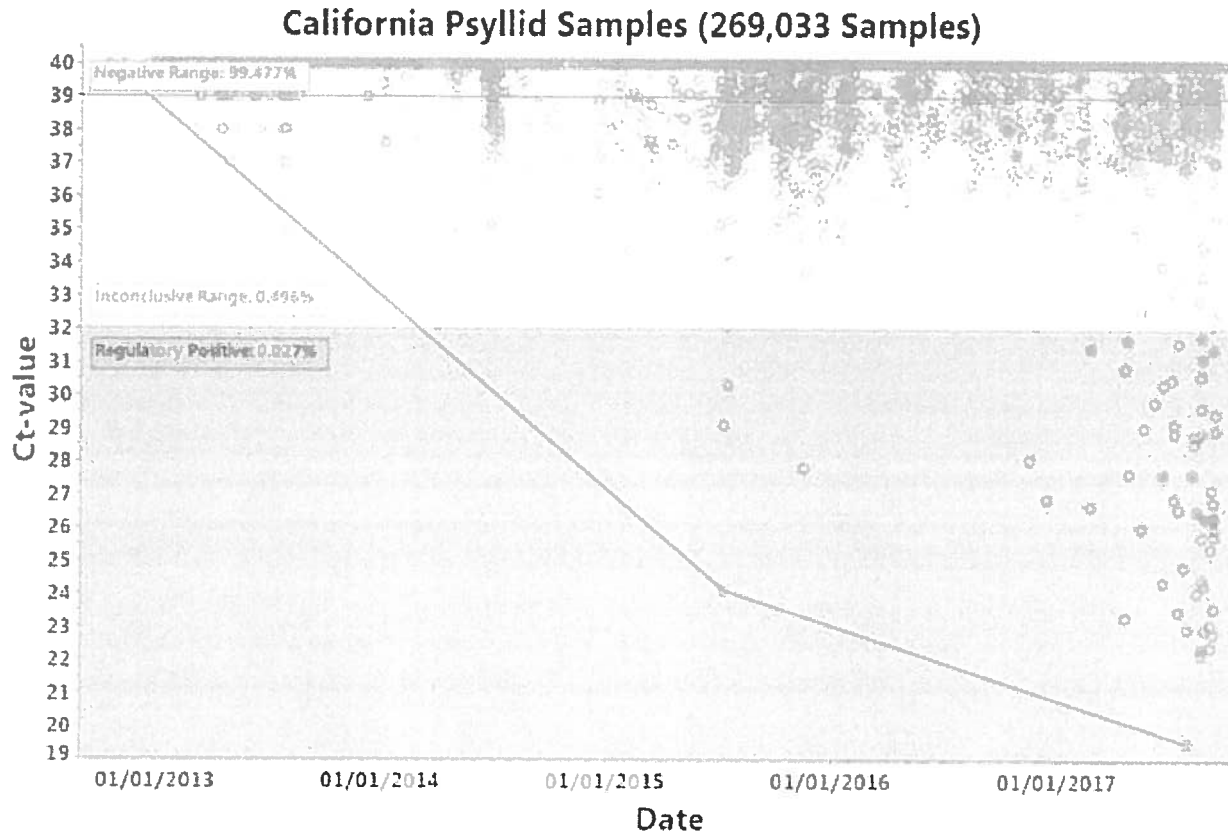
The previous section detailed the recent sharp increases in PCR detections for ACP and trees. These increases indicate that the pathogen population is growing and this can be seen directly by considering the Ct values in qPCR tests. Results highlighting the increase in the pathogen population are shown here in Figures 8 and 9.

Figure 8 shows the data for qPCR Ct values obtained from psyllid samples collected in different sampling cycles of the survey program. The data are sub-divided into samples obtained from inside and outside the existing HLB quarantine areas. It can be seen that the Ct values obtained from ACP samples inside the quarantine areas are showing a much faster increase in the proportion of low values (CT <32 to 33), indicating an intensification of the pathogen population in the vector population.

The presence of some ACP with low qPCR Ct values outside the existing quarantine areas highlights the risk of ACP moving the disease around and the need for quarantine regulations that apply at a larger scale than the current radius around confirmed HLB-positive trees.



**Figure 8: qPCR test results on ACP samples tested by CDFA through 30 September 2017. Note that the proportion of light blue and red (indicating presence of the HLB pathogen) in the samples from inside the quarantine areas (left panel) has increased over time, whereas no corresponding change is apparent in samples outside the quarantine areas (right panel).**



**Figure 9: qPCR regulatory results recorded since the detection of HLB in California over time compared to the concentration of the pathogen in the sample (Ct < 32.1= HLB positive (red zone), Ct 32.1-38.9 = suspect (yellow zone), Ct > 38.9=HLB not detected (green zone)). The lower the Ct value, the higher the concentration of the HLB bacterium. Note the trend towards lower Ct values over time and the increase in numbers of HLB positive psyllids starting in 2015 and continuing through 2017 indicating that the titre (concentration) of HLB DNA in the psyllids is increasing.**

#### **Implications of changes in the dynamics and recommendations**

To summarize the recent changes in the dynamics of HLB/ACP detections in trees and psyllids:

1. The number of HLB positive citrus trees detected has increased exponentially in the last 4 months as compared to the previous 6 years.
2. The number of HLB positive and infectious Asian citrus psyllids has increased exponentially in the last four months as compared to the previous 6 years.
3. These HLB infectious psyllids are spreading to new communities in the LA basin at a significantly escalated rate compared to the previous 6 years.
4. These infectious psyllids can be spread by movement of ACP-host nursery stock, bulk citrus, and other possible carriers of ACP.

Given the above developments in the California HLB epidemic it is of the utmost urgency to further compartmentalize the state using quarantine zones defined by HLB risk to commercial citrus (rather than 5 mile and county wide quarantines). This will help to reduce the potential for spread of HLB to zones where HLB has not been detected in citrus trees, nor has Asian citrus psyllid become established in some cases. The proposal to divide the state into 7 zones for bulk citrus movement and three zones for nursery stock, will serve to restrict the dispersal of HLB and its ACP vectors. Currently all known HLB infected trees are inside a single quarantine zone – zone 6. However, with the exponential escalation of the number of infected ACP and citrus trees requires an immediate regulatory response to restrict spread before the opportunity for such measures to be effective is lost.



## CALIFORNIA DEPARTMENT OF FOOD AND AGRICULTURE

### AMENDMENT TO THE PROCLAMATION OF AN EMERGENCY PROGRAM AGAINST THE HUANGLONGBING DISEASE

#### FOR THE CITIES OF ANAHEIM, FULLERTON, GARDEN GROVE, HUNTINGTON BEACH, LA HABRA, NORTH TUSTIN, PLACENTIA, ORANGE, SANTA ANA, TUSTIN, WESTMINSTER, AND YORBA LINDA OF ORANGE COUNTY

Between June 14, 2017 and January 6, 2020, the California Department of Food and Agriculture (CDFA) confirmed the presence of the causative bacterial agent of the citrus disease huanglongbing (HLB) in citrus tree tissue collected from the cities of Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, in Orange County.

HLB is a devastating disease of citrus and is spread through feeding action by populations of the Asian citrus psyllid (ACP), *Diaphorina citri* Kuwayama. In order to determine the extent of the infestation, and to define an appropriate response area, additional surveys took place for several days over a one quarter-square mile area, centered on the detection sites. Based on the results of the surveys, implementation of the CDFA's ACP and HLB emergency response strategies are necessary for eradication and control. Notice of Treatment is valid until January 6, 2021, which is the amount of time necessary to determine that the treatment was successful.

HLB is considered the most devastating disease of citrus in the world. In the United States, HLB's unchecked spread in Florida starting in 2006 resulted in devastating impacts on the environment and economy. Symptoms of HLB include yellow shoots with mottling and chlorosis of the leaves, misshapen fruit, fruit that does not fully color, and fruit that has a very bitter taste, which makes it unfit for human consumption. These symptoms often do not appear until two years after infection, making this particular disease difficult to contain and suppress. The bacterium that causes the disease, namely *Candidatus Liberibacter asiaticus*, blocks the flow of nutrients within the tree, causing the tree to starve to death. There is no cure, and trees infected with the disease will die two to five years after infection. The undesirable symptoms of HLB-infected trees result in the trees' loss of commercial and aesthetic value while they remain hosts for spreading HLB to ACP and other plants. These effects would be catastrophic to California's natural environment, agriculture, and economy. For example, the effect of HLB's establishment in Florida resulted in a citrus industry loss of \$7 billion. Similar consequences could be expected in California, where the citrus industry is valued at \$7.1 billion.

ACP feeds on members of the plant family Rutaceae, primarily on *Citrus* and *Murraya* species, but is also known to attack several other genera, including over forty species of plant that act as hosts and possible carriers. The most serious damage to the environment and property caused by ACP—the death and loss in value of host plants—is due to its vectoring the phloem-inhabiting bacteria in the genus *Candidatus Liberibacter*. However, the psyllids also cause injury to their host plants via the withdrawal of large amounts of sap as they feed, and via the production of large amounts of honeydew, which coats the leaves of the tree and encourages the growth of sooty mold. Sooty mold blocks sunlight from reaching the leaves.



On November 22, 2017, the University of California and the United States Department of Agriculture (USDA) released a briefing paper that indicates, beginning in June 2017, a sharp increase in HLB and HLB-positive ACP detections, cities containing HLB, and ACP nymphs. Prior to the release of the November 22, 2017 briefing paper, the level of HLB risk in California was thought to be relatively stable. Following the release of the November 22, 2017 briefing paper, the Department has become aware of the exponential intensification of the HLB epidemic, as demonstrated by the indicators contained in the paper.

Considering the exponential intensification of the HLB epidemic, emergency action is needed to protect California from the negative environmental and economic impact HLB will cause should it be allowed to remain in this area. The emergency program is based on recommendations developed in consultation with the California HLB Task Force, USDA experts on HLB and ACP, the Primary State Entomologist, the Primary State Plant Pathologist, and the affected counties agricultural commissioners' representatives who are knowledgeable on HLB and ACP. Incorporating these experts' recommendations and findings, the program requires removal of all HLB-infected trees.

In determining how to respond to this emergency, the CDFA employs integrated pest management (IPM) principles. IPM includes cultural, biological, physical, and chemical control methods. The CDFA considered all relevant factors, data and science and determined that cultural, biological, and chemical control methods would not abate the imminent threat posed by HLB-positive trees or meet its statutory obligations. Therefore, a physical method was selected, which includes removal of any infected host plant. This option was selected based upon minimal impacts to the environment, biological effectiveness, minimal public intrusiveness, and cost.

The November 22, 2017 briefing paper revealed the exponential intensification of the HLB epidemic, which necessitates immediate action to address the epidemic's imminent threat to California's natural environment, agriculture and economy. More specifically, in addition to citrus, the HLB/ACP complex threatens loss and damage to native wildlife, private and public property, and food supplies.

In addition, the Secretary is mandated to: thoroughly investigate the existence of the disease; determine the probability that the disease will spread; adopt regulations as are reasonably necessary to carry out the provisions of this code (title 3, California Code of Regulations, section 3591.21); abate the disease from the established treatment area; and prevent further economic damage. See FAC sections 401, 403, 408, 5401-5405 and 5761-5763.

A Program Environmental Impact Report (PEIR) has been prepared which analyzes the ACP and HLB treatment program in accordance with Public Resources Code (PRC), Sections 21000 et seq. The PEIR was certified in December 2014, and is available at <http://www.cdfa.ca.gov/plant/peir/>.

The treatment plan for the HLB infestation shall be implemented as follows:

1. Physical Control. All host plants found to be infected with HLB will be removed and destroyed using mechanical means in order to stop the spread of the disease.

**Public Notification:**

Residents of affected properties shall be invited to a public meeting or contacted directly by CDFA staff. Consultation with the California Department of Pesticide Regulation, the Office of Environmental Health Hazard Assessment, and the county agricultural commissioner's office

will be provided at the public meeting or upon request to address residents' questions and concerns.

Residents shall be notified in writing at least 48 hours in advance of any treatment in accordance with the Food and Agricultural Code section 5771-5779 and 5421-5436. For any questions related to this program, please contact the CDFA toll-free telephone number at 800-491-1899 for assistance. This telephone number is also listed on all treatment notices. Treatment information is posted at [http://cdfa.ca.gov/plant/acp/treatment\\_maps.html](http://cdfa.ca.gov/plant/acp/treatment_maps.html).

Following the treatment, completion notices are left with the residents detailing precautions to take and post-harvest intervals applicable to the citrus fruit on the property.

Press releases, if issued, are prepared by the CDFA information officer and the county agricultural commissioner in close coordination with the program leader responsible for treatment. Either the county agricultural commissioner or the public information officer serves as the primary contact to the media.

Information concerning the HLB/ACP program shall be conveyed directly to local and State political representatives and authorities via letters, emails, and/or faxes.

Enclosed are the findings regarding the treatment plan, the November 22, 2017 UC and USDA briefing paper, a map of the treatment area, work plan, integrated pest management analysis of alternative treatment methods, and a pest profile.

Attachments

**FINDINGS OF AN EMERGENCY FOR  
ASIAN CITRUS PSYLLID / HUANGLONGBING**

**Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Placentia,  
Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, Orange County  
Program AM-0923**

Between June 14, 2017 and January 6, 2020, the California Department of Food and Agriculture (CDFA) confirmed the presence of the causative bacterial agent of the citrus disease huanglongbing (HLB) from citrus tree tissue collected in the cities of Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, in Orange County. HLB is a devastating disease of citrus and is spread through feeding action by populations of the Asian citrus psyllid (ACP), *Diaphorina citri* Kuwayama.

In order to determine the extent of the infestation in Anaheim, Fullerton, Garden Grove, Huntington Beach, La Habra, North Tustin, Orange, Santa Ana, Tustin, Westminster, and Yorba Linda, in Orange County, and to define an appropriate response area, an additional survey took place for several days over a one quarter-square mile area, centered on the following detections: June 14, 2017, Fullerton; May 25, 2018, Yorba Linda; July 3, 2019, La Habra; July 15, 2019, Westminster; July 19, 2019, North Tustin; December 5, 2019, Huntington Beach, Placentia, and Tustin; December 30, 2019, Garden Grove, and Orange; January 6, 2020, Anaheim, and Santa Ana. Based on this survey, and findings and recommendations from California's HLB Task Force the Primary State Entomologist, the Primary State Plant Pathologist, USDA experts on HLB and ACP, and County Agricultural Commissioner representatives who are knowledgeable on HLB and ACP, I have determined that HLB poses a statewide imminent danger to the environment and economy.

The results of the additional survey also indicated that the local infestation is amenable to CDFA's ACP and HLB emergency response strategies, which include removal of any infected host plant. This option was selected based upon minimal impacts to the natural environment, biological effectiveness, minimal public intrusiveness, and cost.

HLB is considered one of the most devastating diseases of citrus in the world. The bacterium that causes the disease, namely *Candidatus Liberibacter asiaticus*, blocks the flow of nutrients within the tree and causes the tree to starve to death within two to five years of infection. There is no cure. Symptoms of HLB include yellow shoots with mottling and chlorosis of the leaves, misshapen fruit, fruit that does not fully color, and fruit that has a very bitter taste, which makes it inedible for human consumption. These symptoms often do not appear until two years after infection, making this particular disease difficult to contain and suppress. These undesirable symptoms of HLB-infected trees result in the trees' loss of commercial and aesthetic value while at the same time they are hosts for spreading HLB.

ACP is an insect pest that is native to Asia. It has appeared in Central and South America, the Caribbean, and Mexico. In the United States, ACP has been found in Alabama, Arizona, Florida, Georgia, Hawaii, Louisiana, Mississippi, South Carolina, and Texas. In California, ACP has been found in twenty-six counties.

ACP feeds on members of the plant family Rutaceae, primarily on *Citrus* and *Murraya* species, but is also known to attack several other genera, including over forty species of plant that act as hosts and possible carriers. The most serious damage to the environment and property caused by ACP – the death and loss in value of host plants – is due to its vectoring the phloem-inhabiting bacteria in the genus *Candidatus Liberibacter*. In addition, the psyllids also cause injury to their host plants via the withdrawal of large amounts of sap as they feed and via the production of large amounts of honeydew, which coats the leaves of the tree and encourages the growth of sooty mold. Sooty mold blocks sunlight from reaching the leaves.

These pests present a significant and imminent threat to the natural environment, agriculture, and economy of California. For example, unabated spread of HLB would have severe consequences to

both the citrus industry and to the urban landscape via the decline and the death of citrus trees. The value of California citrus production in the 2016-17 marketing year was \$3.389 billion. The total economic impact of the industry on California's economy in 2016-17 was \$7.1 billion. The California citrus industry added \$1.695 billion to California's state GDP in 2016. Estimated full time equivalent jobs in the California citrus industry in 2016-2017 totaled 21,674. Estimated wages paid by the California citrus industry income in 2016-17 totaled \$452 million. A 20 percent reduction in California citrus acreage would cause a loss of 7,350 jobs, \$127 million in employee income, and reduce state GDP by \$501 million.

Additionally, if unabated, the establishment of HLB in California would harm the natural environment as commercial and residential citrus growers would be forced to increase pesticide use. And, the establishment of HLB could lead to enforcement of quarantine restrictions by the USDA and our international trading partners. Such restrictions would jeopardize California's citrus exports, which are valued at over \$800 million per year.

The causative bacteria of HLB was first detected in Los Angeles in 2012. It has subsequently been detected in Orange, Riverside, and San Bernardino counties. Prior to November 2017, the level of HLB risk in California was thought to be relatively stable. However, on November 22, 2017, the University of California and the United States Department of Agriculture released a briefing paper that indicates, beginning in June 2017, a sharp increase in HLB and HLB-positive ACP detections, cities containing HLB, and ACP nymphs. Following the release of the November 22, 2017 briefing paper, the Department has become aware of the exponential intensification of the HLB epidemic, as demonstrated by the indicators contained in the paper.

Infected trees are destroyed as soon as they are discovered. However, due to the length of time it takes for symptoms to appear on infected trees, new infestations continue to be discovered. If the current infestation is not abated immediately, HLB will likely become established in neighboring counties and could pave the way for a statewide HLB infestation.

The CDFA has evaluated possible treatment methods in accordance with integrated pest management (IPM) principles. As part of these principles, I have considered the following treatments for control of HLB: 1) physical controls; 2) cultural controls; 3) biological controls; and 4) chemical controls. Upon careful evaluation of each these options, I have determined that it is necessary to address the imminent threat posed by HLB using currently available technology in a manner that is recommended by the HLB Task Force.

Based upon input from the HLB Task Force, the Primary State Entomologist, the Primary State Plant Pathologist, USDA experts on HLB and ACP, and county agricultural commissioner representatives who are knowledgeable on ACP and HLB, I find there are no cultural, chemical or biological control methods that are both effective against HLB-positive trees and allow CDFA to meet its statutory obligations, and therefore it is necessary to conduct physical and chemical treatments to abate this threat. As a result, I am ordering removal of all HLB-infected trees.

A Program Environmental Impact Report (PEIR) has been prepared which analyzes the ACP and HLB treatment program in accordance with Public Resources Code (PRC), Sections 21000 et seq. The PEIR was certified in December 2014, and is available at <http://www.cdfa.ca.gov/plant/peir/>. The PEIR addresses the treatment of the ACP and HLB at the program level and provides guidance on future actions against the ACP and HLB. It identifies feasible alternatives and possible mitigation measures to be implemented for individual ACP and HLB treatment activities. The ACP and HLB program has incorporated the mitigation measures and integrated pest management techniques as described in the PEIR. In accordance with PRC Section 21105, this PEIR has been filed with the appropriate local

planning agency of all affected cities and counties. No local conditions have been detected which would justify or necessitate preparation of a site-specific plan.

### **Sensitive Areas**

The CDFA has consulted with the California Department of Fish and Wildlife's California Natural Diversity Database for threatened or endangered species, the United States Fish and Wildlife Service, the National Marine Fisheries Service and the California Department of Fish and Wildlife when rare and endangered species are located within the treatment area. Mitigation measures for rare and endangered species will be implemented as needed. The CDFA shall not apply pesticides to bodies of water or undeveloped areas of native vegetation. All treatment shall be applied to residential properties, common areas within residential development, non-agricultural commercial properties, and rights-of-way.

### **Work Plan**

The proposed treatment area encompasses those portions of Orange County which fall within a 400-meters radius area around the property on which HLB has been detected, and any subsequent detection sites within the treatment area boundaries. Notice of Treatment is valid until January 6, 2021, which is the amount of time necessary to determine that the treatment was successful. A map of the treatment area boundaries is attached. The work plan consists of the following elements:

1. Physical Control. All host plants found to be infected with HLB shall be destroyed. Infected host plants shall be removed and destroyed using mechanical means.

### **Public Information**

Residents of affected properties shall be invited to a public meeting or contacted directly by CDFA staff. Consultation with the California Department of Pesticide Regulation, the Office of Environmental Health Hazard Assessment, and the county agricultural commissioner's office will be provided at the public meeting or upon request to address residents' questions and concerns.

The resident of an affected property is provided a confirmation letter informing them that a tree on their property is infected with HLB and it is subject to mandatory removal. Residents are directed to contact the CDFA toll-free telephone number at 800-491-1899 for assistance.

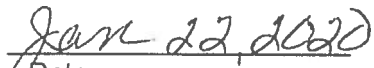
### **Findings**

HLB poses a significant, imminent threat to California's natural environment, agriculture, public and private property, and its economy.

The work plan involving physical control of this pest is necessary to prevent loss and damage to California's natural environment, citrus industry, native wildlife, private and public property, and food supplies.

My decision to adopt findings and take action is based on Sections 24.5, 401.5, 403, 407, 408, 5401-5405, and 5761-5764 of the FAC.

  
\_\_\_\_\_  
Karen Ross, Secretary

  
\_\_\_\_\_  
Date



## I. Trapping and Visual Survey

### A. Urban and Rural Residential Detection Trapping and Visual Survey

This is a cooperative State/County trapping program for the Asian citrus psyllid (ACP) to provide early detection of an infestation in a county. Traps are serviced by agricultural inspectors. The trap used for ACP detection is the yellow panel trap, which is a cardboard panel coated with stickum on each side. ACP becomes entangled on the sticky surface and cannot move off the trap. Yellow panel traps have proven successful at detecting infestations of ACP. At all locations where traps are placed, the host plant is visually inspected for ACP. If ACP is detected, the host will be visually surveyed for additional ACP and symptoms of huanglongbing (HLB).

- Trap Density: Five to 16 traps/square mile.
- Trap Servicing Interval: Every two to four weeks.
- Trap Relocation and Replacement: Traps should be replaced and relocated every four to eight weeks to another host at least 500 feet away, if other hosts are available.
- Visual surveys and/or tap sampling are conducted once at each trapping site when the trap is placed.

### B. Delimitation Trapping and Visual Survey Outside of the Generally Infested Area

The protocols below are the actions in response to the detection of ACP in counties north of Santa Barbara County and the Tehachapi Mountains.

#### 1. Response to the collection one or more ACP

##### a. Trapping

Density will be 50 traps per square mile in a four-square mile delimitation area centered on the detection site. Traps will be serviced weekly for one month. If no additional ACP are detected, the traps will be serviced monthly for one year past the identification date. Additional detections may increase the size of the delimitation survey area and will restart the one-year clock on the trap servicing requirement.

##### b. Visual Survey

All find sites and adjacent properties will be visually surveyed for ACP and HLB. Additional sites may be surveyed as part of the risk-based survey.

### C. Commercial Grove Trapping

In counties with substantial commercial citrus production and are not generally infested with ACP, traps are placed within the groves at the density of one trap per 40 acres. Traps are replaced every month and submitted for screening.

In areas that are generally infested with ACP, agricultural inspectors visually survey commercial groves for plant tissue displaying symptoms of HLB and collect ACP which are tested for HLB.

### D. Transect Survey

If high or scattered ACP populations are found in the initial inspections, a transect survey may be implemented to rapidly determine the extent of the infestation. This involves



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inspecting a minimum of 20 properties per square mile and/or placing 20 traps per square mile along eight radii in the cardinal directions (e.g., north, northeast, etc.). Transect surveys extend between five and 20 miles beyond a detection site, depending on the situation.

## **II. Treatment**

CDFA's treatment activities for ACP vary throughout the state and depend on multiple factors. Factors CDFA considers prior to treatment include:

- Determination if suppression of ACP is feasible;
- The proximity of the ACP infestation to commercial citrus;
- Whether growers are conducting coordinated treatment activities;
- The level of HLB risk;
- Consistency with the overall goal of protecting the state's commercial citrus production.

### **Treatment scenarios throughout the state in which treatment will occur:**

- In areas with commercial citrus production that are generally infested with ACP, and where all growers are treating on a coordinated schedule; CDFA may conduct residential buffer treatments to suppress ACP populations.
- In areas with commercial citrus production that are not generally infested with ACP; CDFA will conduct residential treatments in response to ACP detections.
- In areas where HLB is detected, CDFA will conduct residential treatments to suppress ACP populations.
- In areas where ACP has not been previously detected, or where ACP has been detected at low densities, CDFA will conduct residential treatments to prevent ACP establishment or suppress populations.
- In areas where ACP has been detected along the California-Mexico border, CDFA will conduct residential treatments in response to ACP detections to suppress ACP populations.

CDFA's current policy is to not conduct treatments in areas that are generally infested if there is limited or no commercial citrus production in the area, or if all growers in the area are not treating.

#### **1. Treatment Protocols**

A Program Environmental Impact Report (PEIR) has been certified which analyzes the ACP treatment program in accordance with Public Resources Code, Sections 21000 et seq. The PEIR is available at <http://www.cdfa.ca.gov/plant/peir>. The treatment activities described below are consistent with the PEIR.

In accordance with the integrated pest management principles, the CDFA has evaluated possible treatment methods and determined that there are no physical, cultural, or biological control available to eliminate ACP from an area.

In general, when treatment has been deemed appropriate, CDFA applies insecticides to host trees in the residential (urban) areas in a 50 to 800-meter radius around each detection site. Only ACP host plants are treated.

##### **a. Within two miles of International Border with Mexico**

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- CDFA will treat residential citrus host plants within a 400-meter buffer of the border if ACP have been detected within one mile of the border within one year.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**b. Within a Generally Infested Area with Commercial Citrus Production**

- CDFA will treat residential citrus host plants within a 400-meter buffer surrounding commercial citrus groves if the growers are conducting coordinated treatments in 90 percent of the designated Psyllid Management Area and if ACP have been detected within one mile of the commercial citrus groves within one year.
  - The exception is Imperial County, which has fewer residential properties, and therefore residential citrus host plants will be treated within 800 meters of commercial citrus.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**c. Outside of the Generally Infested Area**

The actions below are in response to the detection of one or more ACP in counties north of Santa Barbara County and the Tehachapi Mountains.

- Detection of one ACP - All properties with hosts within a 50-meter radius of the detection site will be treated.
- Detection of two or more ACP - All properties with hosts within a 400-meter radius of the detection site will be treated.
- A NOT will be issued.
- A public meeting will be held at least once per year.

The actions below are in response to the detection of two or more ACP in Fresno, Madera, Kern, Kings, and Tulare counties.

- Detection of two or more ACP on one trap or one or more ACP detected on separate traps within 400 meters of each other within a six-month period – All properties with hosts within a 400-meter radius will be treated.
- In a commercial citrus environment, where there are few residences in the area, CDFA will treat the residential area within an 800-meter buffer surrounding commercial citrus groves if the growers are conducting coordinated treatments.
- A NOT will be issued.
- A public meeting will be held at least once per year.

**d. In response to an HLB Detection**

- All properties within a 400-meter radius of the detection site will be treated.
- A NOT will be issued.
- All host plants found to be infected with HLB shall be destroyed.

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- Infected host plants shall be removed and destroyed by mechanical means.
- A Proclamation of an Emergency Program (PEP) will be issued.
- A public meeting will be held at least once per year.

## **2. Treatment Methodology**

The treatment protocol consists of both a foliar and a systemic insecticide. The foliar insecticide is used for immediate reduction of the adult population in order to prevent the adults from dispersal. The systemic insecticide is a soil treatment used to kill the sedentary nymphs and provide long term protection against reinfestation. Treatment frequency is dependent on the insecticide applied and severity of the infestation. Treatments will end no later than two years after the last psyllid detection in the treatment area.

CDFA uses registered pesticides and follows the label directions. The treatment protocol may be adjusted to use only the foliar or the systemic insecticide to allow for mitigations in special situations.

### **a. Foliar Treatment**

Tempo® SC Ultra (cyfluthrin) is a pyrethroid contact insecticide. Treatment will initially occur once, and subsequent applications may occur for up to three times annually if additional psyllids are detected. This material will be applied to the foliage of all host plants using hydraulic spray or hand spray equipment.

### **b. Soil Treatment**

A systemic soil application will be made using either Merit® 2F or CoreTect™.

- Merit® 2F (imidacloprid), is a neonicotinoid systemic insecticide. Treatment will initially occur once, and a subsequent application may occur once on an annual basis if additional psyllids are detected. This material will be applied to the soil within the root zone of host plants.
- CoreTect™ (imidacloprid) is a neonicotinoid systemic insecticide. It is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of the liquid Merit® 2F formulation, such as host plants growing next to ponds and other environmentally sensitive areas. Treatment will initially occur once, with a subsequent application once on an annual basis if additional psyllids are detected. This material is a pelletized tablet and is inserted into the soil and watered in within the root zone of host plants.

## INTEGRATED PEST MANAGEMENT ANALYSIS OF ALTERNATIVE TREATMENT METHODS FOR CONTROL OF THE ASIAN CITRUS PSYLLID AND HUANGLONGBING May 2018

The treatment program used by the California Department of Food and Agriculture (CDFA) for control of the Asian citrus psyllid (ACP), *Diaphorina citri* (Hemiptera: Psyllidae), and the disease it transmits, namely Huanglongbing, *Candidatus Liberibacter asiaticus*, targets multiple life stages. A contact insecticide is used for an immediate control of ACP adults in order to prevent spread, and a systemic insecticide is used to control developing ACP nymphs and to give the plant long term protection from re-infestation. The contact insecticide preferentially used contains the synthetic pyrethroid cyfluthrin, while the systemic insecticide contains the synthetic neonicotinoid imidacloprid. Both products have been shown to be effective against ACP elsewhere, particularly in Florida. In addition, HLB-infected plants are removed in their entirety and destroyed, in order to remove a reservoir for the disease. The California Huanglongbing Task Force, a joint government, university, and industry group formed in 2007 to provide guidance to the CDFA on matters pertaining to ACP and HLB has endorsed the use of these chemicals in the CDFA's treatment program.

Below is an evaluation of alternative treatment methods to control ACP and HLB which have been considered for treatment programs in California.

### A. PHYSICAL CONTROL

**Mass Trapping.** Mass trapping of adults involves placing a high density of traps in an area in an attempt to physically remove them before they can reproduce. The current available trapping system for ACP relies on short distance visual stimulus, and is not considered effective enough to use in a mass trapping program.

**Active Psyllid Removal.** Adult ACPs are mobile daytime fliers, and adults could theoretically be netted or collected off of foliage. However, due to their ability to fly when disturbed, and the laborious and time-prohibitive task of collecting minute insects from several properties by hand, it would be highly unlikely that all adults could be captured and removed. Nymphs attach themselves to developing leaves and stems via their proboscis. Therefore, physical removal of the nymphs would entail removal of the growing shoots which will stunt the tree and reduce fruit production. For these reasons, mechanical control is not considered to be an effective alternative.

**Host Removal.** Removal of host plants for ACP would involve the large-scale destruction of plants and their roots by either physical removal or phytotoxic herbicides. Additionally, host removal could promote dispersal of female psyllids in search of hosts outside of the treatment area, thus spreading the infestation. For these reasons, host removal is considered inefficient and too intrusive to use over the entirety of the treatment areas used for ACP. However, physical host removal of HLB-infected plants in their entirety is used for HLB control, because it is limited in scope to just the infected tree and it is effective at eliminating the disease reservoir, thereby preventing further spread of the disease by ACP.

### B. CULTURAL CONTROL

**Cultural Control.** Cultural controls involve the manipulation of cultivation practices to reduce the prevalence of pest populations. These include crop rotation, using pest-resistant varieties, and intercropping with pest-repellent plants. None of these options are applicable for ACP control in an urban environment, and may only serve to drive the psyllids outside the treatment area, thus spreading the infestation.

### C. BIOLOGICAL CONTROL

**Microorganisms.** No single-celled microorganisms, such as bacteria, are currently available to control ACP.

**Nematodes.** Entomopathogenic nematodes can be effective for control of some soil-inhabiting insects, but are not effective, nor are they used, against above ground insects such as psyllids.

**Parasites and Predators.** There have been two parasites released in Florida against ACP, but only one of these are considered somewhat successful there, namely *Tamarixia radiata* (Hymenoptera: Eulophidae). This insect has been released into the environment in southern California. The CDFA is working with the citrus industry to pursue options for incorporating this parasite into treatment programs statewide. In addition, a second wasp has been recently released by the University of California Riverside, *Diaphorencyrtus aligarhensis*.

**Sterile Insect Technique (SIT).** SIT involves the release of reproductively sterile insects which then mate with the wild population, resulting in the production of infertile eggs. SIT has neither been researched nor developed for ACP, nor has it been developed for any species of psyllids, and is therefore unavailable.

### D. CHEMICAL CONTROL

**Foliar Treatment.** A number of contact insecticides have been researched for use against ACP elsewhere, particularly in Florida. Contact insecticides are more effective against adult ACPs than the sedentary nymphs because adults actively move around on plants, thereby coming into contact with residues, whereas nymphs have to be directly sprayed in order for them to come into contact. The following product has been identified for use by the CDFA, based on a combination of effectiveness against ACP, worker and environmental safety, and California registration status.

Tempo® SC Ultra is a formulation of cyfluthrin which is applied to the foliage of all host plants. Tempo® SC Ultra is a broad-spectrum synthetic pyrethroid insecticide which kills insects on contact. Tempo® SC Ultra has no preharvest interval, which makes it compatible with residential fruit-growing practices.

**Soil Treatment.** A number of systemic insecticides have been researched for use against ACP elsewhere, particularly in Florida. Systemic insecticides are particularly effective against psyllid nymphs because nymphs spend much of their time feeding, thereby acquiring a lethal dose. The following products have been identified for use by the CDFA, based on a combination of effectiveness against ACP, worker and environmental safety, and California registration status.

Merit® 2F is a formulation of imidacloprid which is applied to the root system of all host plants via a soil drench. Imidacloprid is a synthetic neonicotinoid insecticide which controls a number of other phloem feeding pests such as psyllids, aphids, mealybugs, etc.

CoreTect™ is a formulation of imidacloprid which is applied to the root system of all host plants via insertion of a tablet into the soil, followed by watering. It is used in place of Merit® 2F in situations where there are environmental concerns about soil surface runoff of the liquid Merit® 2F formulation, such as host plants growing next to ponds and other environmentally sensitive areas.

## E. RESOURCES

- Grafton-Cardwell, E. E. and M. P. Daugherty. 2013. Asian citrus psyllid and huanglongbing disease. Pest Notes Publication 74155. University of California, Division of Agriculture and Natural Resources Publication 8205. 5 pp.  
<http://www.ipm.ucdavis.edu/PDF/PESTNOTES/pnasiancitruspsyllid.pdf>.
- Grafton-Cardwell, E. E., J. G. Morse, N. V. O'Connell, P. A. Phillips, C. E. Kallsen, and D. R. Haviland. 2013. UC IPM Management Guidelines: Citrus. Asian Citrus Psyllid. Pest Notes Publication 74155. University of California, Division of Agriculture and Natural Resources. <http://www.ipm.ucdavis.edu/PMG/r107304411.html>.

## PEST PROFILE

Common Name: Asian Citrus Psyllid

Scientific Name: *Diaphorina citri* Kuwayama

Order and Family: Hemiptera, Psyllidae

Description: The Asian citrus psyllid (ACP) is 3 to 4 millimeters long with a brown mottled body. The head is light brown. The wings are broadest in the apical half, mottled, and with a dark brown band extending around the periphery of the outer half of the wing. The insect is covered with a whitish waxy secretion, making it appear dusty. Nymphs are generally yellowish orange in color, with large filaments confined to an apical plate of the abdomen. The eggs are approximately 0.3 millimeters long, elongated, and almond-shaped. Fresh eggs are pale in color, then, turn yellow, and finally orange at the time of hatching. Eggs are placed on plant tissue with the long axis vertical to the surface of the plant.

History: Asian citrus psyllid was first found in the United States in Palm Beach County, Florida, in June 1998 in backyard plantings of orange jasmine. By 2001, it had spread to 31 counties in Florida, with much of the spread due to movement of infested nursery plants. In the spring of 2001, Asian citrus psyllid was accidentally introduced into the Rio Grande Valley, Texas on potted nursery stock from Florida. It was subsequently found in Hawaii in 2006, in Alabama, Georgia, Louisiana, Mississippi, and South Carolina in 2008. ACP was first found in California on August 27, 2008 in San Diego County. Subsequent to this initial detection in San Diego County, the ACP has been detected in Fresno, Imperial, Kern, Los Angeles, Orange, Riverside, San Bernardino, San Luis Obispo, Santa Barbara, Tulare, Ventura, Marin, Monterey, San Francisco, and Santa Clara counties. The ACP has the potential to establish itself throughout California wherever citrus is grown.

Distribution: ACP is found in tropical and subtropical Asia, Afghanistan, Saudi Arabia, Reunion, Mauritius, parts of South and Central America, Mexico, the Caribbean, and in the U.S. (Alabama, Arizona, California, Florida, Georgia, Hawaii, Louisiana, Mississippi, South Carolina, and Texas).

Life Cycle: Eggs are laid on tips of growing shoots; on and between unfurling leaves. Females may lay more than 800 eggs during their lives. Nymphs pass through five instars. The total life cycle requires from 15 to 47 days, depending on environmental factors such as temperature and season. The adults may live for several months. There is no diapause, but populations are low in the winter or during dry periods. There are nine to ten generations a year, with up to 16 noted under observation in field cages.

Hosts and Economic Importance: ACP feeds mainly on *Citrus* spp., at least two species of *Murraya*, and at least three other genera, all in the family Rutaceae. Damage from the psyllids occurs in two ways: the first by drawing out of large amounts of sap from the plant as they feed and, secondly, the psyllids produce copious amounts of honeydew. The honeydew then coats the leaves of the tree, encouraging sooty mold to grow which blocks sunlight to the leaves. However, the most serious damage caused by ACP is due to its ability to effectively vector three phloem-inhabiting bacteria in the genus *Candidatus Liberibacter*, the most widespread being *Candidatus Liberibacter asiaticus*. These bacteria cause a disease known as huanglongbing, or citrus greening. In the past, these bacteria have been extremely difficult to detect and

characterize. In recent years, however, DNA probes, electron microscopy, and enzyme-linked immunosorbent assay tests (ELISA) have been developed that have improved detection. Symptoms of huanglongbing include yellow shoots, with mottling and chlorosis of the leaves. The juice of the infected fruit has a bitter taste. Fruit does not color properly, hence the term "greening" is sometimes used in reference to the disease. Huanglongbing is one of the most devastating diseases of citrus in the world. Once infected, there is no cure for disease and infected trees will die within ten years. The once flourishing citrus industry in India is slowly being wiped out by dieback. This dieback has multiple causes, but the major reason is due to HLB.

### Host List

#### **SCIENTIFIC NAME**

*Aegle marmelos*  
*Aeglopsis chevalieri*  
*Afraegle gabonensis*  
*Afraegle paniculata*  
*Amyris madrensis*  
*Atalantia monophylla*  
*Atalantia* spp.  
*Balsamocitrus dawei*  
*Bergia* (=Murraya) *koenigii*  
*Calodendrum capense*  
*X Citroncirus webberi*  
*Choisya arizonica*  
*Choisya ternate*  
*Citropsis articulata*  
*Citropsis gilletiana*  
*Citropsis schweinfurthii*  
*Citrus aurantiifolia*  
  
*Citrus aurantium*  
  
*Citrus hystrix*  
*Citrus jambhiri*  
*Citrus limon*  
*Citrus madurensis*  
(=X *Citrofortunella microcarpa*)  
*Citrus maxima*  
*Citrus medica*  
*Citrus meyeri*  
*Citrus × nobilis*  
*Citrus × paradisi*  
*Citrus reticulata*  
*Citrus sinensis*  
*Citrus* spp.  
*Clausena anisum-olens*  
*Clausena excavata*  
*Clausena indica*  
*Clausena lansium*

#### **COMMON NAMES**

bael, Bengal quince, golden apple, bela, milva  
Chevalier's aeglopsis  
Gabon powder-flask  
Nigerian powder-flask  
mountain torchwood  
Indian atalantia  
  
Uganda powder-flask  
curry leaf  
Cape chestnut  
  
Arizonia orange  
Mexican or mock orange  
Katimboro, Muboro, West African cherry orange  
cherry-orange  
African cherry-orange  
lime, Key lime, Persian lime, lima, limón agrio, limón ceutí,  
lima mejicana, limero  
sour orange, Seville orange, bigarde, marmalade orange,  
naranja agria, naranja amarga  
Mauritius papeda, Kaffir lime  
rough lemon, jambhiri-orange, limón rugoso, rugoso  
lemon, limón, limonero  
calamondin  
  
pummelo, pomelo, shaddock, pompelmous, toronja  
citron, cidra, cidro, toronja  
Meyer lemon, dwarf lemon  
king mandarin, tangor, Florida orange, King-of-Siam  
grapefruit, pomelo, toronja  
mandarin, tangerine, mandarina  
sweet orange, orange, naranja, naranja dulce  
  
anis  
clausena  
clausena  
wampi, wampee



|                                      |   |
|--------------------------------------|---|
| <i>Clymenia polyandra</i>            | a-mulis   |
| <i>Eremocitrus glauca</i>            | Australian desert lime                                  |
| <i>Eremocitrus hybrid</i>            |   |
| <i>Esenbeckia berlandieri</i>        | Berlandier's jopoy                                      |
| <i>Fortunella crassifolia</i>        | Meiwa kumquat   |
| <i>Fortunella margarita</i>          | Nagami kumquat, oval kumquat                            |
| <i>Fortunella polyandra</i>          | Malayan kumquat   |
| <i>Fortunella spp.</i>               |   |
| <i>Limonia acidissima</i>            | Indian wood apple                                       |
| <i>Merrillia caloxylon</i>           | flowering merrillia                                     |
| <i>Microcitrus australasica</i>      | finger-lime   |
| <i>Microcitrus australis</i>         | Australian round-lime                                   |
| <i>Microcitrus papuana</i>           | desert-lime   |
| <i>X Microcitronella spp.</i>        |   |
| <i>Murraya spp.</i>                  | curry leaf, orange-jasmine, Chinese-box, naranjo jazmín |
| <i>Naringi crenulata</i>             | naringi   |
| <i>Pamburus missionis</i>            |   |
| <i>Poncirus trifoliata</i>           | trifoliolate orange, naranjo trébol                     |
| <i>Severinia buxifolia</i>           | Chinese box-orange                                      |
| <i>Swinglea glutinosa</i>            | tabog   |
| <i>Tetradium ruticarpum</i>          | evodia, wu zhu yu                                       |
| <i>Toddalia asiatica</i>             | orange climber  |
| <i>Triphasia trifolia</i>            | trifoliolate limeberry, triphasia                       |
| <i>Vepris (=Toddalia) lanceolata</i> | white ironwood  |
| <i>Zanthoxylum fagara</i>            | wild lime, lime prickly-ash                             |

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**United States Department of Agriculture**  
Animal and Plant Health Inspection Service



**United States Department of Agriculture**  
Agricultural Research Service

## **Briefing Paper: Recent changes in the ACP/HLB invasion in California and implications for regional quarantines**

**Date: 11/22/2017**

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*Beth Grafton Cardwell*

Department of Entomology, UC Riverside & UC Lindcove Research and Extension Center, Exeter, CA 93221

*David Bartels*

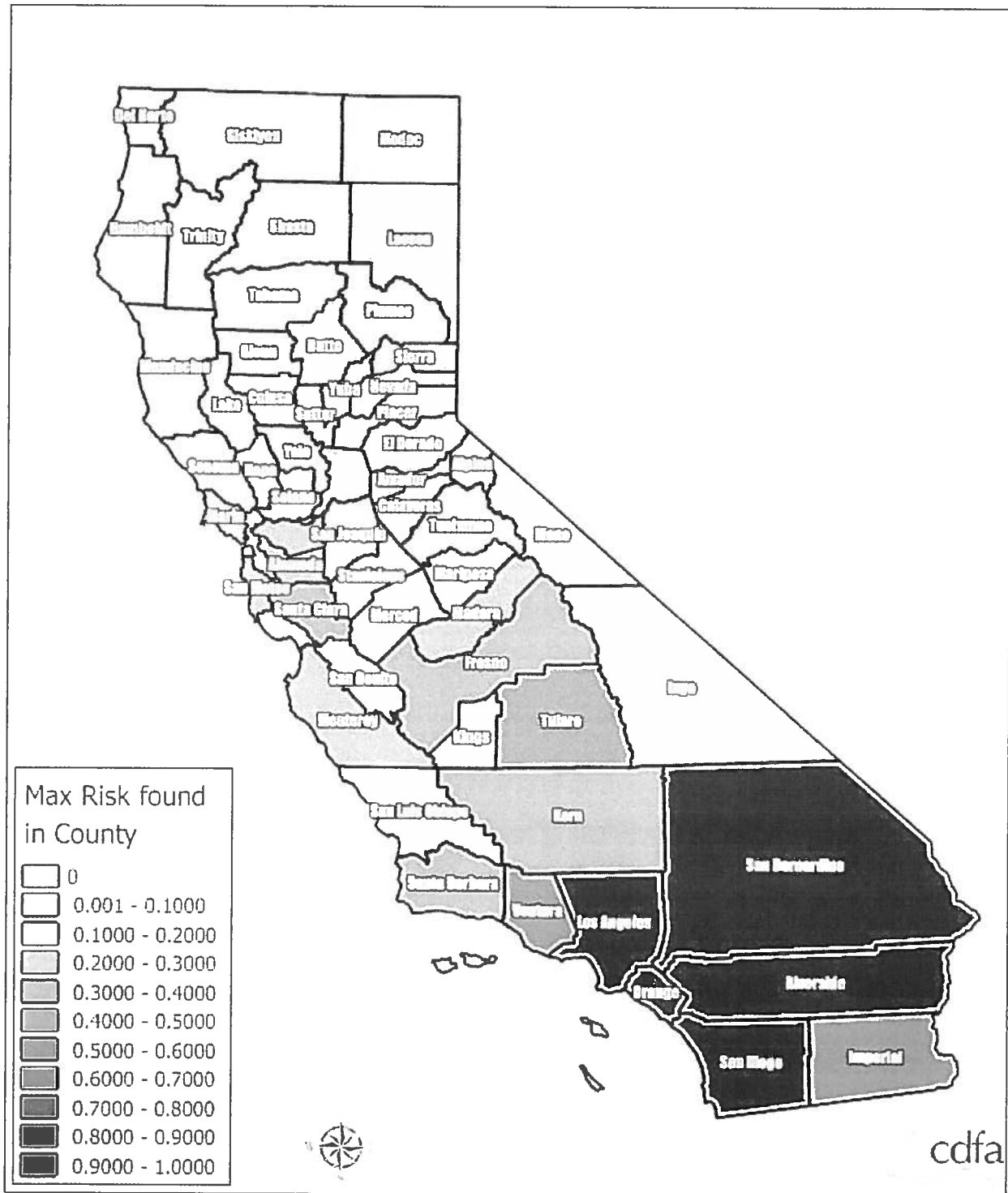
USDA-APHIS-PPQ, Field Operations – Data Analysis, Risk, and Targeting, 2150 Centre Ave., Bldg B., 3E14, Fort Collins, CO 80526

*Tim Gottwald*

USDA-ARS, U.S. Horticultural Research Laboratory, 2001 S. Rock Road, Fort Pierce, FL 34945

### **State-wide background risk level for HLB**

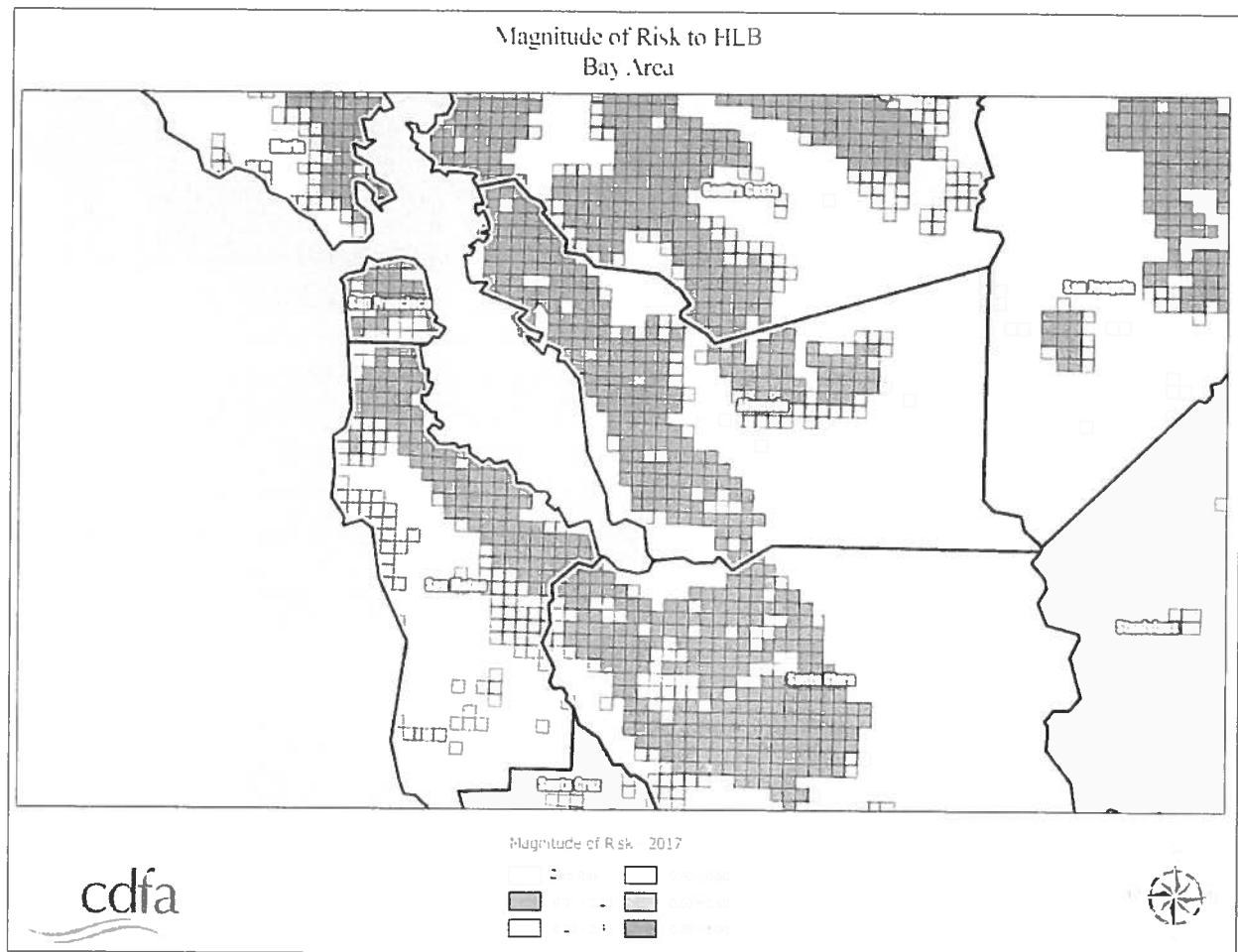
Since 2012, a background risk level for HLB in both residential and commercial citrus in each square mile of interest has been calculated 2-3 times per year using a risk model developed in Florida and adapted for use in California (Gottwald et al., 2014). The model uses a range of risk variables including census data, topography, land use, and known incidence of both HLB and Asian Citrus Psyllid (ACP) to produce a risk value ranging from 0 (extremely low risk) to 1 (very high risk) that applies to each square mile. Figure 1 shows the current risk status across the state at a county level, where the risk level applied to the county is the highest value for any individual square mile within that county



**Figure 1. Maximum HLB risk level by county across California as estimated by the USDA-ARS HLB risk model.**

In Figure 1 note that the risk level is generally higher in the south than north, because of the known presence of HLB and large ACP population in the southern counties. Note also that in northern California even counties with only a few ACP detections – for example Santa Clara County – may still have

relatively high risk levels because of population census data that indicate the background risk of the presence of infected citrus in private yards is relatively high. To illustrate this point further, Figure 2 shows the San Francisco Bay Area in more detail.



**Figure 2. Individual square mile HLB risk levels for the San Francisco Bay Area. Note that the general risk level is low, but there are pockets of moderately high risk in San Francisco itself, and more noticeably in San Jose, associated with population census risk factors; ACP detections in this area is still low and sporadic.**

While the background risk of HLB is strongly dependent on factors which are either static (e.g. topography) or change only slowly (e.g. human socio-economic factors) the presence of the ACP vector of the pathogen introduces a large dynamic component into the risk level across the state. To illustrate the impact of the vector population on changing risk status for HLB Figure 3 shows changes in HLB risk for the proposed quarantine areas 5 (San Diego, Imperial and Eastern Riverside) and 6 (LA, Western Riverside, San Bernardino and Orange). The risk level is shown as a blue-to-red heat map with higher risk indicated by darker red color and lower risk indicated by darker blue color; a time series of six periods is shown for each area.

Zone 6, 2012-13



Zone 6, 2013-14



Zone 6, 2014-15



Zone 6, 2015-16



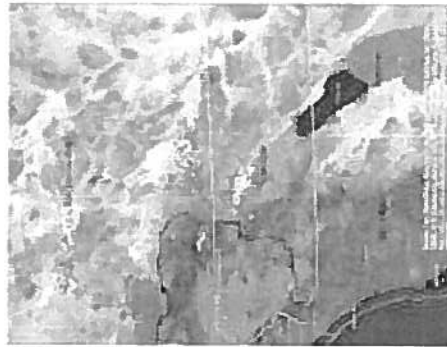
Zone 6, 2016-17



Zone 5, 2012-13



Zone 5, 2013-14



Zone 5, 2014-15



Zone 5, 2015-16



Zone 5, 2016-17



**Figure 3.** Changes in background risk of HLB in proposed quarantine areas 5 and 6 from 2012 to present. Red color indicates high risk, blue indicates low risk. Note that the location of the early HLB detections in Hacienda Heights and San Gabriel falls inside the single high-risk area predicted in 2012. The progressive increase in risk in both areas is apparent with the passage of time. All known cases of HLB are in proposed Quarantine Area 6.

Figure 3 tells us at least two useful things about HLB risk. First, note that in 2012-13 the only area of predicted high risk was centered on Hacienda Heights and San Gabriel, the locations of the first HLB discoveries in California; in other words, the risk model correctly anticipated the presence of HLB. Also note that the model also highlighted the focus of high risk in the city of Riverside as early as 2013-14; this outbreak emerged in 2017. These results are important for interpreting the presence of areas of elevated risk in places such as San Jose. Second, the pattern of change in risk in both areas 5 and 6 is a steady increase, spreading out from the original high risk area in LA, but also with additional foci developing at locations quite distant from the original focus. These changes are associated mainly with the spread of ACP through the region and the patterns of population density of the insect recorded in the risk-based surveys.

Taken together the results presented in this section highlight two important aspects of HLB risk that are relevant to quarantine regulations:

1. Because HLB-affected citrus plant material can be propagated and spread by human activity, the risk of HLB and ACP are to some extent independent, particularly in areas that are not generally infested with ACP.
2. **The risk of HLB can exist before the arrival of the vector** in an area because HLB-affected plant material is often brought to an area by human activities.

After ACP infests an area with pre-existing infected trees present, the vector population eventually comes into contact with the infected trees and foci of disease begin to build around them. This is because ACP acquires the pathogen from the infected trees and establishes a recurring cycle of infection and acquisition. Because trees remain asymptomatic for a long period of time, spread in the absence of detection and tree removal can occur.

### **Reducing disease spread by quarantines**

The basic principle of underlying the use of quarantines is to restrict the spread of disease by sub-dividing an area into smaller regions and limiting the opportunities for disease to spread from one region to another. In the case of invasive and highly mobile diseases, quarantines should be applied early and rigorously to have the largest effect on disease spread. Importantly, quarantines do not have to be 100% effective to be worth imposing. If the incursion of the disease into generally uninfected areas can be limited to a low rate, and psyllid populations can be kept low, local eradications can be achieved when new incursions are detected.

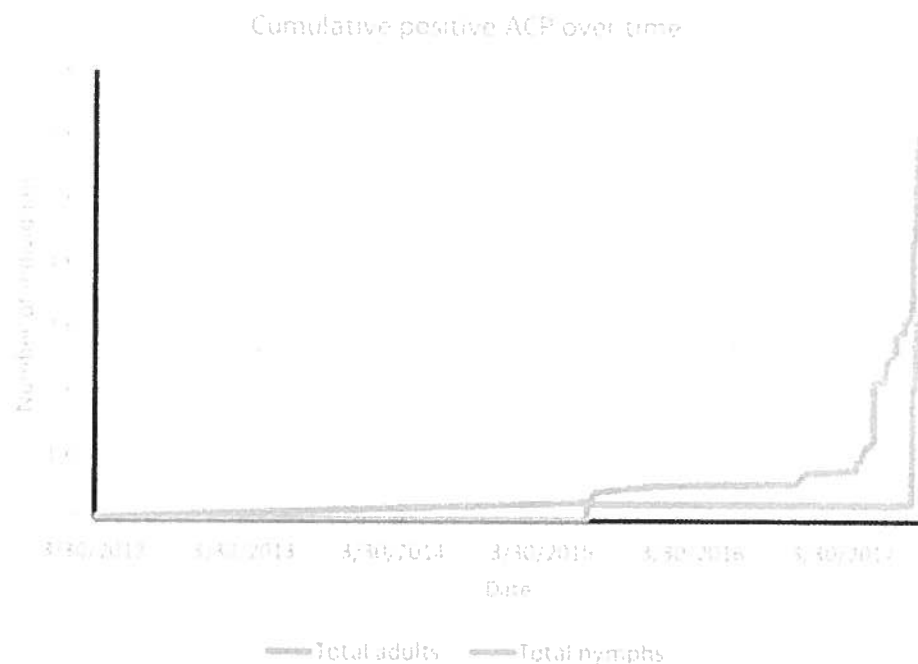
The basic idea of setting up quarantine regions within the state is an ecological analogue of the idea of constructing a ship using multiple watertight compartments; even if one compartment is flooded, as long as the flow of water is negligible to the other compartments the ship won't sink. In instituting a quarantine policy, the aim is to limit the flow of vectors and disease throughout the state and thus safeguard the industry and homeowners as a whole.

## Recent changes in the dynamics of HLB/ACP detections

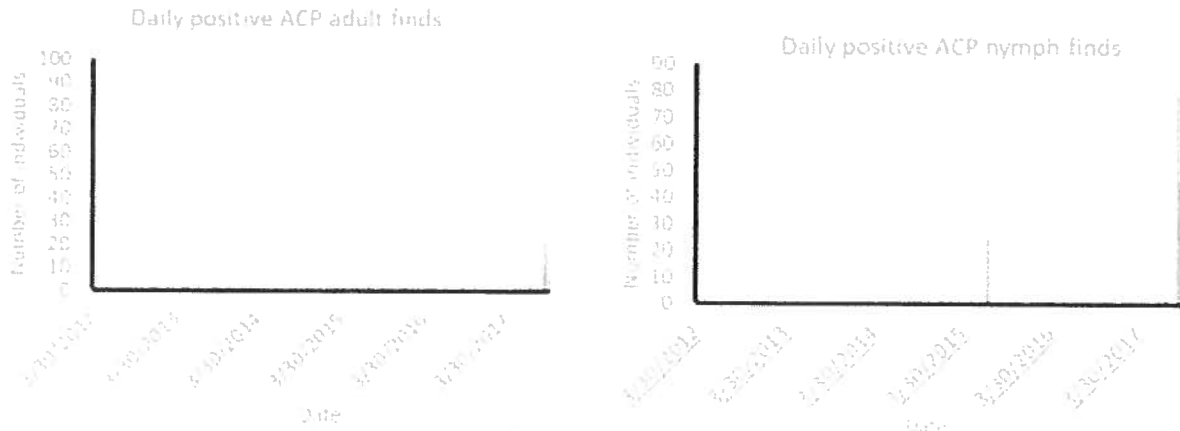
Until recently, the rate of accumulation of new positive ACP and tree detections had been relatively stable. Over the last 6 months there has been a dramatic increase in the rate of new detections of HLB infections in both ACP and citrus trees. In addition, there has been a recent increase in the number of cities in which positive finds have been reported and a sharp increase in the number of ACP nymph detections. These results are summarized in Figures 4 through 7.

Taken together the results indicate an exponential increase in the intensity of the HLB epidemic at multiple scales. The pathogen is becoming more prevalent in the vector population and in the tree population. At the same time, the upswing in nymphal detections indicates that the transmission rate is increasing and the increase in the number of cities with positive detections indicates that the geographic extent of the epidemic is increasing rapidly.

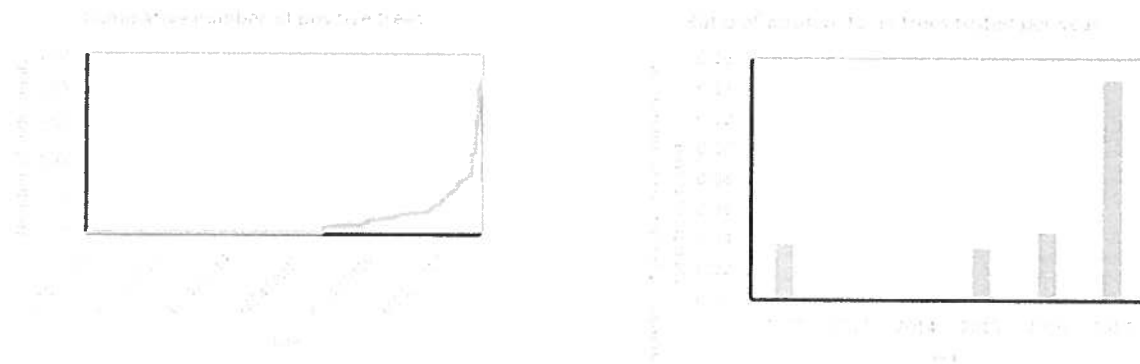
Most of these changes have become apparent only in the last 6 months. Given the very sharp increase in the intensity of the epidemic, a rapid response is needed to implement additional measures to slow the rate of spread of HLB beyond its current range before the opportunity is lost.



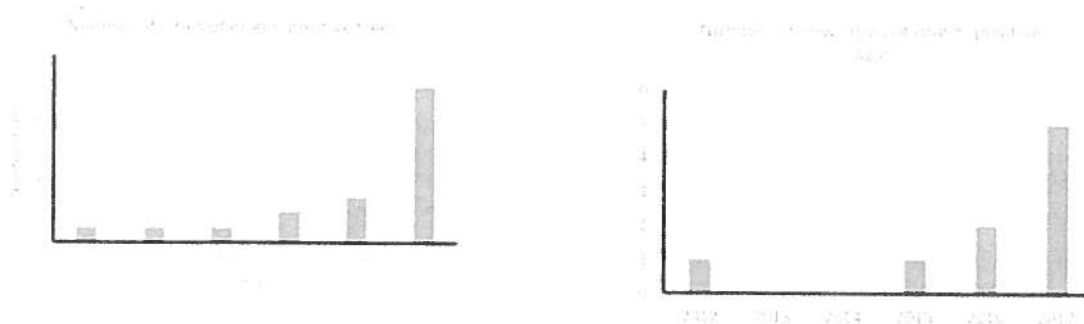
**Figure 4: Cumulative counts of PCR-positive ACP samples collected in California over time since 2012. Note the sharp increase in the rate of accumulation from mid-2017 onwards.**



**Figure 5: Daily discovery rate for PCR-positive ACP (adults and nymphs are shown separately). Note the sharp increase in finds toward the end of 2017, particularly for nymphs which had largely been absent from positive samples until recent detections.**



**Figure 6: PCR-positive tree detections over time. In the left panel the cumulative number of detections is shown, highlighting the exponential increase in 2017. In the right panel the ratio of positive trees to all trees tested per year is shown. Note that until 2017 the ratio had been more or less stable at approximately 5%, but has nearly tripled in 2017 to just under 15%.**



**Figure 7: Numbers of cities with PCR-positive ACP detections over time. The left panel shows the cumulative figure, the right panel shows the number of new cities per year. Mirroring the results for trees and for ACP, note the sharp increase in 2017. These results indicate that the epidemic is intensifying across several spatial scales at a very high rate.**

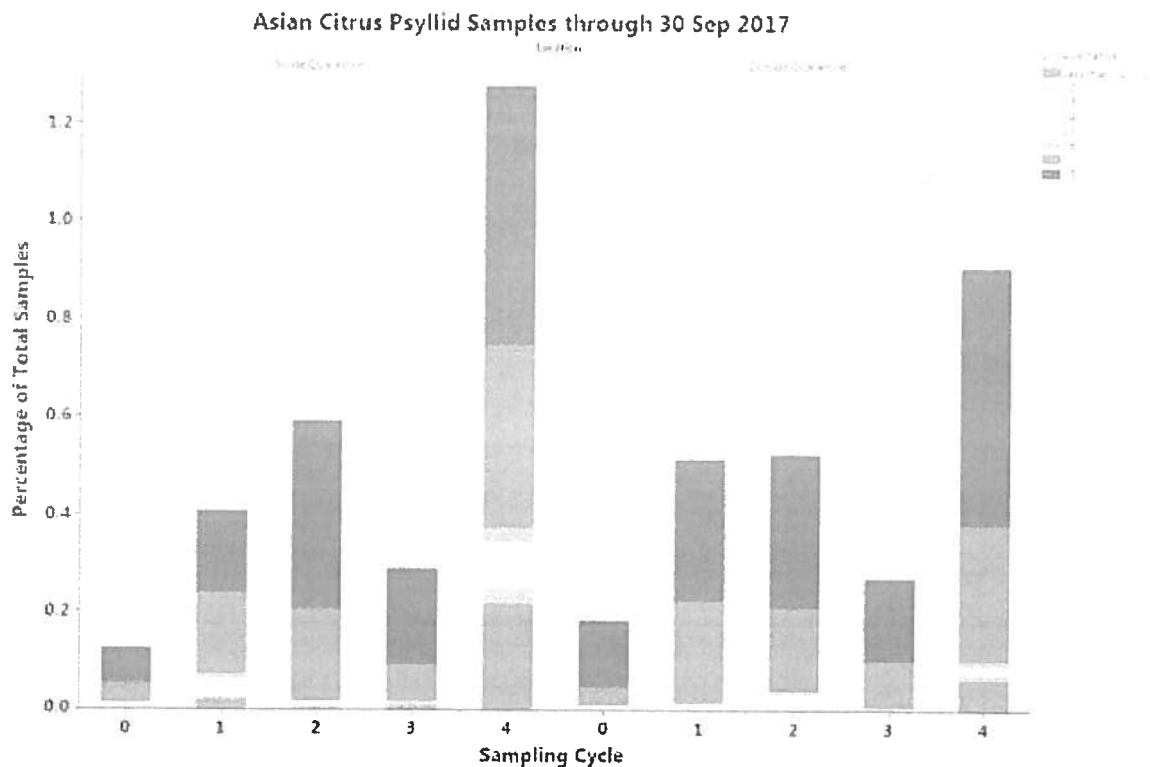


## Changes in diagnostic results on tested Asian Citrus Psyllids

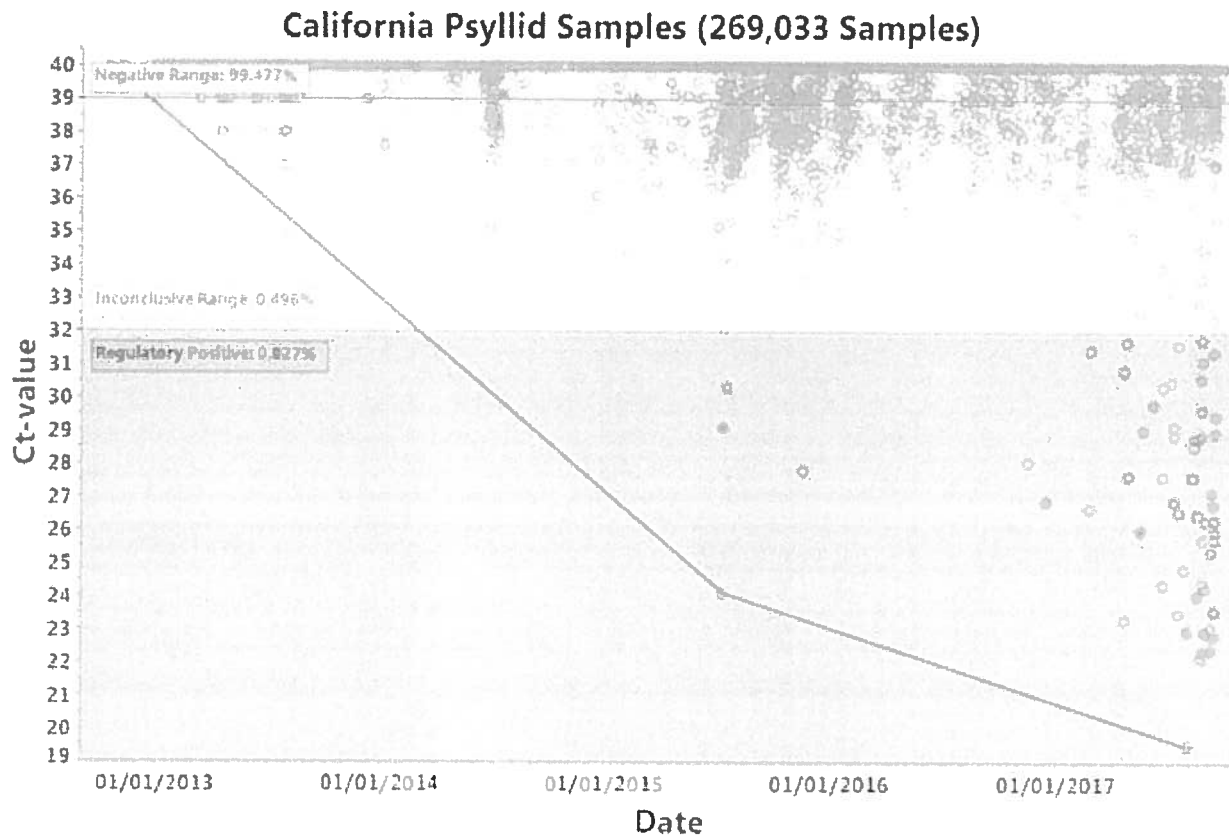
The previous section detailed the recent sharp increases in PCR detections for ACP and trees. These increases indicate that the pathogen population is growing and this can be seen directly by considering the Ct values in qPCR tests. Results highlighting the increase in the pathogen population are shown here in Figures 8 and 9.

Figure 8 shows the data for qPCR Ct values obtained from psyllid samples collected in different sampling cycles of the survey program. The data are sub-divided into samples obtained from inside and outside the existing HLB quarantine areas. It can be seen that the Ct values obtained from ACP samples inside the quarantine areas are showing a much faster increase in the proportion of low values (CT <32 to 33), indicating an intensification of the pathogen population in the vector population.

The presence of some ACP with low qPCR Ct values outside the existing quarantine areas highlights the risk of ACP moving the disease around and the need for quarantine regulations that apply at a larger scale than the current radius around confirmed HLB-positive trees.



**Figure 8: qPCR test results on ACP samples tested by CDFA through 30 September 2017. Note that the proportion of light blue and red (indicating presence of the HLB pathogen) in the samples from inside the quarantine areas (left panel) has increased over time, whereas no corresponding change is apparent in samples outside the quarantine areas (right panel).**



**Figure 9: qPCR regulatory results recorded since the detection of HLB in California over time compared to the concentration of the pathogen in the sample (Ct < 32.1= HLB positive (red zone), Ct 32.1-38.9 = suspect (yellow zone), Ct > 38.9=HLB not detected (green zone)). The lower the Ct value, the higher the concentration of the HLB bacterium. Note the trend towards lower Ct values over time and the increase in numbers of HLB positive psyllids starting in 2015 and continuing through 2017 indicating that the titre (concentration) of HLB DNA in the psyllids is increasing.**

### **Implications of changes in the dynamics and recommendations**

To summarize the recent changes in the dynamics of HLB/ACP detections in trees and psyllids:

1. The number of HLB positive citrus trees detected has increased exponentially in the last 4 months as compared to the previous 6 years.
2. The number of HLB positive and infectious Asian citrus psyllids has increased exponentially in the last four months as compared to the previous 6 years.
3. These HLB infectious psyllids are spreading to new communities in the LA basin at a significantly escalated rate compared to the previous 6 years.
4. These infectious psyllids can be spread by movement of ACP-host nursery stock, bulk citrus, and other possible carriers of ACP.













Given the above developments in the California HLB epidemic it is of the utmost urgency to further compartmentalize the state using quarantine zones defined by HLB risk to commercial citrus (rather than 5 mile and county wide quarantines). This will help to reduce the potential for spread of HLB to zones where HLB has not been detected in citrus trees, nor has Asian citrus psyllid become established in some cases. The proposal to divide the state into 7 zones for bulk citrus movement and three zones for nursery stock, will serve to restrict the dispersal of HLB and its ACP vectors. Currently all known HLB infected trees are inside a single quarantine zone – zone 6. However, with the exponential escalation of the number of infected ACP and citrus trees requires an immediate regulatory response to restrict spread before the opportunity for such measures to be effective is lost.

**WEEKLY MEMO 1-30-2020**

**SOCIAL MEDIA  
HIGHLIGHTS**













### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Reach | Engagement Rate (per Impression) | Engagements | Reactions | Comments | Shares |
|---|--|-------------|-------|----------------------------------|-------------|-----------|----------|--------|
|    |  <p>Wed 1/29/2020 5:36 pm PST<br/>                     "Throughout the course of our lives, there are special times when the stars align and we may find ourselves in</p> <p> Post</p>   | 1,761       | 1,737 | 19%                              | 334         | 105       | 4        | 4      |
|    |  <p>Wed 1/29/2020 9:00 am PST<br/>                     The Centers for Disease Control and Prevention (CDC), OC Health Care Agency, and government agencies</p> <p> Post</p>             | 2,557       | 2,518 | 8.8%                             | 224         | 37        | 2        | 18     |
|    |  <p>Tue 1/28/2020 12:20 pm PST<br/>                     Sponsor the 2020 Garden Grove College Graduates' Reception! Sponsorship benefits include an</p> <p> Post</p>                  | 1,027       | 1,043 | 6.2%                             | 64          | 10        | 0        | 1      |
|  |  <p>Tue 1/28/2020 10:00 am PST<br/>                     City now offering a re-roof loan program! The City is now offering a re-roof loan program of up to \$20,000</p> <p> Post</p> | 2,539       | 2,587 | 9.8%                             | 248         | 24        | 4        | 11     |







### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Reach | Engagement Rate (per Impression) | Engagements | Reactions | Comments | Shares |
|---|--|-------------|-------|----------------------------------|-------------|-----------|----------|--------|
|    |  <p>Mon 1/27/2020 1:45 pm PST<br/> <b>The Tastiest Desserts in Garden...</b><br/>                     Don't know where to go when your sweet tooth craving hits? Check out</p> <p> <b>Post</b></p> | 1,408       | 1,440 | 9.2%                             | 129         | 17        | 2        | 5      |
|    |  <p>Mon 1/27/2020 10:59 am PST<br/>                     Tomorrow, January 28 is the Fair Housing Choice (IA) workshop, 6:30 p.m., at the Westminster Miriam</p> <p> <b>Post</b></p>                | 619         | 605   | 1.6%                             | 10          | 0         | 0        | 0      |
|    |  <p>Fri 1/24/2020 3:00 pm PST<br/>                     Don't forget.... the 8th annual Tet Parade is this Sunday, January 26, beginning at 9:00 a.m. with an</p> <p> <b>Post</b></p>            | 1,777       | 1,709 | 6.2%                             | 110         | 8         | 1        | 4      |
|  |  <p>Fri 1/24/2020 10:33 am PST<br/>                     Another month means more safety tips from the Orange County Fire Authority! Learn more about smoke</p> <p> <b>Post</b></p>             | 776         | 733   | 2.7%                             | 21          | 2         | 0        | 0      |













### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Reach  | Engagement Rate (per Impression) | Engagements | Reactions | Comments | Shares |
|---|--|-------------|--------|----------------------------------|-------------|-----------|----------|--------|
|  |  <p>Thu 1/23/2020 1:35 pm PST<br/>           Save the date for a FREE developmental screening! On Friday, February 7, from 8:30 a.m. to 11:45</p> <p> <b>Post</b></p>            | 2,344       | 2,307  | 4%                               | 93          | 22        | 2        | 12     |
|  |  <p>Thu 1/23/2020 9:03 am PST<br/> <b>Home   City of Garden Grove</b><br/>           DEADLINE TO APPLY FOR RECREATION JOBS THIS FRIDAY, JANUARY 24 Don't</p> <p> <b>Post</b></p> | 12,458      | 12,617 | 2.4%                             | 294         | 66        | 2        | 10     |

### Published Posts







Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Reach | Engagement Rate (per Impression) | Engagements | Reactions | Comments | Shares |
|---|--|-------------|-------|----------------------------------|-------------|-----------|----------|--------|
|    |  <p>Wed 1/29/2020 5:30 pm PST<br/>           ***SigAlert - Traffic Collision***<br/>           Westbound lanes of Westminster Ave.<br/>           (at Brookhurst St.) will be shut down</p> <p> Post</p> | 4,592       | 4,560 | 21.4%                            | 983         | 42        | 12       | 13     |
|    |  <p>Tue 1/28/2020 9:05 am PST<br/>           ***#AttemptHomicide Update*** On<br/>           January 23, 2020 at 8:44 PM, officers<br/>           responded to the 13000 block of</p> <p> Post</p>       | 6,730       | 6,715 | 20%                              | 1,349       | 208       | 24       | 14     |
|    |  <p>Mon 1/27/2020 6:00 pm PST<br/>           Starting out the week with another<br/>           cleanup by our SRT Unit and Garden<br/>           Grove Public Works Department.</p> <p> Post</p>      | 3,616       | 3,504 | 13%                              | 471         | 117       | 19       | 2      |
|  |  <p>Sat 1/25/2020 4:01 pm PST<br/>           ***TRAFFIC ADVISORY - PARADE ROAD<br/>           CLOSURES*** Chúc Mừng Năm Mới to<br/>           those celebrating the</p> <p> Post</p>                 | 5,382       | 5,284 | 9.8%                             | 530         | 68        | 20       | 15     |













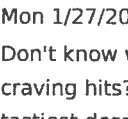

### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Reach | Engagement Rate (per Impression) | Engagements | Reactions | Comments | Shares |
|---|--|-------------|-------|----------------------------------|-------------|-----------|----------|--------|
|  |  <p>Fri 1/24/2020 12:00 pm PST<br/>Yesterday, January 23, 2020 at 8:44 PM, #GardenGovePD officers responded to the 13000 block of</p> <p> Post</p> | 4,151       | 4,003 | 12.2%                            | 505         | 44        | 6        | 9      |
|  |  <p>Thu 1/23/2020 9:07 am PST</p> <p> Post</p>   | 394         | 366   | 0.5%                             | 2           | 2         | 0        | 0      |












### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼   | Impressions | Potential Reach | Engagement Rate (per Impression) | Engagements | Likes | @Replies | Retweets |
|---|--|-------------|-----------------|----------------------------------|-------------|-------|----------|----------|
|    |  <p>Wed 1/29/2020 5:43 pm PST<br/>                     "Throughout the course of our lives, there are special times when the stars align and we may find ourselves in</p> <p> <b>Tweet</b></p>                                   | N/A         | 3,293           | N/A                              | N/A         | N/A   | N/A      | N/A      |
|    |  <p>Tue 1/28/2020 12:21 pm PST<br/>                     Sponsor the 2020 Garden Grove College Graduates' Reception! To become a Sponsor or for more</p> <p> <b>Tweet</b></p>   | 282         | 3,628           | 3.9%                             | 11          | 1     | 0        | 2        |
|    |  <p>Tue 1/28/2020 10:00 am PST<br/>                     City now offering a re-roof loan program! The City is now offering a re-roof loan program of up to \$20,000</p> <p> <b>Tweet by Ana P.</b></p>                        | 226         | 3,288           | 5.3%                             | 12          | 3     | 0        | 1        |
|  |  <p>Mon 1/27/2020 1:46 pm PST<br/>                     Don't know where to go when your sweet tooth craving hits? Check out Visit Anaheim's video on tastiest desserts in #GG! Think #BIGG - Buy in</p> <p> <b>Tweet</b></p> | 542         | 3,497           | 1.5%                             | 8           | 1     | 0        | 1        |




**Published Posts**

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼  | Impressions | Potential Reach | Engagement Rate (per Impression) | Engagements | Likes | @Replies | Retweets |
|---|---|-------------|-----------------|----------------------------------|-------------|-------|----------|----------|
|    | <p>Mon 1/27/2020 11:00 am PST</p> <p>Tomorrow, January 28 is the Fair Housing Choice (IA) workshop, 6:30 p.m., at the Westminster Miriam Warne Community Room, 14491 Beach Boulevard</p> <p> <b>Retweet with Comment</b></p>   | 575         | 4,587           | 0.7%                             | 4           | 1     | 0        | 1        |
|    | <p>Fri 1/24/2020 11:04 am PST</p> <p> Don't forget the 8th annual Tet Parade is this Sun, 1/26, 9AM! *Please note from 5AM-2PM, Westminster Blvd,</p> <p> <b>Tweet</b></p>          | 162         | 3,300           | 4.9%                             | 8           | 1     | 0        | 0        |
|    | <p>Fri 1/24/2020 10:33 am PST</p> <p> Another month means more safety tips from the Orange County Fire Authority! Learn more about smoke</p> <p> <b>Tweet</b></p>                | 380         | 3,285           | 2.1%                             | 8           | 2     | 0        | 1        |
|  | <p>Thu 1/23/2020 1:38 pm PST</p> <p> On 2/7, 8:30AM-11:45AM, the Buena Clinton Youth &amp; Family Center is hosting a free developmental screening for</p> <p> <b>Tweet</b></p> | 500         | 3,283           | 0.8%                             | 4           | 2     | 0        | 0        |

### Published Posts

Review the lifetime performance of the posts you published during the publishing period.

| Profile   | Post by Published Date ▼  | Impressions | Potential Reach | Engagement Rate (per Impression) | Engagements | Likes | @Replies | Retweets |
|---|---|-------------|-----------------|----------------------------------|-------------|-------|----------|----------|
|  |  <p>Thu 1/23/2020 9:05 am PST<br/>           DEADLINE TO APPLY FOR RECREATION JOBS THIS FRIDAY, JANUARY 24 Don't miss out on the opportunity to appl</p> <p> <b>Tweet</b></p> | 416         | 3,284           | 3.6%                             | 15          | 1     | 0        | 1        |

**WEEKLY MEMO 1-30-2020**

# **NEWS ARTICLES**

OC Register  
January 29, 2020

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**GARDEN GROVE**

## Man suspected in 'love triangle' shooting is arrested

**By Eric Licas**  
*elicas@scng.com*

Tensions stemming from a love triangle led to a shooting in Garden Grove that left one person wounded and one suspect behind bars, police said Monday.

Oscar Domingo Rodriguez, 23, of Anaheim was taken into custody Friday

on suspicion of attempted homicide, Garden Grove Police Department officials said in a news release. Investigators believe he shot a man on the the 13400 block of Blackbird Street the prior evening.

The victim was taken to a hospital in critical condition, police said. Garden Grove Police did not pub-

licly identify him in their release, but said he may have been a part of a "love triangle" involving a woman and the man suspected of shooting him. Updates regarding his condition were not immediately available.

Investigators managed to identify a suspect vehicle, which led them to suspect Rodriguez in the shoot-

ing, police said. Detectives and members of the GGPD Crime Impact Team conducted surveillance at a warehouse he worked at in Orange and arrested him without incident Friday.

Rodriguez was in custody at the Theo Lacy Jail in lieu of \$500,000 bail as of Monday evening, according to inmate records.

# VDay is coming up; make sure it's a victory

We give Garden Grove  
romantics some suggestions  
for a winning date

By Brady Rhoades

Gentlemen: Valentine's Day is about two weeks away.

Time to start planning, dudes.

If I lived in Garden Grove, and my wife cared about Valentine's Day (she dislikes it, and aren't I lucky?), I would strongly consider dinner at a number of local eateries. I'll give you two: Carolina's Italian Restaurant (12045 Chapman Ave. in GG; <https://www.carolinasitalianrestaurant.com>) and Brodard Chateau (9100 Trask Ave. in GG; <http://brodard.net/Chateau/>).

Both have more than just great food and service. They have beautiful decor, lovely ambience and a romantic feeling about them.

For my money, Carolina's is  
see EDITOR, page 3

## EDITOR:

Continued from page 1

one of a handful of the best Italian restaurants in Orange County, and Chateau Brodard is THE best upscale French-Vietnamese restaurant.

My menu recommendations? Open with the calamari fritte at Carolina's, followed with a main dish of baked ziti or bow ties with peas. Don't forget to order a nice bottle of Chianti. Brodard Chateau advertises itself as "modern Vietnamese cuisine with Asian fusion touches and specialty cocktails served in a sleek space," and it is just that. Try the roasted duck spring rolls, and follow those with phuket style seafood noodle soup or sea bass curry. I'm not big on signature cocktails, but I'm told the twisted orchid is outstanding. It contains Smirnoff raspberry vodka, coconut rum, cranberry and pineapple juice.

Both restaurants are, naturally, big on garlic and spices, so freshen your breath after your meal and head to the Seal Beach pier for a hand-in-hand, evening walk (and maybe gifts).

If you're like me, you'll need it. Both restaurants sport such irresistible menus and delicious fare that it's hard not to overeat.

\*\*\*

If you want to get a glimpse of just how crazy some people can be, head to your local police station and check out the reports.

A recent incident in Westminster — posted by the Westminster Police Department — caught my eye. Here's the post, from Jan. 20:

"At 8:23 am this morning a resident near the area of Bolsa and Newland woke up to find an unknown female in his bedroom and

dialled 9-1-1. The female had broken into his residence, ransacked his property, strewn numerous items of clothing in the front yard, and threw his throwing knives into a wall inside the residence. Officers arrived within 4 minutes and took the female into custody in the front yard for burglary and vandalism. She was wearing the residents clothing at the time of arrest. She is now enjoying a comfy stay at Orange County Jail."

Ex-wife?

Ex-girlfriend?

Stranger?

Whatever the case, it. Is. Crazy. And scary.

\*\*\*

Two girls sports teams are showcasing their talent this season. The first appears to have a good chance at a solid playoff run. The second recently completed a stellar year, though the ending was disappointing.

The Rancho Alamitos High varsity hoops team is 13-8 overall and 5-1 in Garden Grove League play as of press time.

Their defense is smothering. Thirteen times this season, they've held foes to 35 points or fewer. They held Middle College to 6 points (that's not a typo) and Estancia to 13.

Keep an eye on them.

In Westminster, the La Quinta High varsity volleyball team went 14-7 overall and 8-2 in league play. They swept their opponents nine times this season.

Unfortunately, their season ended with a loss to Webb School in the CIF playoffs.

The good news is the squad has several underclass girls returning next season.

*Brady Rhoades is the editor. He can be reached at [brhoades@localnews-papers.org](mailto:brhoades@localnews-papers.org).*

OC News  
January 29, 2020

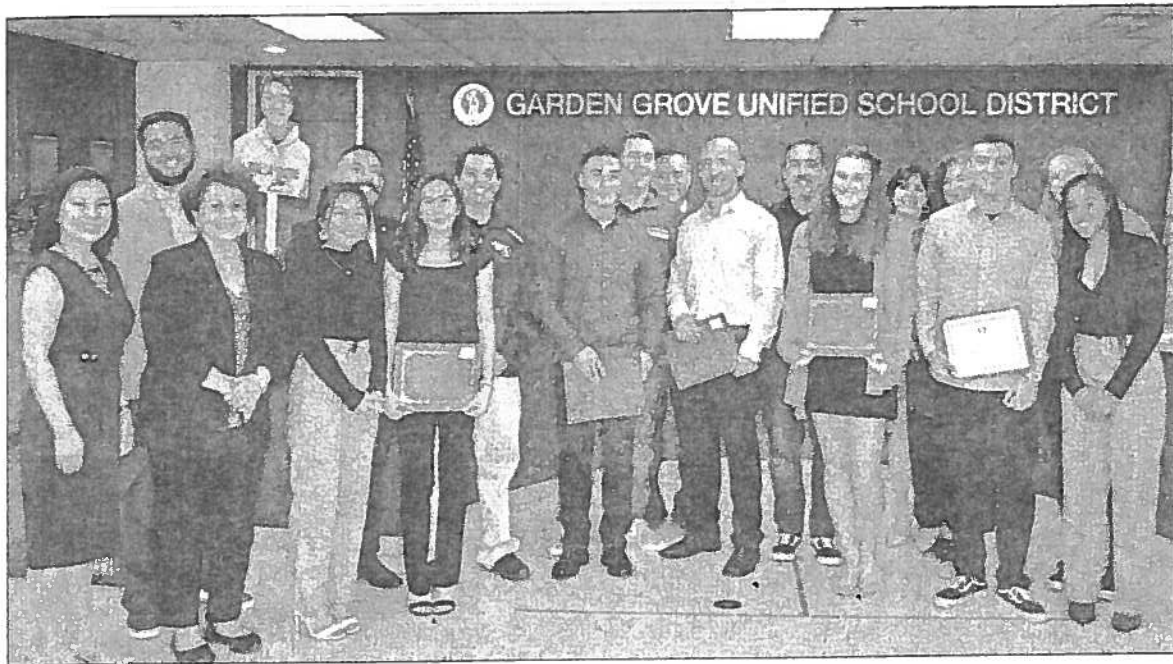
# Volunteers aids homeless



Courtesy photo

Kinecta Federal Credit Union partnered with Thomas House Family Shelter to honor the legacy of Dr. Martin Luther King, Jr. by participating in a Day of Service recently. The Kinecta Volunteer Corps remodeled a two-bedroom apartment in Garden Grove to provide a homeless family a safe and stable place for a new beginning and help organize the donation pantry. Here, Kinecta Volunteer Corps clean, paint and move in furniture.





Courtesy photo

Fourteen athletes and coaches were honored at the Jan. 21 Board of Education meeting.

## District honors star athletes, coaches

Sports stars include football standout Bernardo Monroy of Santiago High

The Garden Grove Unified School District Board of Education recognized Athletes and Coaches of Character from each of the district's seven comprehensive high schools at the Jan. 21 board meeting.

The coaches and student athletes represent a wide variety of sports and help develop and enrich the district's athletic programs by modeling respect, teamwork, and a winning attitude.

"Congratulations to our 2020 coaches and athletes of character for being dedicated scholars and positive role models on their campuses," said Board of Education Trustee Dina Nguyen. "These students dedicate count-

less hours to their teams and help contribute to a positive athletic experience for all students."

The Athletes of Character are student scholars who excel in academics, serve as leaders in their sport, and are role models in their schools. Congratulations to:

- Bolsa Grande: Matthew Le, basketball

- Garden Grove: Heavyn Agu, tennis

- La Quinta: Marianna Ramos, cross country and track

- Los Amigos: Brian Pacheco, football and basketball

- Pacifica: Abby Chavez, swim, track, and soccer

- Rancho Alamitos: Andres Marrufo Puentes, football

- Santiago: Bernardo Monroy, football

The Coaches of Character have helped develop CIF league champions and teach students important skills like determination and confidence. Congratulations to:

- Bolsa Grande: Enrique Gonzalez, boys soccer

- Garden Grove: Rebekah Girard, girls soccer

- La Quinta: Steven Navarro, frosh football and track

- Los Amigos: Chris Sandro, athletic director and boys soccer

- Pacifica: Mike Sutton, soccer

- Rancho Alamitos: Mark Patterson, football

- Santiago: Cheryl Garcia, girls tennis

# Elks welcome new members



Courtesy photo

The new year kicks off with 12 new members joining the Garden Grove Elks Lodge. They were welcomed at a recent Elks meeting. From left, back row, are Past Exalted Ruler John Miller IV, Rick Hill, Jeff Royce, Bruce Boock, Eddie Del Pozos, Glen Craycraft, George Del Pozos, Hugo Gonzalez and Dan Parsons. Front row, from left, are Ruth Craycraft, Dina Cuppinger, Exalted Ruler Anthony Manzo, Crystal Floyd and Isabel Del Pozos.

OC News  
January 29, 2020

## 92 and going strong...



Courtesy photo

It was Old Timers Night last week at the Garden Grove Elks Lodge. Members received anniversary pins for five-year membership up to 70 years membership in increments of five years. The highlight was the recognition of 92-year-old Stan Moore, shown here, who joined the Garden Grove Elks Lodge in 1951.

OC News  
January 29, 2020

# School's computer science academy highlighted

## Fitz Intermediate shows results of technology-infused curriculum

Fitz Intermediate Computer Science Academy, the second computer science immersion academy in the Garden Grove Unified School District (GGUSD), hosted a showcase event on Jan. 16 to celebrate student success with the new technology-infused curriculum.

The event opened with a performance from Fitz students in the school's new mariachi band, the district's first intermediate school mariachi band. Fitz students delivered speeches highlighting the new 21st century technology skills learned this school year. Fitz students demonstrated how they use coding to build websites using HTML (HyperText Markup Language) and CSS (Cascading Style Sheets) computer coding language.

Guests visited classrooms to see students hard at work, demonstrating their coding skills.

"We are excited that Fitz students are learning high-level computer science skills that will help them succeed in high school,

college, and an ever-changing, technology-driven job market," said Board of Education Trustee Bob Harden.

Just like the district's first computer science academy at Heritage Elementary School, Fitz Computer Science Academy is powered by Code to the Future, recognized by the White House as the nation's leader in developing computer science magnet schools. All Fitz Intermediate students are part of the Academy, learning computer programming, robotics, coding, and other computer science skills as part of daily instruction.

As part of the program, Fitz students benefit from a technology coach, innovative curriculum, online resources, and will collaborate with an elite group of computer science immersion school leaders from across the nation. Heritage and Fitz students will have the opportunity to take the Advanced Placement Computer Science exam and enter high school with a competitive



Courtesy photo

Principal Feather Hocking highlights the success of the new Fitz Intermediate Computer Science Academy.

advantage.

Fitz Intermediate Science Immersion Academy offers a pathway for students from the Heri-

tage Computer Science Academy to continue building on their computer science and coding skills. The skills learned in each

program can be used once in career technical education courses once they transition to Los Amigos High School.



The Wave  
 January 30, 2020  
 Page 1 of 2

PHOTOS BY DREW A. KELLEY

People take part Sunday in Garden Grove's inaugural Tet parade, on Westminster Avenue between Brookhurst and Euclid streets.

# FESTIVE START TO THE LUNAR NEW YEAR

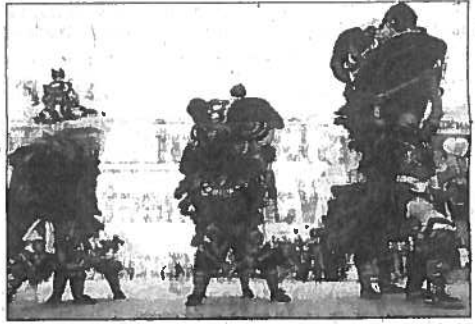
*From staff reports*

For the first time, Westminster and Garden Grove staged back-to-back Tet festivals over the weekend to celebrate the Lunar New Year. Beautiful costumes, colorful dragons, cultural performances and firecrackers were in abundance throughout. The Lunar New Year marks the beginning of a calendar year based on the cycles of the moon.

SEE MORE PHOTOS » PAGE 4



Vietnam veterans participate in Garden Grove's parade.



PHOTOS BY KEVIN SULLIVAN — STAFF PHOTOGRAPHER

Members of the Qing Wei dance troupe perform before the start of the Little Saigon Westminster Tet Parade on Bolsa Avenue on Saturday.



The California Karaoke float rolls down Westminster Avenue during Garden Grove's Tet Parade.



Anaheim High School's color guard performs.



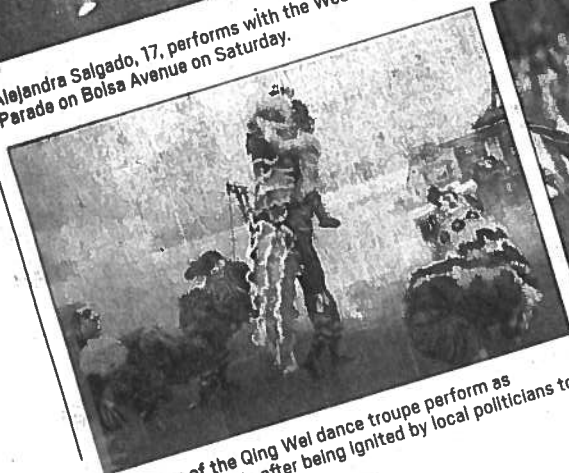
Women with crowns wave from the back of a car as they ride in the Westminster Tet Parade.

**WESTMINSTER AND GARDEN GROVE**



PHOTOS BY KEVIN SULLIVAN — STAFF PHOTOGRAPHER  
Alejandra Salgado, 17, performs with the Westminster High School color guard during the Little Saigon Westminster Tet

Alejandra Salgado, 17, performs with the Westminster High School color guard during the Little Saigon Westminster Tet Parade on Bolsa Avenue on Saturday.



Members of the Qing Wei dance troupe perform as fireworks explode after being ignited by local politicians to kick off the parade.



Dancers perform during the opening ceremony of the parade in Westminster.

COURTS

# Judge finds man who killed stepbrother in Garden Grove not guilty by reason of insanity

By Sean Emery  
semery@scng.com

An Orange County Superior Court judge ruled Thursday that a man who stabbed his step-brother to death at a Garden Grove apartment is not guilty by reason of insanity.

Judge John D. Conley determined that Andres Adolfo Serrat, 29, committed second-degree murder when he killed 20-year-old Donte Barcelo. But the judge accepted the determination by several psychologists that Serrat was insane at the time of the

slaying.

Serrat has a history of paranoid schizophrenia, said Jacob DeGrave, the public defender who represented him.

"The hospital is the right place for him," DeGrave said. "I'm just happy he is going to get some treatment."

Police shortly after 6 p.m. on Aug. 3, 2015, received a call from a woman who said her son had been stabbed inside a unit at an apartment complex in the 13200 block of Casa Linda Lane.

Officers arrived to find Barcelo

bleeding from stab wounds to his chest and side. His mother told officers that Serrat, her stepson, had stabbed Barcelo with a kitchen knife before dropping the blade and running away. Police located Serrat a few blocks away.

It isn't clear what, if anything, led to the apparent fight between the stepbrothers.

The judge ordered another mental health examination of Serrat be conducted before its decided what hospital he will be sent to. He is scheduled to return to court on Feb. 14.

OC Register  
January 24, 2020

## HOUSING

# Mandated guidelines displease the region

34 OC cities pushing back against the state as they prepare for next stage of battle

By Alicia Robinson  
*arobinson@scng.com*  
*@ARobWriter on Twitter*

At least half of Orange County's 34 cities are pushing back on state-mandated housing goals, criticizing the math formula that gave Southern California cities new home construction numbers some say would be impossible to achieve.

But now that the state has approved that formula, city leaders will have to take the fight to the regional agency where it started a few months ago — and they could end up battling each other as they try to haggle for smaller numbers.

In recent weeks, more than a dozen city councils have sent letters to the state Department of Housing and Community Development objecting to the way the Southern California Association of Governments, or SCAG, calculated housing goals for its 191 member cities.

SCAG is tasked with doling out housing goals to cities in Los Angeles, Orange, Riverside, San Bernardino, Ventura and Imperial counties. The point of the state's

OC Register  
January 24, 2020  
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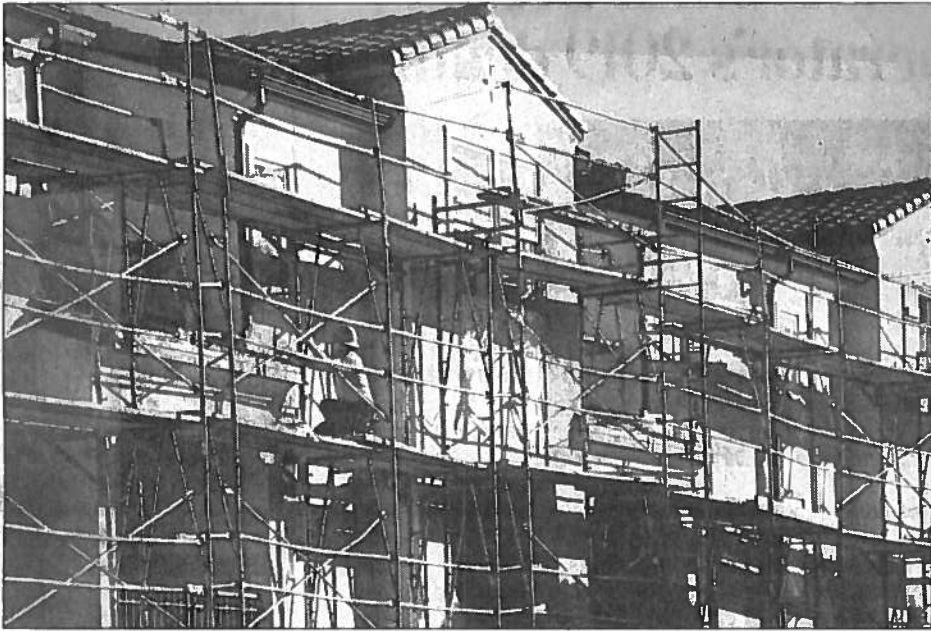
decades-old process is to make sure cities plan for — and don't stand in the way of — future growth with homes at a range of income levels, a goal whose urgency has intensified amid soaring housing costs and a worsening homelessness crisis. State officials have signaled they'll enforce the goals more rigidly than ever before, threatening possible fines or loss of local control over development.

### Raising objections

While numerous Orange County cities have raised objections, they've so far been to no avail — state officials signed off on SCAG's housing formula on Jan. 15. In the next two months, a SCAG subcommittee and then the organization's full governing board will make the final decision on how to crunch the overall numbers; that's followed by a four-month window in which cities can individually appeal their allocation.

Some Orange County city leaders remain frustrated at what Irvine Councilman Michael Carroll called a "coup" by Los Angeles and Riverside leaders.

SCAG agency staff sought broad public input and held several hearings on possible formulas before choosing one in September. But a handful of Los Angeles council members and Mayor Eric Garcetti — who



FILE PHOTO BY MINDY SCHAUER — SCNG

The 192-unit Loma Vista condo development is built in Yorba Linda in December. Some Orange County cities expect to allow more high-density projects to meet the new state

were rarely, if ever, seen at SCAG meetings before — showed up in November proposing a new formula that shifted homes away from the Inland area and into Orange and Los Angeles counties instead; their proposal carried the day.

“No one was truly happy with the allocation (from SCAG staff) but everyone thought they had input, and that’s really what mattered to me most,” Carroll said. “That was all flushed down the toilet.”

And the numbers yielded by the L.A./Riverside proposal left many Orange County officials shaking their heads.

Fullerton would have been asked to plan for

about 7,500 homes under the SCAG staff plan; that total jumped to more than 13,000 homes in the final plan, Fullerton City Manager Ken Domer wrote in an email. Setting such a goal “is just plainly setting us up for failure and future penalty by the state.”

### Housing projects

While challenges are being raised, some city leaders are already folding the new numbers into discussions of housing projects. Just this week, Lake Forest officials brought up the state mandate before approving a 605-home development that would replace a former plant nursery.

Newport Beach officials

are taking a three-pronged approach: the council will likely pass a resolution opposing the SCAG formula, but it also may create a housing task force to figure out how to meet the mandate of more than 4,800 new homes, and it will ask the state for more time to finish the city’s new housing plan, Mayor Diane Dixon said. Cities are now facing an October 2021 deadline to tell the state how they’ll accommodate the homes they’re ultimately allotted.

“Newport Beach will comply with the law,” Dixon said, but getting a new housing plan ready that quickly will be a challenge — and city leaders still will appeal to SCAG in

the hope of shrinking their final housing goal.

Many Orange County cities are expected to appeal their numbers, potentially pitting them against each other in what Carroll said could be “a bit of a food fight.”

### State’s SoCal goal

That’s because the state’s goal for Southern California — 1.3 million new homes by 2029 — won’t change, so for every city that gets SCAG to lower its number, other cities in the region could see their totals go up.

Yorba Linda Councilwoman Peggy Huang said the big housing numbers assigned to Orange County cities force officials to try to meet conflicting goals. If her nearly built-out city were to bulldoze a retail center to make way for homes, residents would have to drive farther to buy groceries — which is in direct opposition to a state directive to reduce greenhouse gas emissions, she said.

As a council member advocating for her city, but also a SCAG board member who sits on the agency’s housing subcommittee, Huang also finds herself in a somewhat conflicted position.

As SCAG moves toward finalizing a housing formula many cities are unhappy with, “I think there’s the possibility of a lot of appeal,” she said, then added jokingly, “As the chair of the appeals committee, I hope not.”

### BY THE NUMBERS

Here are the numbers of new homes Orange County cities must plan for under a state-mandated process:

- Allso Viejo:** 1,142
- Anahelm:** 17,412
- Brea:** 2,302
- Buena Park:** 9,004
- Costa Mesa:** 11,734
- Cypress:** 3,967
- Dana Point:** 502
- Fountain Valley:** 4,756
- Fullerton:** 13,272
- Garden Grove:** 19,252
- Huntington Beach:** 13,321
- Irvine:** 22,803
- La Habra:** 803
- La Palma:** 792
- Laguna Beach:** 390
- Laguna Hills:** 1,970
- Laguna Niguel:** 1,089
- Laguna Woods:** 961
- Lake Forest:** 3,163
- Los Alamitos:** 774
- Mission Viejo:** 2,187
- Newport Beach:** 4,832
- Orange:** 3,927
- Placentia:** 4,337
- Rancho Santa Margarita:** 549
- San Clemente:** 894
- San Juan Capistrano:** 995
- Santa Ana:** 3,087
- Seal Beach:** 1,228
- Stanton:** 1,228
- Tustin:** 6,853
- Unincorporated Orange County:** 10,234
- Villa Park:** 289
- Westminster:** 9,823
- Yorba Linda:** 2,322



# WESTMINSTER TET PARADE INFIGHTING OPENED DOOR FOR COMPETITION FROM GARDEN GROVE

By Susan Christian Goulding

sgoulding@scng.com  
@scgoulding on Twitter

It has been a bumpy route to Westminster's Tet parade this year.

Yet despite some turmoil regarding who would organize it and how to go about doing so, the event Saturday is on track for success, city officials promise.

"Rain or shine, the Westminster Tet Parade promises to be a spectacular cultural event that will attract thousands celebrating the Lunar New Year," Mayor Tri Ta said.

Attorney Lan Quoc Nguyen took over as chairman of the event Dec. 24, days after the man originally tapped for the job dropped out. That gave Nguyen only one month to iron out wrinkles before Saturday's fete. "But everything is falling into place," he said.

In a controversial August vote, the Westminster City Council chose Duy Nguyen over the parade's longtime organizer, Phat Bui, a Garden Grove councilman. Bui then hatched a second Tet parade in his own city that is slated for Sunday.

Little Saigon has the largest Vietnamese community outside Vietnam, accounting for more than 40% of Westminster's population. Vietnamese Americans make up 30% of Garden Grove's population.

A week after Westminster gave him its ap-  
**PARADE » PAGE 4**



PHOTO BY MICHAEL FERNANDEZ

Dragons play with the crowd as people celebrate the coming lunar year during the 2018 Tet Parade in Little Saigon. This year, after infighting among organizers of the Westminster event, a second parade will take place in Garden Grove.

# Parade

FROM PAGE 1

proval, Duy Nguyen filed with the California secretary of state to incorporate the nonprofit Little Saigon-Westminster Tet Parade. But on Dec. 17, he sent a letter to the city requesting to withdraw from the event.

Already on the parade organizing committee, Lan Quoc Nguyen picked up the ball — somewhat reluctantly, he said with a laugh: “My wife is mad at me because I’m coming home late every night.”

However, Duy Nguyen alleged the plan all along was “to use me as a puppet.”

“People asked me to put my name in front of the organization,” said Duy Nguyen, who had organized small events in the past. “Then they didn’t allow me to make any decisions.

“It was just a mess.”

Three months before the City Council approved Duy Nguyen as the organizer, Lan Quoc Nguyen filed with the state to form the Tet Parade Foundation.

“But when the time came

to go to the City Council, I saw that (Duy Nguyen’s) crew seemed better qualified,” Lan Quoc Nguyen said. “So I said, ‘OK, you do it. Good luck.’”

Then half a year later, the event bounced back to him. “Duy didn’t get along with other committee members,” he said. “He wouldn’t compromise.”

Lan Quoc Nguyen, who sits on the Garden Grove Unified School District board, is a vocal supporter of Mayor Ta, elected in 2012.

Ta and Council members Kimberly Ho and Chi Charlie Nguyen all face a recall election April 7. Lan Quoc Nguyen formed a group called the Committee Against Recall to denounce the effort.

The three often vote in sync, often 3-2 on contentious issues — including the ouster of Bui as Tet parade president. Recall petitioners accuse the officials of acting unethically.

Bui said that after handling the parade for years, he fell out of favor with some on the council over his criticism of their push for a longer mayoral term.



KEVIN SULLIVAN — STAFF PHOTOGRAPHER

Members of the Pomona Valley Vietnamese association perform during the 2019 Tet Parade in Westminster. This year, after infighting over the Westminster event, both the city and Garden Grove will host parades this weekend.

In what Bui called a “conflict of interest,” Ta joined Ho and now-Assemblyman Tyler Diep in putting the issue on the 2018 ballot at the cost of \$85,000.

Approved by voters, the measure extended the term for mayor from two to four years.

For his part, Lan Quoc Nguyen labeled Bui “toxic” and a “community divider.”

“We will get a lot more

participation in the parade without him,” Lan Quoc Nguyen asserted.

Lan Quoc Nguyen had to give Westminster a \$70,000 deposit to cover its parade expenses, such as supplying police officers. With no time to spare, he paid it out of his own pocket — hoping for eventual reimbursement from sponsors.

“If I don’t get back the money, I will have only my-

self to blame,” he said.

The parade costs between \$150,000 and \$200,000 to put on, Lan Quoc Nguyen said.

Committee members inherited Duy Nguyen’s idea not to charge entry fees for floats, he added, so this year’s parade is dependent on contributions.

Meanwhile, Bui touts the Garden Grove spectacle as even bigger than the pageants he organized in Westminster for seven years.

The start of the parade will feature a skydiver jumping out of a plane, he said: “There’s more space in Garden Grove. Bolsa Avenue (in Westminster) does not have a landing place.”

Yet despite those comparisons, Bui said, he is rooting for both parades.

“I hope Westminster will be successful. It would be a great accomplishment if Orange County can have two annual Tet parades.”

Lan Quoc Nguyen echoed that sentiment: “Healthy competition is a good thing. There’s room for both parades.”

## IF YOU GO

■ Westminster’s Tet parade will last 8:30-11:30 a.m. Saturday on Bolsa Avenue between Magnolia and Bushard streets. For more information, go to [littlesaigontet.com](http://littlesaigontet.com).

■ Garden Grove’s Tet parade will last 9 a.m.-noon Sunday on Westminster Boulevard between Brookhurst and Euclid streets. For more information, go to [tetparade.org](http://tetparade.org).



# CITY OF GARDEN GROVE NEWS

CONTACT:  
Ana Pulido (714) 741-5280  
Public Information Officer

**FOR IMMEDIATE RELEASE**

Public Information Office (714) 741-5280

Follow the City of Garden Grove on Social Media

Thursday, January 30, 2020



## **CITY SEEKING SPONSORS FOR 2020 COLLEGE GRADUATES' RECEPTION**

Local businesses and organizations are encouraged to support higher education in the community by sponsoring the 2020 Garden Grove College Graduates' Reception, to be held in May 2020 and hosted by the Garden Grove City Council.

By sponsoring the event, businesses and organizations help promote a professional workforce in the city, while recognizing Garden Grove residents for their recent accomplishments in higher education.

Sponsorship benefits include an invitation to attend the 2020 Garden Grove College Graduates' Reception; business name and logo displayed at the event and on publicity materials, including event program and flyer, and City's website and social media.

Businesses and organizations can choose a Master's Sponsorship of \$1,500+; Bachelor's Sponsorship of \$800+; or an Associate Sponsorship of \$300+. In-kind donations are also welcomed.

Visit [ggcity.org/grads](http://ggcity.org/grads) to fill out a sponsorship form by Friday, March 20.

For more information, contact the Office of Community Relations at (714) 741-5280 or [communityrelations@ggcity.org](mailto:communityrelations@ggcity.org).

To view photos of previous receptions, visit the City's Facebook and Instagram @GardenGroveCityHall or search #gardengrovegrads.



# CITY OF GARDEN GROVE NEWS

CONTACT: Dana Saucedo (714) 741-5253  
Community Services Department

**FOR IMMEDIATE RELEASE**

Public Information Office (714) 741-5280

Follow the City of Garden Grove on Social Media

Thursday, January 30, 2020



## **GARDEN GROVE'S SENIOR CENTER HOSTS SWEETHEART DINNER DANCE**

February is the month for roses, romance, and the City's annual affair-of-the-heart: Sweetheart Dinner Dance! The H. Louis Lake Senior Center is planning a special evening of dinner and dancing on Friday, February 7, 2020, from 5:00 p.m. to 9:00 p.m., at the Senior Center Dining Room, located at 11300 Stanford Avenue in Garden Grove.

In addition to enjoying a romantic dinner and beverages, sweethearts can have fun with live entertainment provided by a DJ, as well as meet and mingle with other local senior citizens.

Tickets are \$15 per person, and available as pre-sale only. Tickets can be purchased at the Senior Center Front Office. All sales are final. Reservations must be made by Wednesday, February 5, 2020.

For more information and reservations, please call the H. Louis Lake Senior Center at (714) 741-5253 between the hours of 8:00 a.m. and 3:30 p.m., Monday through Friday.

# # #

CONTACT:  
Ana Pulido, Public Information Officer  
(714) 741-5280

**FOR IMMEDIATE RELEASE**

Public Information Office (714) 741-5280

Follow the City of Garden Grove on Social Media



Wednesday, January 29, 2020

**MAYOR BRINGS OUT STAR POWER TO HIGHLIGHT CITY PARTNERSHIPS AT STATE OF THE CITY EVENT**

Delivering his State of the City address today before a sell-out crowd at the Hyatt Regency Orange County, Garden Grove Mayor Steve Jones brought out the star power to highlight successful partnerships that have created "magical" moments for the City.

Mayor Jones opened the program with a video message taped last summer from legendary basketball superstar, the late Kobe Bryant, in which Bryant wished Garden Grove's Santiago High School basketball team good luck as they competed for a league title.

"We had a phenomenal year last year, Garden Grove...and it's just the beginning," said Mayor Jones, echoing the words expressed by Bryant in the video.

Giving credit to the work of the Garden Grove City Council, Mayor Jones said, "The best example of teamwork makin' the dream work comes from my terrific City Council colleagues who have diligently worked to serve their districts and their city."

Pointing to partnerships built between the City and recognized names such as Arabian Prince, founder of the rap group NWA, and now eGaming business consultant, Mayor Jones said, "Throughout the course of our lives, there are special times when the stars align and we may find ourselves in exactly the right place, at the right time, surrounded by the right cast of characters all coming together in perfect harmony... to create truly magical outcomes."

-more-

11222 Acacia Parkway • P.O.Box 3070 • Garden Grove, CA 92842  
www.ggcity.org

MAYOR BRINGS OUT STAR POWER AT STATE OF THE CITY EVENT  
2-2-2

Arabian Prince, who refers to Garden Grove as "Silicon Grove," has worked with the City to hold events similar to the TV show *Shark Tank* where tech entrepreneurs pitch their ideas to venture capitalists. On Friday, February 28, the City will collaborate with Arabian Prince to hold the "Esports Pitch Fest" at the Garden Grove Community Meeting Center.

Key projects, resulting from the successful collaborations between the City and business and community partners included: the School Resource Officer program with the Garden Grove Unified School District, placing specially-trained full-time Garden Grove Police Officers in local schools; the "22 and Beach" mixed-use center on Beach Boulevard, shared with the City of Stanton; and the newly-relocated Kia Garden Grove dealership and the upcoming Home2 Suites by Hilton hotel, both located on Harbor Boulevard, south of the 22 Freeway.

"The City of Garden Grove and the City of Santa Ana have been strong supporters of a modern rail connection that will lessen traffic, reduce parking issues, and create greater access between both cities," said Mayor Jones, referring to the Orange County Transit Authority's (OCTA) ongoing OC Streetcar project. Mayor Jones, a long-standing OCTA Board Member, was recently named as the agency's new Chairman of the Board.

"Our sights now turn to a key development that I can't wait to see break ground in the not-so-distant future," said Mayor Jones when talking about the unprecedented financial success of the Grove District-Anaheim Resort, and what is currently the City's largest development to date.

-more-

MAYOR BRINGS OUT STAR POWER AT STATE OF THE CITY EVENT  
3-3-3

Anchored by the luxury, 4-star hotel brands, Le Meridien by Marriott, and one of the largest Kimpton Hotels in the nation, the project, located on Harbor Boulevard, near Target, is expected to produce some of the largest revenues generated by the City's resort area. Mayor Jones first announced both hotel brands during an earlier State of the City address.

To view the Mayor's entire speech and event photos, visit the City's website at [ggcity.org](http://ggcity.org).

# # #



# CITY OF GARDEN GROVE NEWS

Contact: Timothy Throne  
(714) 741-5144  
Community and Economic Development

**FOR IMMEDIATE RELEASE**

Public Information Office (714) 741-5280

Follow the City of Garden Grove on Social Media

Friday, January 24, 2020



## **CITY NOW OFFERING RE-ROOF LOAN PROGRAM**

The City is now offering a re-roof loan program of up to \$20,000 for qualifying low-income families. Applications must be received by Monday, February 24, 2020 to be considered.

The Re-Roof Program is a 20-year deferred payment loan requiring no monthly payments, not exceeding \$20,000, which accrues 3-percent simple annual interest for the first five years of the loan. The loan is designed to assist with covering the replacement cost of a roof on a single-family home for qualified low- and very low-income families. If the homeowner does not sell the home or default on the loan after five years, any accrued interest will be forgiven, and the interest rate will be set at 0% for the remainder of the loan term.

In order to qualify for the re-roof program the home must be a single-family Garden Grove home with a roof that is in substandard condition as determined by a City Building Official. Applicants must also own and occupy the home throughout the term of the loan. In addition, the applicant must have a monthly debt to income ratio of less than 45 percent and meet the following income limits per number of persons in a household: one- \$66,500; two- \$76,000; three- \$85,500; four- \$94,950; five- \$102,550; six- \$110,150; seven- \$117,750; and eight- \$125,350.

-more-



City Now Offering Re-Roof Loan Program  
2-2-2

Participants of the re-roof loan program will be chosen through a random lottery to create the priority list. Ten applicants will be chosen, but those on the priority list will stay active for one year.

For additional information regarding the re-roof loan program, please contact Timothy Throne at (714) 741-5135 or visit the Neighborhood Improvement Division website at [ggcity.org/neighborhood-improvement/re-roof-program](http://ggcity.org/neighborhood-improvement/re-roof-program).

###



# THÔNG TIN

## Từ Thành Phố Garden Grove

Để phổ biến trên các phương tiện truyền thông  
Văn phòng thông tin liên lạc: (714) 741-5280

Liên lạc: Timothy Throne (714) 741-5789  
Ban phát triển cộng đồng



Thứ Ba, 28 tháng Giêng, 2020

### **CHƯƠNG TRÌNH CHO VAY HỖ TRỢ SỬA CHỮA LẠI MÁI NHÀ TỪ THÀNH PHỐ GARDEN GROVE**

Thành phố hiện đang có chương trình cho vay sửa chữa lại mái nhà /thay mái nhà lên đến \$20,000 cho những gia đình hội đủ điều kiện với thu nhập thấp (Re-Roof Loan Program.) Các đơn xin phải được gửi về trước ngày Thứ Hai, 24 tháng Hai, 2020, để được xem xét.

Chương trình hỗ trợ cho vay sửa chữa lại mái nhà là khoản vay trả chậm 20 năm không yêu cầu trả góp hàng tháng (no monthly payment required), không vượt quá \$20,000, lãi suất tích lũy (accrues annual interest) hàng năm 3% cho 5 năm đầu tiên của khoản vay. Khoản vay được đưa ra để hỗ trợ chi phí thay thế mái nhà đối với nhà riêng biệt (single-family home) và áp dụng cho các gia đình có thu nhập thấp. Nếu chủ nhà không bán nhà hoặc mặc định (default) cho khoản vay sau 5 năm, mọi khoản tiền lãi (interest) sẽ được bỏ qua, và lãi suất sẽ chỉ còn 0% cho phần còn lại của số tiền đã vay.

Để đủ điều kiện tham gia chương trình hỗ trợ sửa lại mái nhà, ngôi nhà phải thuộc trong Thành phố Garden Grove và mái nhà trong tình trạng không đạt tiêu chuẩn, được giám định bởi nhân viên xây dựng Thành phố. Người nộp đơn cũng phải sở hữu và ở tại địa chỉ này trong suốt thời hạn của khoản vay.

## **CHƯƠNG TRÌNH CHO VAY HỖ TRỢ SỬA CHỮA LẠI MÁI NHÀ TỪ 2-2-2**

Ngoài ra, tiền nợ hàng tháng của người nộp đơn so với tổng thu nhập phải dưới 45% và đáp ứng các điều khoản sau đây cho mỗi hộ gia đình. Gia đình 1 người: \$66,500; Gia đình 2 người: \$76,000; Gia đình 3 người: \$85,500; Gia đình 4 người: \$94,950; Gia đình 5 người: \$102,550; Gia đình 6 người: \$110,150; Gia đình 7 người: \$117,750; và Gia đình 8 người: \$125,350.

Những ai muốn ghi danh chương trình cho vay sửa chữa lại mái nhà sẽ được chọn qua xổ số ngẫu nhiên (random lottery) để tạo danh sách ưu tiên. 10 người ghi danh sẽ được chọn, những ai được trên danh sách ưu tiên sẽ được quyền ưu tiên trong vòng một năm.

Để biết thêm thông tin về chương trình cho vay hỗ trợ sửa lại mái nhà, xin vui lòng liên lạc ông Timothy Throne theo số (714) 741-5135 hoặc truy cập vào [ggcity.org/neighborhood-improvement/re-roof-program](http://ggcity.org/neighborhood-improvement/re-roof-program).

###

## Chương Trình Cho Vay Hỗ Trợ Sửa Chữa Lại Mái Nhà Từ Thành Phố Garden Grove

28/01/2020 17:14:00

### City of Garden Grove Re-Roof Loan Program Requirements

- Roof must be substandard and in need of repair/replacement as determined by a City Building Official.
- Property must be located in the city of Garden Grove and be a single family home.
- Applicant must own and occupy the home.
- Applicant's monthly debt to income ratio needs to be below 45%.
- Must meet the income requirements per household size below:

| Income Limits by Household Size | 1        | 2        | 3        | 4        | 5         | 6         | 7         | 8         |
|---------------------------------|----------|----------|----------|----------|-----------|-----------|-----------|-----------|
|                                 | \$66,900 | \$76,000 | \$85,500 | \$94,950 | \$102,550 | \$110,150 | \$117,750 | \$125,350 |


Income limits are provided by the California Department of Housing and Community Development (HCD) and are subject to change without notice.

#### Loan Terms


- 20 Year Deferred Loan.
- Up to \$20,000.
- Accrues 3% annual interest for first 5 years of the loan.
- Interest is forgiven and set at 0% after the 5<sup>th</sup> year.

#### Additional Information


- Applications will be accepted until the deadline on February 24, 2020 by 5:00 pm.
- Hard copies of the application are required and should be delivered to: 11222 Acacia Parkway, Garden Grove, CA 92840, ATTN: 3rd Floor, Neighborhood Improvement Division.
- Participants will be chosen through a random lottery system.
- Resident must maintain fire, flood, and title insurance for the life of the loan.
- Loan is due and payable if the resident sells, transfers, forecloses, or defaults on the home.



**Timothy Throne**  
Program Specialist  
timothy@ggcity.org • (714) 741-5144



For more information regarding the City's Re-Roof Loan Program, please visit the Neighborhood Improvement Division's website at: [www.ggcity.org/neighborhood-improvement/re-roof-program](http://www.ggcity.org/neighborhood-improvement/re-roof-program)



Thành phố hiện đang có chương trình cho vay sửa chữa lại mái nhà / thay mái nhà lên đến \$20,000 cho những gia đình hội đủ điều kiện với thu nhập thấp (Re-Roof Loan Program.) Các đơn xin phải được gửi về trước ngày Thứ Hai, 24 tháng Hai, 2020, để được xem xét.

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Ngoài ra, tiền nợ hàng tháng của người nộp đơn so với tổng thu nhập phải dưới 45% và đáp ứng các điều khoản sau đây cho mỗi hộ gia đình. Gia đình 1 người: \$66,500; Gia đình 2 người: \$76,000; Gia đình 3 người: \$85,500; Gia đình 4 người: \$94,950; Gia đình 5 người: \$102,550; Gia đình 6 người: \$110,150; Gia đình 7 người: \$117,750; và Gia đình 8 người: \$125,350.

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- Vn: Báo Ngụy Nạn Lạm Dụng Thuốc Trừ Sâu Gấp 30 Lần
- Microsoft Treo Giải 250,000 MK Tim Lỗ Hồng Meltdown, Spectre
- Xe Bị Nóng Máy Bất Thường.
- Những Điều Trông Thấy: Vi Khuẩn Đặc Biệt...

## **MISCELLANEOUS ITEMS**

**January 30, 2020**

1. Calendar of Events
2. Minutes from the January 23, 2020 Zoning Administrator meeting.
3. Agenda for the February 6, 2020 Planning Commission meeting.
4. League of California Cities, "CA Cities Advocate," dated January 24, 2020 to January 30, 2020, including the January 29<sup>th</sup> Statewide Newspaper Briefing.



CALENDAR OF EVENTS

January 31, 2020 – March 5, 2020

|          |             |                         |   |
|----------|-------------|-------------------------|---|
| Friday   | January 31  |                         | City Hall Closed – Regular Friday Closure   |
| Thursday | February 6  | 7:00 p.m.               | Planning Commission Meeting, Council Chamber  |
| Friday   | February 7  | 5:00 p.m.-<br>9:00 p.m. | H. Louis Lake Senior Center’s Sweetheart Dance,<br>Senior Center Dining Room  |
| Tuesday  | February 11 | 5:00 p.m.<br>6:30 p.m.  | Study Session, B Room<br>Successor Agency Meeting, Council Chamber<br>City Council Meeting, Council Chamber   |
| Thursday | February 13 | 9:00 a.m.               | Zoning Administrator Meeting<br>City Hall, 3 <sup>rd</sup> Floor Training Room  |
|          |             | 6:00 p.m.               | Parks, Recreation and Arts Commission Special<br>Meeting, Council Chamber   |
| Friday   | February 14 |                         | City Hall Closed – Regular Friday Closure   |
| Monday   | February 17 |                         | City Hall Closed – President’s Day  |
| Thursday | February 20 | 7:00 p.m.               | Planning Commission Meeting, Council Chamber  |
| Tuesday  | February 25 | 5:30 p.m.<br>6:30 p.m.  | Closed Session, Founders Room<br>Housing Authority Meeting, Council Chamber<br>Sanitary District Board Meeting, Council Chamber<br>Successor Agency Meeting, Council Chamber<br>City Council Meeting, Council Chamber |
| Thursday | February 27 | 9:00 a.m.               | Zoning Administrator Meeting<br>City Hall, 3 <sup>rd</sup> Floor Training Room  |
| Friday   | February 28 |                         | City Hall Closed – Regular Friday Closure   |
| Monday   | March 2     | 6:30 p.m.               | Neighborhood Improvement and Conservation<br>Commission Meeting, Council Chamber  |
| Tuesday  | March 3     | 6:00 p.m.               | Traffic Commission Meeting, Council Chamber   |
| Thursday | March 5     | 7:00 p.m.               | Planning Commission Meeting, Council Chamber  |

GARDEN GROVE ZONING ADMINISTRATOR MEETING  
City Hall, 11222 Acacia Parkway, Garden Grove, CA 92840  
Third Floor Training Room

Meeting Minutes  
Thursday, January 23, 2020

CALL TO ORDER: 9:00 a.m.

PUBLIC HEARING – CONDITIONAL USE PERMIT NO. CUP-174-2020

Applicant: Chu Bat Gioi, Inc.  
Location: 9906 Westminster Avenue #B  
Date: January 23, 2020

Request: To operate a new restaurant, Tam Bien Restaurant, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License. The site is in the C-1 (Neighborhood Commercial) zone. In conjunction with the request, the Zoning Administrator will also consider a determination that the project is categorically exempt from the California Environmental Quality Act (CEQA) pursuant to Section 15301 – Existing Facilities – of the State CEQA Guidelines. The existing restaurant received approval for an ABC Type "41" (On-Sale, Beer and Wine, Public Eating place) License under CUP-369-13. Upon approval and exercise of the subject request, the Conditional Use Permit previously governing the tenant space, CUP-369-13, shall be revoked and become null and void.

Action: Public Hearing Held. Speaker(s): Matthew Nguyen

Action: The Zoning Administrator adopted Decision No. 1792-20.

PUBLIC HEARING – CONDITIONAL USE PERMIT NO. CUP-175-2020

Applicant: Five Brothers Corp.  
Location: 9904 Westminster Avenue #B  
Date: January 23, 2020

Request: To operate an existing restaurant, CTB Eatery, with an original Alcoholic Beverage Control (ABC) Type "41" (On-Sale, Beer and Wine, Public Eating Place) License. The site is in the C-1 (Neighborhood Commercial) zone. In conjunction with the request, the Zoning Administrator will also consider a determination that the project is categorically exempt from the California Environmental Quality Act (CEQA) pursuant to Section 15301 – Existing Facilities – of the State CEQA Guidelines.

Action: Public Hearing Held. Speaker(s): Vince Nguyen

Action: The Zoning Administrator adopted Decision No. 1793-20.

## Zoning Administrator Minutes

ORAL COMMUNICATIONS – PUBLIC: None.

ADJOURNMENT: The Zoning Administrator adjourned the meeting at 9:16 a.m. to the next Regular Meeting of the Garden Grove Zoning Administrator on Thursday, February 13, 2020, at 9:00 a.m., in the City Hall Third Floor Training Room, 11222 Acacia Parkway, Garden Grove.

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Judith Moore  
Recording Secretary





## AGENDA

### GARDEN GROVE PLANNING COMMISSION

#### REGULAR MEETING

---

FEBRUARY 6, 2020

COMMUNITY MEETING CENTER  
11300 STANFORD AVENUE

REGULAR SESSION – 7:00 P.M. – COUNCIL CHAMBER

ROLL CALL: CHAIR LEHMAN, VICE CHAIR RAMIREZ  
COMMISSIONERS LE, LINDSAY, NGUYEN, PEREZ, SOEFFNER

SELECTION OF CHAIR AND VICE CHAIR

Members of the public desiring to speak on any item of public interest, including any item on the agenda except public hearings, must do so during Oral Communications at the beginning of the meeting. Each speaker shall fill out a card stating name and address, to be presented to the Recording Secretary, and shall be limited to five (5) minutes. Members of the public wishing to address public hearing items shall do so at the time of the public hearing.

Any person requiring auxiliary aids and services due to a disability should contact the City Clerk's office at (714) 741-5035 to arrange for special accommodations. (Government Code §5494.3.2).

All revised or additional documents and writings related to any items on the agenda, which are distributed to all or a majority of the Planning Commissioners within 72 hours of a meeting, shall be available for public inspection (1) at the Planning Services Division during normal business hours; and (2) at the City Community Meeting Center Council Chamber at the time of the meeting.

Agenda item descriptions are intended to give a brief, general description of the item to advise the public of the item's general nature. The Planning Commission may take legislative action it deems appropriate with respect to the item and is not limited to the recommended action indicated in staff reports or the agenda.

PLEDGE OF ALLEGIANCE TO THE FLAG OF THE UNITED STATES OF AMERICA

- A. ORAL COMMUNICATIONS - PUBLIC
- B. APPROVAL OF MINUTES: December 5, 2019
- C. PUBLIC HEARING(S) (Authorization for the Chair to execute Resolution shall be included in the motion.)
  - C.1. CONDITIONAL USE PERMIT NO. CUP-176-2020

APPLICANT: MONICA MALONEY

LOCATION: SOUTHWEST CORNER OF EUCLID STREET AND  
KATELLA AVENUE AT 10870 KATELLA AVENUE

REQUEST: Conditional Use Permit approval to operate an existing 29,112 square foot tenant space as a trade school, UEI College, for 220 students and 20 employees/instructors. The site is in the NMU (Neighborhood Mixed Use) zone. In conjunction with the request, the Planning Commission will consider a determination that the project is categorically exempt from the California Environmental Quality act (CEQA) pursuant to Section 15301 – Existing Facilities.

STAFF RECOMMENDATION: Approval of Conditional Use Permit No. CUP-176-2020, subject to the recommended Conditions of Approval.

- D. MATTERS FROM COMMISSIONERS
- E. MATTERS FROM STAFF
  - E.1 DISCUSSION ON NEW HOUSING LEGISLATION
- F. ADJOURNMENT

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**League of CA Cities Newspaper Briefing - Statewide (Jan. 29)**

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**From :** Tony Cardenas <tcardenas@cacities.org> Wed, Jan 29, 2020 10:46 AM  
**Subject :** League of CA Cities Newspaper Briefing - Statewide (Jan. 29) 2 attachments  
**To :** Tony Cardenas <tcardenas@cacities.org>

**Statewide Newspaper Briefing***1/29/2020***LOCAL GOVERNMENT**

**Placentia Receives Acknowledgment from California Attorney General's Office for Excellence in General Plan** -- The City of Placentia received special acknowledgement from the California Attorney General's Office Bureau of Environmental Justice to commend the City on the recently adopted General Plan, with special compliments on the Health, Wellness, & Environmental Justice element (HW&EJ Element). [PublicCEO](#)

**Los Alamitos Appoints New City Manager** -- After conducting an extensive and competitive recruitment, the City of Los Alamitos City Council is pleased to confirm the appointment of Chet Simmons as its next City Manager. [PublicCEO](#)

**The Employment-Related Legal Decisions Public Agencies Need to Know About** -- California and federal courts, along with the state's Public Employer Relations Board, handed down a number of decisions last year that will impact public employers and employees in 2020 and beyond. [PublicCEO](#)

**CAPITOL POLITICS**

**Time Is Ticking on Controversial SB 50 Bill to Boost New Housing in California** -- One of the most watched bills aimed at tackling California's affordable housing shortage is nearing a major vote this week at the state Legislature that will decide whether it lives or dies. [KQED](#)

**State budget depends on the rich** -- The final pages of the 2020-21 budget that Gov. Gavin Newsom proposed this month contain arguably its most important factor — an utter dependence on taxing a relative handful of high-income Californians. Personal income taxes, the budget projects, will generate \$102.8 billion. [PublicCEO](#)

## HOMELESSNESS & HOUSING

**San Diego County approves Third Avenue mental health hub agreement, but Scripps bows out** -- Though one of their two partners refused to sign at the last minute, county supervisors unanimously moved forward Tuesday with plans to spend more than \$100 million on a mental health hub at Third Avenue in Hillcrest. Paul Sisson in the [San Diego Union-Tribune](#)

**Mental health care: From the snake pit to the streets** -- The modern history of mental-health care in California begins more than half a century ago with passage of the landmark 1967 Lanterman-Petris-Short Act, an ambitious — but ultimately disastrous — overhaul of a draconian “system” of hoary old mental hospitals throughout California. [Capitol Weekly](#)

**JPMorgan Chase commits \$22 million for Bay Area housing** -- JPMorgan Chase is committing \$22 million in low-interest loans and grants to boost Bay Area affordable housing as the bank deepens its connection to the region. The biggest U.S. bank by assets, JPMorgan is headquartered in New York but has done business in San Francisco for more than a century. [San Francisco Chronicle](#)

## PUBLIC SAFETY

**New California bill: Only 20-year-olds and up could be automatically tried as adults** -- The latest in a slough of sweeping changes to the state’s justice system, a new California State Senate bill would prohibit prosecutors from automatically charging anyone less than 20 years old as an adult. The bill, SB 889, was announced Tuesday morning by the office of State Sen. Nancy Skinner (D-Berkeley), who has been on the forefront of justice reform in California. [San Jose Mercury](#)

## UTILITIES

**California Senate passes bill to make PG&E pay for blackouts** -- Senators voted overwhelmingly in favor of advancing the bill carried by Wiener, D-San Francisco, which would create a way to make Pacific Gas and Electric Co. and other large investor-owned electric companies in California pay customers for losses they incur during power shut-offs. The bill, SB378, now heads to the Assembly for consideration. [San Francisco Chronicle](#)

## HEALTH

**Flight evacuating U.S. nationals from China amid coronavirus outbreak diverted to March Air Reserve Base** -- A flight carrying government employees evacuated from the U.S. Consulate in the Chinese city of Wuhan amid a coronavirus outbreak will land at March Air Reserve Base in Riverside County instead of Ontario International Airport, officials announced Tuesday night. [Los Angeles Times](#)

**How serious is coronavirus risk for Bay Area residents? Low — for now** -- The new coronavirus that has sickened more than 6,000 people worldwide and killed more than 130 is causing major upheaval across China, the epicenter of the illness. It’s also alarming Bay Area residents who — at least for now — are at very low risk of illness, but are stocking up on face masks and asking health care providers for advice on protecting themselves and loved ones. [San Francisco Chronicle](#)

## ECONOMY

**Markets Will Lead CA to the New Energy Future, Panelists Say** -- The 13th annual Verde Exchange, designed by its founder, L.A. civic leader and businessman, David Abel to understand and

advance the New Energy Economy, kicked off in downtown Los Angeles yesterday with panels that questioned whether development of the green energy economy was best driven by government or the markets. [Fox & Hounds](#)

**Google Wants to Pour Money Into San Jose. The City Has a Few Demands --**

Technology giants helped pump the West Coast full of choking traffic and expensive homes. Now they are trying to fix the damage. The challenge, and all its many complications, is playing out in real time in this city on the edge of Silicon Valley. [Wall Street Journal](#)

**Proposed rules for access, use of data from San Diego's controversial eye-in-the-sky street lights make debut** -- Amid pushback following the revelation that there are data-gathering sensors on thousands of local high-tech street lights, a San Diego city committee will get its first look at a potential policy governing how all that data is accessed and used. [San Diego Union-Tribune](#)

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# League-Hosted Webinar Addresses how Court's Decision on Homelessness Impacts Cities

January 29, 2020

The “Strategies for Addressing Homelessness: Policies and Enforcement Issues” webinar, which the League hosted on Jan. 28, included an analysis of the *Martin v. City of Boise* decision and what that means for cities.

Last month, the U.S. Supreme Court denied a petition to hear the case, which left intact the Ninth Circuit ruling that held that the city violated the prohibition on cruel and unusual punishment in the Eighth Amendment when it enforced its public camping and sleeping ordinance against homeless individuals with no place else to go.

Webinar attendees learned how the Court's decision impacts cities' efforts to combat homelessness in their communities. Webinar speakers discussed strategies for addressing homelessness at the policy level, including identifying available funding; issues arising from the enforcement of local ordinances relating to and affecting the homeless population; and success stories arising from innovative collaborations amongst federal, state and local agencies, as well as between private and public agencies serving the homeless.

For those who missed the webinar or would like to view it again, a recording is available online.

# SCOTUS Allows Public Charge Rules to Go into Effect Temporarily

January 29, 2020

News article by Lisa Soronen, executive director at the State and Local Legal Center.

The Supreme Court has stayed a nationwide injunction which disallowed the public charge rule from going into effect anywhere in the United States. For now, the public charge rule will remain in effect across the country (except in Illinois) until the Second Circuit, and the Supreme Court, if it decides to get involved, rules in this case.

Since 1882, when Congress enacted the first comprehensive immigration statute, it prohibited the admission to the United States of “any person unable to take care of himself or herself without becoming a public charge.” The most recent definition of “public charge,” adopted in 1999, included immigrants who demonstrated a need for “institutionalization for long-term care at government expense” or “receipt of public cash assistance for income maintenance.”

In 2019, the Trump Administration adopted a new definition of public charge that considers not only cash benefits, but also certain non-cash benefits including: Supplemental Nutrition Assistance Program (SNAP), Section 8 housing, Section 8 project-based rental assistance, Medicaid (with certain exceptions), and Section 9 public housing.

Some states and local governments and other entities sued the federal government over this rule. The results were mixed. Two federal district courts in the Ninth Circuit ruled against the federal government, but the Ninth Circuit stayed those orders determining the government was likely to succeed on the merits. A district court in Maryland likewise ruled against the federal government, but the Fourth Circuit overturned its decision. All of the district court rulings described above would have made the public charge rule unenforceable in some or all of the United States.

In *Department of Homeland Security v. New York* a federal district court in New York “enjoined the government from applying the new definition to anyone, without regard to geography or participation in this or any other lawsuit.” The Second Circuit declined to stay that injunction, and the federal government appealed to the Supreme Court. The Supreme Court has now issued a stay effective everywhere but Illinois. A federal district court in Illinois has enjoyed enforcement of the rule—only within the state of Illinois.

The federal government argues it should win because the “text and structure [of federal immigration law] make clear that receipt of public benefits, including non-cash benefits that are

not intended to serve as primary means of support, is an important consideration in determining whether an alien is inadmissible on public-charge grounds.”

The challengers respond that the rule is a “stark departure from a more-than-century-long consensus that has limited the term to individuals who are primarily dependent on the government for long-term subsistence.”

Justices Ginsburg, Breyer, Sotomayor, and Kagan would have denied the stay.

Justice Gorsuch wrote a concurring opinion criticizing the use of “universal injunctions,” which apply to persons who are not parties to a lawsuit. For example, the injunction at issue in this case, which was brought by New York State and a number of nonprofits, disallowed the federal government from enforcing the public charge rule against anyone in any state. As of late, lower courts have regularly issued such injunctions against the federal government.

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# Senate Bill 50 fails in the Senate; League commits to working with legislature to ensure any housing legislation keeps planning and zoning authority with local leaders

*January 30, 2020*

Today, Senate Bill 50, the More HOMES Act of 2020, failed on the Senate floor.

Immediately following the vote, League of California Cities President and Town of Yountville Mayor John F. Dunbar issued the following statement:

“The League has always agreed with Senator Wiener on the need to increase housing supply across the state; however we did not agree that SB 50 was the best approach to do so.

“During this legislative session, we look forward to continuing to work with Senator Wiener, all of the members of the Senate and Assembly, the Governor, and other stakeholders to find smart, effective solutions to the housing crisis currently gripping our state. Any solution will require a long-term state funding commitment that matches the scale of a crisis that has been decades in the making.”

Since SB 50 was introduced in 2019, the League has maintained an oppose unless amended position on the bill. Earlier this month, after meeting with stakeholders, including local government leaders, Senator Wiener amended the bill to create an alternative planning process for jurisdictions to develop a “local flexibility plan” that, if approved by the California Department of Housing and Community Development (HCD), would exempt cities from many aspects of SB 50 with the exception of requiring fourplexes in single-family zones.

After careful consideration of the amendments, the League of California Cities continued to oppose SB 50 unless amended, as the “local flexibility plan” lacked the clarity needed to evaluate whether it was a viable plan.

League leadership met with Senator Wiener and his staff several times in January to discuss the League’s concerns with the bill. Following a productive meeting between League Officers and the Senator last week, the Senator reiterated, in both verbal and written communication, his commitment to work through the League’s issues with the bill and determine how best to increase housing supply across the state. The League will continue to engage with the Senator and the Legislature on finding an appropriate solution to this critical issue.

The League will continue to actively track housing legislation as the session progresses and provide updates, so stay tuned.